

Evaluation of the **growth kinetics** of θ' and θ -Al₂Cu precipitates in a binary Al-3.5wt% Cu alloy

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Abstract

Heat treatment for precipitation hardening is known to have a large effect on the nano/micro-structure of cast aluminum alloys, and hence its properties. **In the present work, precipitation** kinetics after solutionizing and water quenching has been characterized by differential scanning calorimetry, transmission electron microscopy observations (TEM) and microhardness evaluations at different aging conditions. The Kissinger methodology was applied with the Lee-Kim-Starink-Zahra (LKSZ) kinetic equation in order to extract the kinetic parameters from DSC runs at constant heating rates, assuming that the precipitates have an ellipsoidal shape. TEM results showed evidence of semi-coherent θ' precipitation in accordance with the microhardness evolution during isothermal aging at 190°C and kinetic analysis from DSC data. **The size and number density of precipitates were measured and counted on bright field TEM images taken on specimens aged at two different times.** Activation energies for the precipitation kinetics of θ and θ' were found to be equal to 330 kJ/mol and 114 kJ/mol respectively. Finally, values for the interfacial mobility have been determined from the kinetic parameters derived from the DSC results and the TEM observations.

Keywords: Interfacial mobility; Differential Scanning Calorimetry (DSC); Precipitation Kinetics; Al-Cu Alloys

33 1. Introduction

34 The modeling of precipitation in metallic alloys has been the subject of many contributions in the past
35 using diverse numerical approaches to predict the evolution of the size of the precipitates. The common
36 ground of the vast majority of these models is to assume that the growth is controlled by diffusion (see
37 [1, 2]). This mode of growth assumes that local equilibrium prevails at the interface and that the driving
38 force is the concentration gradients in front of the precipitate. Considering that a mixed-mode control
39 can provide a better ground to describe the growth of precipitates, Sietsma and van der Zwaag [3]
40 concluded that the initial stage of each phase transformation is interface-controlled in all cases. This
41 implies that the solute concentration in the matrix at the nucleus-matrix interface remains equal to the
42 nominal concentration during the nucleation stage because of the large surface/volume ratio of the
43 nucleus. Larouche [4] reaffirmed this concept by solving analytically the mixed mode growth of an
44 ellipsoidal precipitate under the quasi stationary regime. Using as an example the case of an $\text{Al}_2\text{Cu}-\theta'$
45 precipitate growing in an aluminium matrix, the author showed that the calculated velocities in the early
46 stage of growth can differ by a large factor from the values estimated by a diffusion control model. The
47 difference can be particularly significant at low temperatures since the incubation regime may prevail
48 during many hours. **Moreover, the exact solution showed that at the start of the mixed mode regime, the
49 interfacial concentration was equal to the nominal concentration, which means that the growth regime
50 prior the mixed mode regime is interface controlled.**

51 One of the challenges in modelling isothermal precipitation is getting a realistic value of the interfacial
52 velocity at the start of the growth regime. If the calculated value overestimates the real one by a large
53 factor, the end of the incubation regime will be poorly predicted since the error is impacting precisely
54 the rate of fraction transformed. So, considering that the growth rate is interface controlled at the start of
55 the growth regime, a large error can be made if one tries to estimate the interfacial velocity without
56 consideration to the interfacial mobility, the latter depending on the activation energy for the migration
57 of atoms across the interface. From this, we conclude that an accurate prediction of the isothermal
58 evolution of the microstructure cannot be achieved if the interfacial mobility is unknown. Actually, the
59 interfacial mobilities are unknown for age hardenable alloys.

60 In this paper, we will present a theory and an experimental procedure allowing a realistic evaluation of
61 the interfacial mobility and its temperature dependency. This procedure will be applied to the θ' and θ
62 phases growing in an Al-3.5wt%Cu alloy. Precipitation kinetics will be studied by the evaluation of the
63 kinetic parameters obtained from the analysis of Differential Scanning Calorimetry (DSC) runs. The

64 interfacial mobilities will finally be estimated using the resulting kinetic parameters and the number
65 density of precipitates determined from TEM observations.

66 **2. Kinetic Model**

67 *i. Determination of kinetic parameters in solid phase transformation*

68 A generic kinetic equation will be used to model the nucleation and growth of precipitates in a
69 supersaturated matrix by associating the rate of the heat absorbed or released to the rate of phase
70 transformed. The most versatile kinetic equation was proposed by Lee and Kim [5] and Starink and
71 Zahra [6] (LKSZ). According to this equation, the time evolution of the fraction transformed α is given
72 by:

$$73 \quad \alpha = 1 - \left(1 + c \cdot (k \cdot t)^n\right)^{-\frac{1}{c}} \quad (1)$$

74 where t is the time and c , k and n are 3 independent kinetic parameters. For the precipitation of a phase θ
75 in a supersaturated matrix, the fraction transformed is given by:

$$76 \quad \alpha = \frac{g_\theta}{g_\theta^{eq}} \quad (2)$$

77 where g_θ and g_θ^{eq} are respectively the volume fraction and the equilibrium volume fraction of phase θ at
78 a given temperature. The LKSZ equation encompasses the well-known equation proposed by Johnson–
79 Mehl–Avrami–Kolmogorov (JMAK), the latter assuming that the interfacial velocity is constant. In
80 order to associate the interfacial mobility with the kinetic parameters of the LKSZ equation, we have
81 first to re-establish the LKSZ equation from the basic theory. The volume of an ellipsoidal precipitate θ
82 growing with an interfacial velocity v is given by:

$$83 \quad V_{\theta(\tau)} = A_1 \cdot [v \cdot (t - \tau)]^3 \quad (3)$$

84 Where t is the time, τ is the incubation time and A_1 is related to the shape of the precipitate. In our
85 development, we will assume that the ellipsoidal precipitate grows with constant eccentricities. **This**
86 **assumption maybe does not reflect perfectly the development of the plate shaped precipitates growing**
87 **during the early stage of growth, but the error made is small considering that the volume fraction**
88 **transformed is very small at this stage. Later, the precipitates generate ledges on every facets allowing**
89 **growth in all directions. This makes the aspect ratio to stabilize somewhat as the precipitates evolve and**

90 **adopt the equilibrium shape.** If a_1 , a_2 and a_3 are the semi-principal axes of the ellipsoidal precipitate with
91 $a_1 \geq a_2 \geq a_3$, then we have:

$$92 \quad A_1 = \frac{4\pi}{3} \sqrt{1-e_{12}^2} \sqrt{1-e_{31}^2} \quad (4)$$

93 The eccentricities are related to the semi-principal axes by these equations:

$$94 \quad e_{12} = \sqrt{1-(a_2/a_1)^2} \quad (5)$$

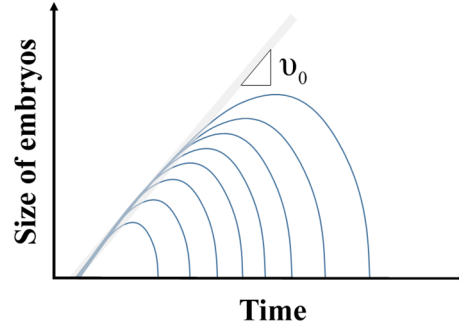
$$95 \quad e_{31} = \sqrt{1-(a_3/a_1)^2} \quad (6)$$

96 Consequently, v is equal to da_1/dt . Generic models are constructed by assuming that the volume is
97 progressively filled by cells, each one containing one precipitate in equilibrium with the matrix included
98 in the cell. The cell and its precipitate grow keeping their proportion so that the volume fraction
99 *precipitate/cell* remains constant in each cell (under isothermal condition). The volume between the cells
100 is the supersaturated matrix where nucleation of new cells is possible. Cells do not exchange atoms and
101 Ostwald ripening is neglected. This assumption is justified in the context of a DSC analysis because it is
102 expected that Ostwald ripening have a negligible effect on the heat released by the sample and will not
103 change drastically the number density of particles during the growing stage of precipitation. The
104 difference in chemical potentials between the supersaturated matrix and the cells drives the growth of
105 the latest and their precipitates. At a given time t , we have:

$$106 \quad \frac{dg_{\theta}}{d\tau} = I_V \cdot V_{\theta(\tau)} \quad (7)$$

107 where I_V is the rate of nucleation per unit volume. Now, we will develop a particular version of the
108 LKSZ equation giving the possibility to associate experimental values to the kinetic parameters.
109 **Following the scenario explained by Larouche [7], we will assume that nucleation is a two-step process**
110 **where, in the first step, the atoms quickly agglomerate on lattice defects and form a population of**
111 **metastable embryos and, in the second step, the subcritical embryos experience ripening during which**
112 **the losers are dissolving while the winners are growing steadily. The second step is called subcritical**
113 **growth regime. In that stage, the winners are those growing at maximum speed, otherwise there are**
114 **getting smaller than the winners, which eventually will impair their growth and promote their**
115 **dissolution. Figure 1 depicts that situation, where one can easily acknowledge the concept of maximum**
116 **growth velocity. It is worth to recall that in the classical nucleation theory (CNT), the growth rate is**
117 **directly related to the frequency factor, the latter being not affected by the free surface energy.**

118 Accordingly, one can expect that embryos emerging successfully from the nucleation stage are growing
 119 under the influence of the maximum driving force available. The capillarity forces may not have an
 120 impact on the growth rate of the winners, but will certainly affect the proportion of embryos having the
 121 critical size and the proportion of losers via the Zeldovich factor.



122

123 **Figure 1: Schematic size evolution of a population of embryos during the subcritical growth regime**

124

125 The number density of embryos reaching the critical size will be called N_0 and the time elapsed to reach
 126 this population will be neglected on the basis that the fraction transformed is still very small at this
 127 stage. Therefore, we will assume that time zero corresponds to the time where the precipitates nucleate
 128 spontaneously on N_0 heterogeneous sites, which is equivalent to say that nucleation sites are saturated at
 129 $\tau = 0$. **Consequently**, one can use the following relationship:

$$130 \quad I_V = N_0 \cdot \delta(\tau) \quad (8)$$

131 where $\delta(\tau)$ is the Dirac delta function. The gradient of the chemical potential gradually decreases during
 132 the growth of precipitates; so one can assume that the velocity of the interface v will decrease
 133 accordingly. Introducing the growth impingement exponent c , one can assume that the velocity can be
 134 estimated by the following equation:

$$135 \quad v = v_0 \cdot \left(1 - \frac{g_\theta}{g_\theta^{eq}} \right)^{\frac{c+1}{3}} \quad (9)$$

136 where v_0 is the interface velocity at the start of the transformation. This parameter is the interface
 137 migration velocity of the embryos emerging successfully from the nucleation process as schematized in
 138 Figure 1. It is expected according to Eq. (9), that the growth velocity $\rightarrow 0$ as the volume fraction g_θ is
 139 approaching the equilibrium volume fraction g_θ^{eq} . Inserting Eqs (2), (3), (8) and (9) into Eq. (7) and
 140 integrating from time 0 to t , one obtains:

141
$$\int_0^\alpha \frac{d\alpha}{(1-\alpha)^{c+1}} = \int_0^t \frac{N_0 \cdot A_1 \cdot v_0^3}{g_\theta^{eq}} \cdot \delta(\tau) \cdot (t-\tau)^3 \cdot d\tau \quad (10)$$

142 Since the Dirac delta function is by definition the time derivative of the unitary step function, it has the
 143 following property:

144
$$\int_{-\infty}^{+\infty} f(\tau) \cdot \delta(\tau) d\tau = f(0) \quad (11)$$

145 Therefore, one can show that the kinetic equation obtained from Eqs (10) and (11) is:

146
$$\alpha = 1 - \left(1 + c \cdot (k \cdot t)^3\right)^{\frac{1}{c}} \quad (12)$$

147 Where:

148
$$k^3 = \frac{N_0 \cdot A_1 \cdot v_0^3}{g_\theta^{eq}} \quad (13)$$

149 Comparing Eqs (1) and (12), we see that the latter is a LKSZ kinetic equation, except that $n = 3$ and k is
 150 directly connected to the geometry of the precipitates, their number density, their initial growth velocity
 151 and the equilibrium volume fraction. From the kinetic equation, one can find the rate equation:

152
$$\frac{d\alpha}{dt} = 3k \left[\frac{(1-\alpha)^{-c} - 1}{c} \right]^{\frac{2}{3}} (1-\alpha)^{1+c} \quad (14)$$

153 The latter can be written as:

154
$$\frac{d\alpha}{f(\alpha)} = k(T) \cdot dt \quad (15)$$

155 where α , T and t are the independent variables from which the state of the system can be determined.
 156 Considering that the nucleation stage is completed at time zero and that the growth of a precipitate is a
 157 thermally activated process, the rest of the transformation can be modelled as a single stage reaction
 158 process for which k will have an Arrhenius type dependency with temperature:

159
$$k = k_0 \exp\left(-\frac{E}{RT}\right) \quad (16)$$

160 Kinetic equations describe isothermal transformation; but **isochronal** calorimetric analysis
 161 (**constant heating rate**) can be used to determine k_0 and E for a given $f(\alpha)$ with the variable state concept
 162 defined as [8]:

$$163 \quad \omega = \int_0^{\alpha(t)} \frac{d\alpha}{f(\alpha)} = \int_0^t k_0 \exp\left(-\frac{E}{RT}\right) dt = \int_0^t k dt \quad (17)$$

164 Mittemeijer [9] was the first to use the so-called Kissinger methodology to extract the activation
 165 energy from DSC runs at different constant heating rates \dot{T} . For a fixed state of transformation ω_f , one
 166 can assign a temperature T_f so that:

$$167 \quad \omega_f = \int_0^{\alpha_f} \frac{d\alpha}{f(\alpha)} \cong k_0 \frac{RT_f^2}{E\dot{T}} \exp\left(-\frac{E}{RT_f}\right) \quad (18)$$

168 By taking the natural logarithm on both sides of Eq. (18), one obtains:

$$169 \quad R \cdot \ln\left[\frac{T_f^2}{\dot{T}}\right] = \frac{E}{T_f} - R \cdot \ln\left(\frac{R k_0}{E \omega_f}\right) \quad (19)$$

170 The temperature at the top of a DSC peak can therefore be considered as the maximum rate of
 171 conversion. It corresponds to $\omega_f = \omega_{peak} = 1$ at $T_f = T_{peak}$. Examination of Eq. (19) reveals that the
 172 activation energy E can easily be determined from the slope of the curve obtained by plotting
 173 $R \cdot \ln\left(T_{peak}^2 / \dot{T}\right)$ as a function of $1/T_{peak}$. The pre-exponential factor k_0 is evaluated from the intercept
 174 value occurring between the previous curve and the y-axis. The value of k in the LKSZ equation at
 175 different temperatures can then be well evaluated by this method. The impingement factor (c) can be
 176 estimated by fitting the LKSZ equation on the experimental DSC curve da/dt , the latter being
 177 determined from [10]:

$$178 \quad \frac{d\alpha}{dt} = \frac{1}{\Delta h} (q - q_B) \quad (20)$$

179 where q is the power measured by the DSC, q_B is the baseline and Δh is the total latent heat released
 180 during the reaction. Fitting can be optimized using non-linear method of minimization of least squares
 181 error.

182 *ii. Determination of the interfacial mobility*

183 The mixed-mode growth regime starts at the end of the incubation regime during which many
 184 embryos have dissolved. The number density of embryos logically stabilizes when each embryo
 185 generates concentration gradients, which has for effect to isolate them from their neighbors. At this
 186 stage, one can assume that the fraction transformed is very small, so one may consider this time as the
 187 time zero of the reaction, where a stabilized number density N_0 of nuclei exists and begins the mixed-
 188 mode growth process. According to the theory presented in [4], the velocity of the boundary at the start
 189 of the mixed-mode regime of growth is given by :

$$190 \quad v_0 = \frac{MRT}{V_m} \sum_{i=1}^I c_{\theta}^i \cdot \ln \left(\frac{\bar{c}_i}{c_{i \text{ eq}}^*} \right) \quad (21)$$

191 where c_{θ}^i is the concentration of element i in the precipitate θ , V_m is the molar volume of the precipitate,
 192 M is the mobility of the interface, \bar{c}_i is the nominal concentration of element i in the matrix, $c_{i \text{ eq}}^*$ is the
 193 molar fraction of element i in the matrix which is in equilibrium with the precipitate and I is the number
 194 of species in the precipitate. Inserting Eqs (16) and (21) into Eq. (13), one obtains:

$$195 \quad k = k_0 \exp \left(-\frac{E}{RT} \right) = \left(\frac{N_0 \cdot A_1}{g_{\theta}^{\text{eq}}} \right)^{1/3} \cdot \frac{MRT}{V_m} \sum_{i=1}^I c_{\theta}^i \cdot \ln \left(\frac{\bar{c}_i}{c_{i \text{ eq}}^*} \right) \quad (22)$$

196 The interfacial mobility can be expressed as [11]:

$$197 \quad M = \frac{\Omega}{RT} \exp \left(-\frac{E}{RT} \right) \quad (23)$$

198 From Eqs (22) and (23), one can see that the activation energy measured by the Kissinger method is the
 199 activation energy of the interfacial mobility, while the pre-exponential factor Ω is given by:

$$200 \quad \Omega = \frac{V_m \cdot k_0}{\left(\frac{N_0 \cdot A_1}{g_{\theta}^{\text{eq}}} \right)^{1/3} \sum_{i=1}^I c_{\theta}^i \cdot \ln \left(\frac{\bar{c}_i}{c_{i \text{ eq}}^*} \right)} \quad (24)$$

201 In the last equations, one can easily find values for A_1 , V_m and c_{θ}^i knowing the nature and the
 202 shape of the precipitate. The variables $c_{i \text{ eq}}^*$ and g_{θ}^{eq} can be evaluated at the specific temperature and
 203 nominal composition from the phase diagram or a thermodynamic computational tool. Finally, N_0 has to

204 be estimated from microscopic observations while E and k_0 , have to be determined by kinetic analysis.
 205 Then, one obtains a first order estimation of the interfacial mobility and its temperature dependency.
 206 Notice that the above analysis implies that the activation energy is essentially related to the interfacial
 207 mobility and not to the coefficient of diffusion of solutes in the matrix. The impact of the latter is
 208 assumed to be accounted for by the c parameter, which mainly influences the rate at which the
 209 calculated fraction transformed evolves toward the equilibrium value past the peak temperature.
 210 Consequently, the interfacial mobility is thought as the parameter determining the onset temperature of a
 211 DSC peak while the coefficient of diffusion will determine the width of the DSC peak. This conclusion
 212 stands on the premise that beyond the peak temperature, the growth becomes more and more controlled
 213 by the diffusion.

214 3. Experimental methods

215 3.1. Sample preparation

216 About 31g of pure aluminum was melted in a ceramic crucible using an electrical resistance
 217 furnace. A controlled amount of pure Cu was added to the melt at 735°C to reach the desired chemical
 218 composition. The melt was mechanically stirred after copper addition and the melt surface was skimmed
 219 to eliminate the oxide layer. Sampling from the melt was carried out with Pyrex tubes equipped with a
 220 propipette. Tubes with a 5 mm inside diameter and 2 mm wall thickness were used for this purpose.
 221 Specimens were used for chemical analysis, phase identification in as-cast and solution heat treated
 222 (SHT) conditions, micro-hardness measurements, calorimetric testing and TEM observations at different
 223 aged conditions. The chemical composition of the alloys which was analyzed by atomic emission
 224 spectrometry (MP-AES 4100 from Agilent Technologies) is presented in Table 1.

225 **Table 1: Chemical composition of cast Al-Cu alloy from cast ingots**

	Elements	Si	Cu	Mg	Fe
	% wt	0.0055	3.4220	0.0012	0.0086
Cast alloy	±	0.0001	0.4542	0.0001	0.0020

226 The solution heat treatment (SHT) was conducted in an electric resistance furnace on small
 227 cylinders (20mm length). The temperature of the solution heat treatment, after a slow heating (~ 1h15m)
 228 was 500°C; a second step of SHT was applied at 550°C. For this two-step SHT, the time period of the
 229 first step at 500°C was ~ 8 hours, which was followed by ~8 hours at 550°C after 40 min of ramping up.
 230 After the 18 hours SHT, the specimens were quenched into cold water to obtain the maximum solute
 231 saturation. Disk shaped samples of 4.5 mm diameter and having a thickness of ≈ 2 mm were prepared

232 for DSC analysis from the quenched cylinders. The specimens were held for few hours at room
 233 temperature before their heating in the DSC device in order to limit the effects of natural aging.

234 3.2. Characterization methods

235 i. Microstructure and microhardness

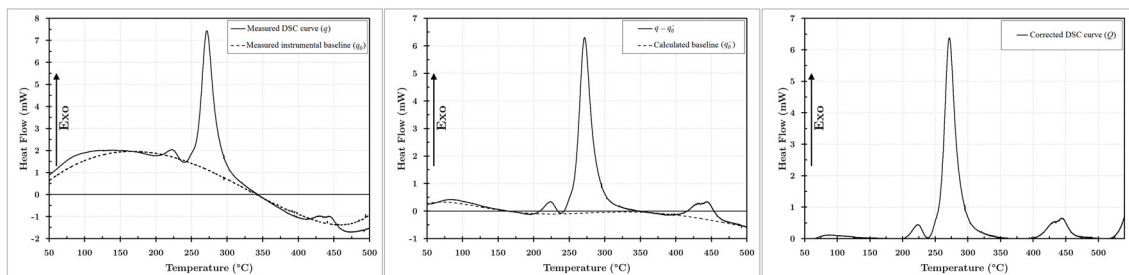
236 Samples for microstructural and microhardness examination were sectioned from the quenched
 237 cylinder, mounted, ground, and polished using standard procedure. The polished sections were then
 238 evaluated with an optical microscope. Isothermal runs on selected samples were interrupted at 190°C in
 239 order to subject them to Vickers microhardness tests at room temperature. Microhardness experiments
 240 were conducted on Clemex CMT using a load of 0.0098 N with a dwell time of 13 s. To ascertain
 241 reproducibility and statistical validity, 10-16 microhardness tests were performed at each condition.
 242 Only intradentritic measurements were performed. Vickers microhardness indentations showing
 243 difference in the lengths of the diagonals over 5% of the mean were discarded. The typical accuracy as
 244 calculated from the standard deviation in a set of hardness data according to the number of indentations
 245 was about ± 3 HV.

246 ii. Power Compensation Differential Scanning Calorimetry

247 Samples have been thermodynamically characterized by **isochronal** DSC analysis with the
 248 PerkinElmer Diamond instrument. The latter was calibrated for one heating rate (12.5°C/min).
 249 Measurements were conducted with all samples and references prepared with the exact same mass
 250 (± 0.01 mg). The instrumental baselines were performed with pure aluminum in the sample and reference
 251 furnaces for each heating rates. Figure 2 explains the methodology of the baseline graphical
 252 modifications to correct the results according to:

$$253 \quad Q = (q - q_0) - q_0' \quad (25)$$

254 where q is the measured DSC result, q_0 is the measured instrumental baseline and q_0' is the calculated
 255 baseline with a polynomial function in accordance with [12].



256

257

Figure 2: Baselines correction description for 80.55mg sample and reference with a 4K/min heating rate

258 Constant heating rates of 1, 2, 4, 5, 6, and 8 K/min were applied and two different samples were
259 analyzed for each heating rate (except at 1 K/min) for reproducibility. Peaks identification has been done
260 with literature comparison [13-20] for similar alloys and with temperature expectations from available
261 metastable phase diagram.

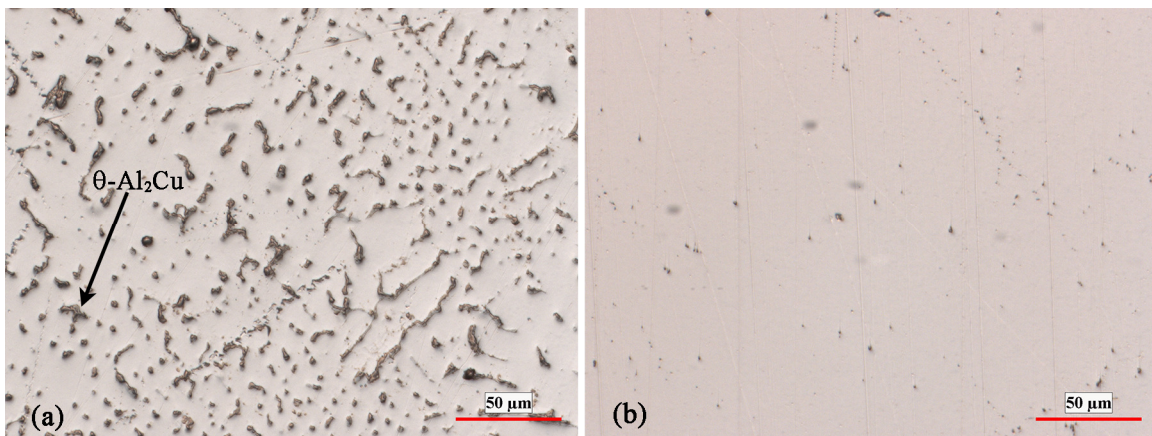
262 *iii. TEM preparation and observations*

263 Two disks of ~ 1.2 mm thickness and ~ 4.5 mm diameter, with a weight equal to ~ 35 mg were
264 cut from quenched cylinders and was heated at 150°C/min and aged at 190°C in the DSC furnace for
265 optimized temperature control during 6h and 12h respectively. TEM samples have been prepared by
266 electro-polishing from previous heat treated samples. Al₂Cu precipitates observations by transmission
267 electron microscopy on Al matrix plans for the two different aging conditions have been done with a
268 Jeol JEM-2100F TEM on 2F-1g or 2g matrix condition in g200 of aluminum near [001] axis and also in
269 g200 near [011] axis.

270 **4. Results**

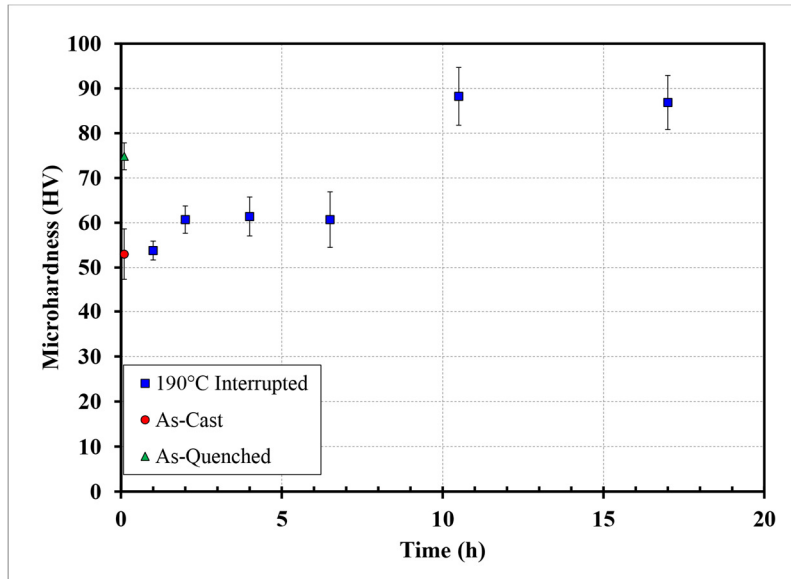
271 **4.1. Microstructure observations and microhardness**

272 As-cast microstructure (Figure 3-a) shows very low porosity but many stable θ -Al₂Cu
273 intermetallic formed during solidification. After SHT (Figure 3-b), the microstructure becomes a
274 homogeneous matrix with complete dissolution of θ -Al₂Cu intermetallics, which validates the SHT
275 sequence for optimum aging. Line scan electron probe microanalysis revealed that the distribution of the
276 elements was uniform in the dendrites after the SHT.



277
278 **Figure 3: As-cast (a) and as-quenched (b) Al-3.5wt%Cu microstructure**

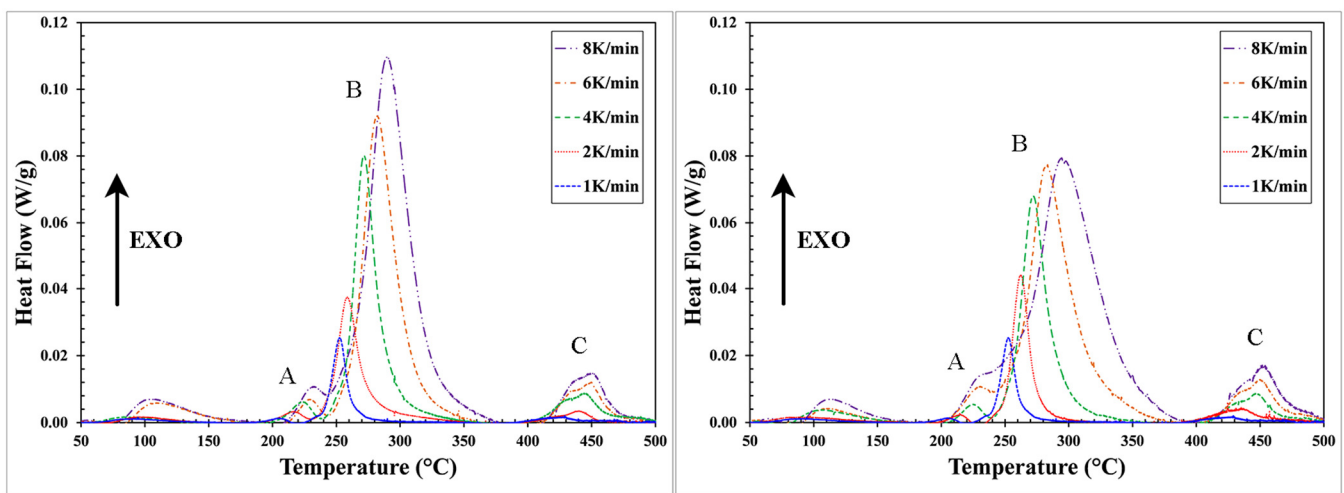
279 Microhardness measurements on the alloy for different aging time at 190°C show changes in
280 phase formation occurring between 6 and 10 hours due to a significant increase of the hardness (cf
281 Figure 4).



282
283 **Figure 4: Microhardness evolution during aging at 190°C for the Al-3.5wt%Cu alloy**

284
285 **4.2. DSC runs for constant heating rate**

286 The **isochronal** methodology developed in this study permits to obtain precipitation peaks for
287 different constant heating as shown in Figure 5. The two graphs were obtained on different samples to
288 check the reproducibility of the DSC results. The precipitation peaks have been identified according to
289 literature and by comparison to the metastable phase diagram calculated by MatCalc v. 5.62 [21]. Three
290 major peaks A, B, C have been studied corresponding to GP zones, θ' and θ formations respectively in
291 the sequence of precipitation. The plots of the two graphs shown in Figure 5 differ only by the intensity
292 of the peaks. The repeatability is very good regarding the onset and peak temperatures.



293
294 **Figure 5: DSC runs for 1-2-4-6-8 K/min heating rates.**

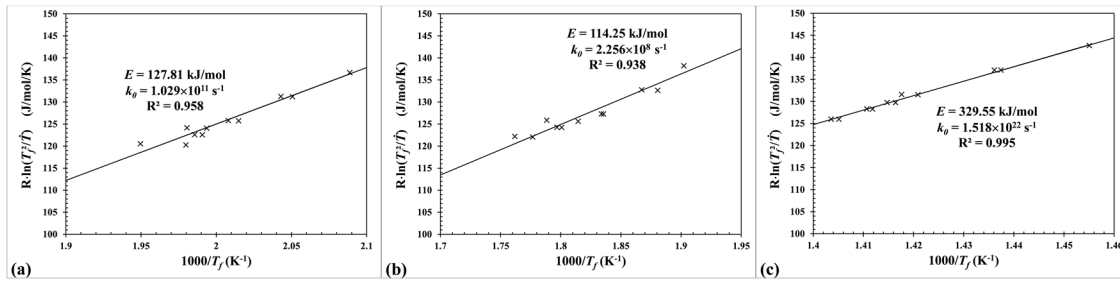
295 **4.3. Determination of kinetic parameters by the Kissinger method**

296 As described in the previous section, the Kissinger method was applied for precipitation kinetic
 297 analysis. Table 2 sums up the measured peak temperatures obtained with the different heating rates and
 298 Figure 5 presents the Kissinger diagrams for the three peaks.

299 **Table 2: PC-DSC peak temperatures (°C) $T_f = T_{Peak}$ obtained at different heating rates on Al-3.5wt% Cu**

Heating rate (K/min)	Peak A	Peak B	Peak C
1	205.6	252.5	414.1
2	214.5	262.4	423.2
	216.3	258.6	422.5
4	224.9	272.2	432.3
	223.2	271.7	430.7
5	228.4	286.0	433.7
	231.8	278.0	432.9
6	230.5	283.4	435.7
	229.2	282.2	435.2
8	239.8	294.5	439.3
	232.0	289.7	438.5

300



301

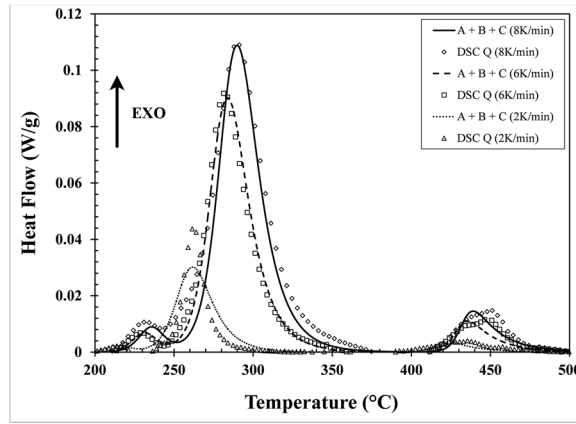
302 **Figure 6: Kissinger diagrams for a) GP zones – peak A, b) θ' - peak B, c) θ – peak C**

303 The values obtained for E and k_0 are presented in Table 3, which includes parameters c and Δh
 304 determined from the curve fittings made with different DSC curves.

305 **Table 3: Parameters from the fitting procedure**

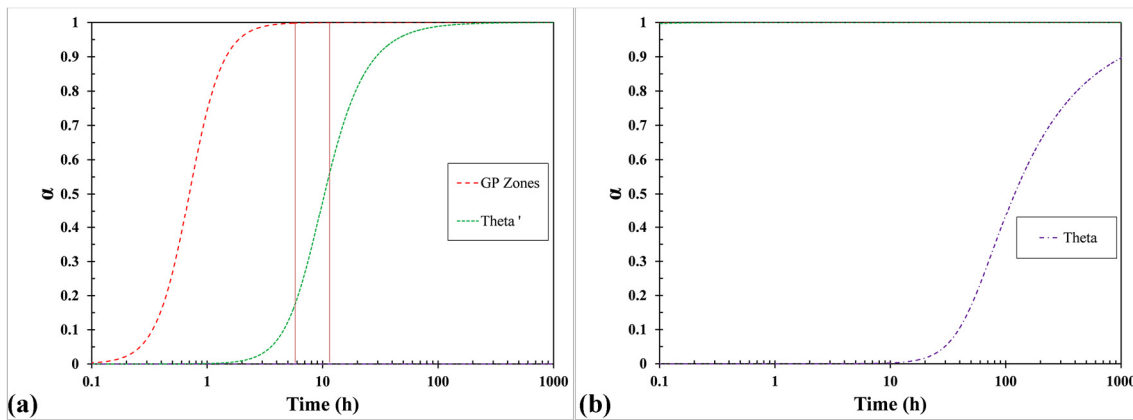
Precipitate	E (kJ/mol)	k_0 (s ⁻¹)	n	c	Δh (J/g)
GP Zones	127.81	$1.029 \cdot 10^{11}$	3	1	1.490
θ'	114.25	$2.255 \cdot 10^8$	3	1.7	31.66 ± 1.24
θ	329.55	$1.518 \cdot 10^{22}$	3	4	3.476

306 The result of the curve fitting procedure is presented in Figure 7. Figure 8 displays the isothermal
 307 evolution of the fraction transformed at 190 and 350°C, as calculated with the LKSZ equation and the
 308 kinetic parameters given in Table 3.



309

310 **Figure 7: DSC runs and peaks adjusted to optimize the fit between experimental and sum of the heat produced by**
 311 **associated transformations**



312

313 **Figure 8: Isothermal evolution of the fraction transformed as calculated by the LKSZ kinetic model a) at 190°C and**
 314 **b) at 350°C**

315 The calculated isothermal evolution of θ' at 190°C is in good agreement with the evolution of the heat
 316 flow measured in a differential Tian-Calvet isothermal calorimeter by Starink and Zahra [22] on an Al-
 317 4.4wt%Cu. According to their Figure 1, the heat flow starts to rise after 3 hours approximately and is
 318 close to completion after 100 hours. This precipitation time interval is close to the one shown in Figure
 319 8. It is also interesting to notice that the rapid increase of the fraction transformed calculated between 6
 320 and 12 hours (Figure 8) coincides with the rapid increase of microhardness (Figure 4).

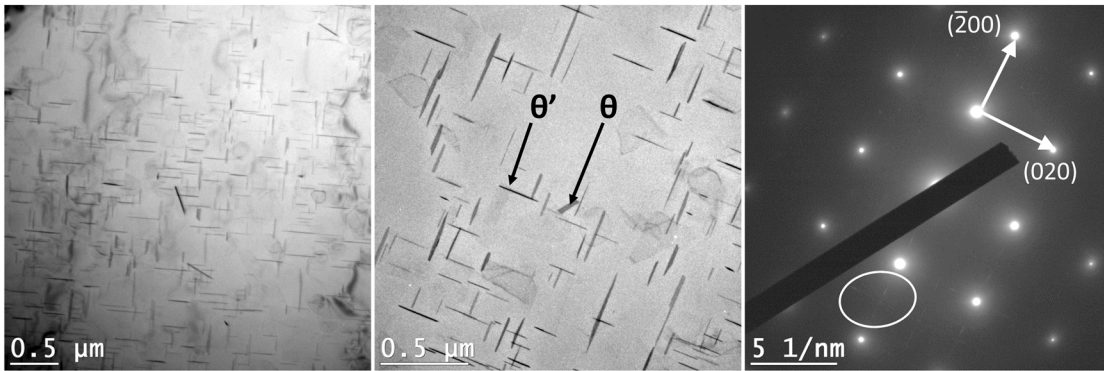
321

4.4. TEM precipitate observations

322

323 Figure 9 and Figure 10 present the TEM bright field images recorded on the specimens aged at
 324 190°C during respectively 6h and 12h. Notice that after 6h of aging at 190°C, the fraction transformed
 325 of θ' is only 17% according to the kinetic analysis (see Figure 8), so one can assume that the reaction is
 326 in its early stage of growth. The disk-shaped particles oriented perpendicularly one to each other are
 semi-coherent θ' metastable phase. The diffraction pattern visible in the white circles are typical of this

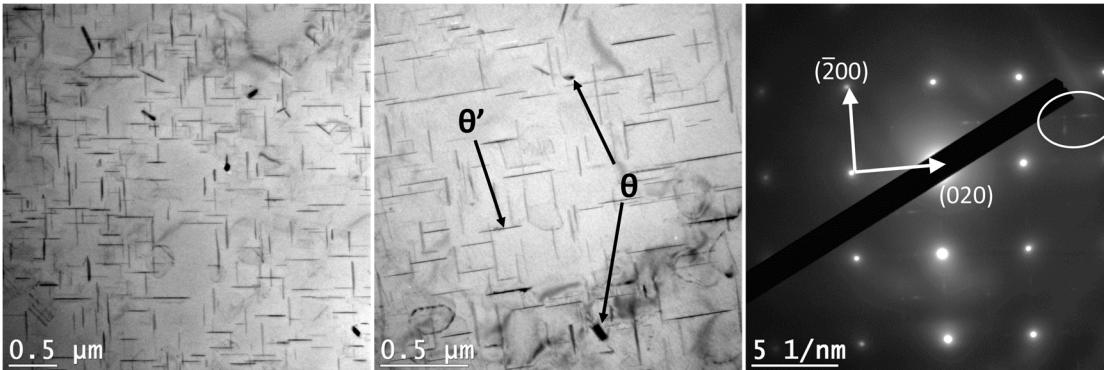
327 phase [23]. In some regions, some small incoherent stable precipitates ($\leq 250\text{nm}$ in length) are present.
 328 These particles were identified as $\text{Al}_2\text{Cu}-\theta$ phase by Selected Area Electron Diffraction (SAED) analysis
 329 (Figure 11). The inter-reticular distances calculated from the diameters of the diffraction circle diameters
 330 give unit cell size dimensions of 0.407 nm and 0.601 nm , which are in agreement with those of the
 331 tetragonal crystal structure of this phase [24]. Length measurements of θ' precipitates were performed
 332 on 360 particles for each condition with the ImageJ software. Average lengths of $171.33\text{nm} \pm 68.91\text{nm}$
 333 and $209.95\text{nm} \pm 80.48\text{nm}$ were obtained for aging time of 6h and 12h respectively.



334

335

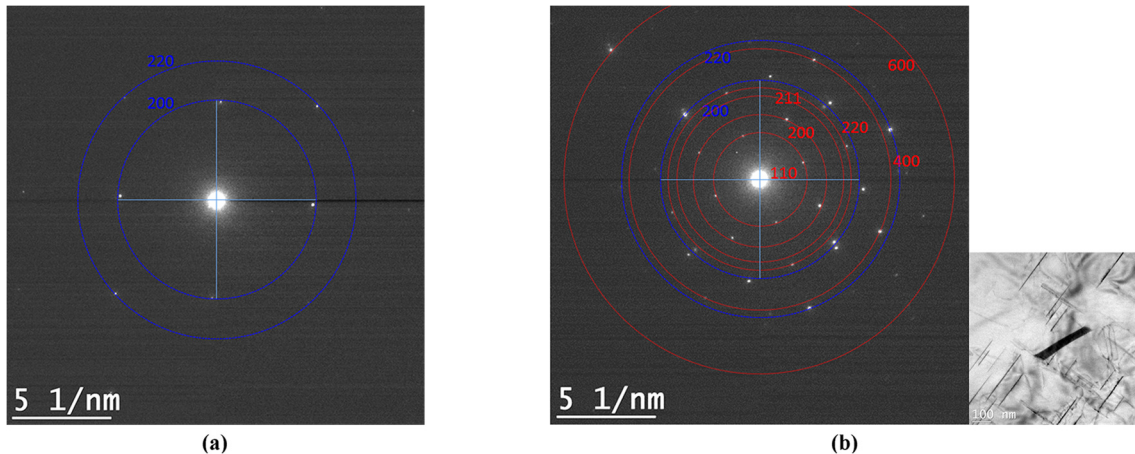
Figure 9: TEM observations along the $\langle 001 \rangle$ axis of a Al-3.5wt%Cu sample aged 6 hours at 190°C



336

337

Figure 10: TEM observations along the $\langle 001 \rangle$ axis of a Al-3.5wt%Cu sample aged 12 hours at 190°C



338

339

Figure 11: SAED pattern on a) FCC Al matrix, b) θ - Al_2Cu tetragonal centered

340

341 In order to measure the precipitate number density in both conditions, the electron energy loss
342 spectroscopy (EELS) Log-Ratio technique was performed in some areas to measure the local thickness
343 of the TEM sample [25, 26]. The counting of θ' precipitates was realized in both perpendicular axis (x -
344 axis, y -axis) on TEM pictures with the image analysis software. The average number of precipitates
345 from both axes was multiplied by $3/2$ in order to take into account θ' precipitates lying parallel to the
346 plan of observation (z -axis). The number density was calculated by dividing the number of precipitates
347 by the estimated volume and averaged over 8 different areas. Average number densities of 2.00×10^{20}
348 particles/m³ $\pm 0.69 \times 10^{20}$ and $1.73 \times 10^{20} \pm 0.46 \times 10^{20}$ were obtained respectively after 6 and 12h at 190°C.
349 Because the number density of stable θ precipitates was much lower (an average number of 3 particles
350 being seen per image), only one number density of this phase was made over the two aged conditions
351 and the value obtained was 9×10^{18} particles/m³. Notice that the value of Ω in Eq. (24) is inversely
352 proportional to the cubic root of the number density, so a very accurate determination of the latter is not
353 crucial for a first order estimation of the interfacial mobility.

354 **4.5. Calculation of the interfacial mobility of θ and θ'**

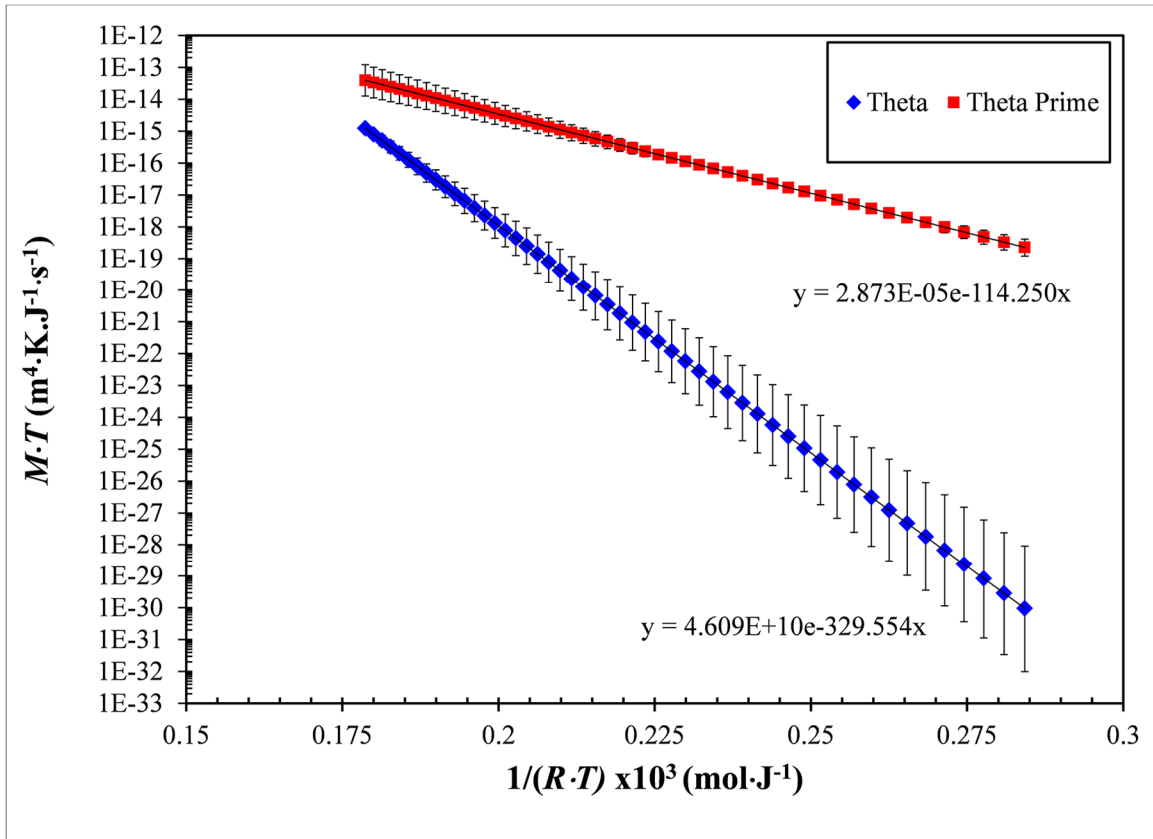
355 To calculate the interfacial mobility of θ and θ' , one has to find the appropriate values for the
356 parameters appearing in Eqs. (23) and (24). The parameter A_I , which is related to the shape of the
357 particles, will be roughly estimated by assuming that the semi-coherent platelets of θ' and θ were
358 growing as oblate spheroids having a typical aspect ratio of 20. The molar fractions \bar{c} and $c_{i\ eq}^*$ and
359 volume fractions ($g_{\theta'}^{eq}$ or g_{θ}^{eq}) were determined by assuming that the system was in equilibrium just
360 before their respective onset temperatures. This condition was set on the basis that the optimum DSC
361 scan must have a sufficiently high heating rate to get a clear peak when the phase transformation occurs
362 and a sufficiently low heating rate in order that the phase transformation is 95% completed in a narrow
363 temperature interval. Examination of the peaks obtained during the DSC runs reveals that the onset
364 temperature was not strongly influenced by the heating rate. (See Figure 5). Moreover, as the heating
365 rate is lower, the temperature interval of the peak is narrower, which is closer to the ideal condition
366 where the transformation progresses in a quasi-isothermal mode. If low heating rates are best to
367 characterize isothermal kinetic parameters, one may conclude that the samples were close to equilibrium
368 just before the onset temperature. This condition is acceptable for almost all heating rates applied, even
369 though some overlapping of the peaks occurred at the highest heating rate, considering that the onset
370 temperatures obtained for a given peak are anyway close one to each other. The onset temperatures of
371 the peaks associated to the θ' and θ phases were found to be respectively equal to 235 and 400°C. The

372 equilibrium values at these temperatures were calculated with MatCalc using the database assessed by
 373 Povoden-Karadeniz [27]. The results are given in Table 4. Notice that the Gibbs-Thomson effect was
 374 neglected, assuming that the particles size is in general sufficiently large at the end of the interface
 375 control growth regime to ignore the impact of the surface energy on the growth of the precipitate.

376 **Table 4: Equilibrium values calculated with MatCalc for the alloy Al-3.5wt% Cu**

T(°C)	Active Phases	$g_{\theta'}^{eq}$ or g_{θ}^{eq}	c_{Cu}^*
235	FCC + θ'	0.0431	0.000843
400	FCC + θ	0.0295	0.00576

377 At 235°C, θ' particles are dissolved under equilibrium condition according to MatCalc. The Cu
 378 molar fraction of the matrix at the onset temperature of θ' was then assumed to be equal to the nominal
 379 composition $\bar{c} = 1.517 \times 10^{-2}$. At 400°C, MatCalc predicts that θ' is not completely dissolved, and that the
 380 Cu molar fraction of the matrix is $\bar{c} = 7.788 \times 10^{-3}$. The molar fraction of Cu in the precipitates was $c_{\theta}^* =$
 381 $c_{\theta'}^* = 0.333$ and the molar volume of precipitates was $V_m = 1 \times 10^{-5}$ m³/mol. The site number density N_0
 382 (number of sites per volume unit) was assumed to be equal to the number density of precipitates
 383 estimated from TEM observations made on samples aged at 190°C. These observations showed that the
 384 number density of the precipitates did not change significantly between 6 and 12 hours, which indicate
 385 that the reaction was under the growth regime during this period and that the effect of coarsening, due to
 386 overaging, was still not visible. The purpose of the Kissinger analysis is to provide the kinetic
 387 parameters giving the possibility to make comparisons between isothermal and **isochronal** aging. The
 388 sample produced after aging 6 hours at 190°C is theoretically in the same state as a sample having an
 389 equivalent fraction transformed after the onset of transformation occurring at 235°C during **an**
 390 **isochronal** treatment. This implies that the number densities measured at 190°C were certainly close to
 391 those obtained at the beginning of the peak occurring at 235°C during **an isochronal** heating. Notice that
 392 performing an **isochronal** aging until 235°C and then try to quench the specimen to obtain the TEM
 393 samples would have not been indicated because of the risks to get overaged specimens. Considering that
 394 the measurements of the number densities give a realistic but not a very accurate estimation of this
 395 parameter, the measured values were round off to one significant digit. So, N_0 values of 2×10^{20} sites/m³
 396 and 9×10^{18} sites/m³ were used for θ' and θ respectively. Notice that the number densities of θ'
 397 measured after 6 and 12 h of aging do not differ when the values are reported with one significant digit.
 398 Finally, the appropriate kinetic parameter k_0 and E were taken from the results given in Table 3. Figure
 399 12 presents the plots giving the relationship obtained between the interfacial mobility and the absolute
 400 temperature. The vertical bars represent the error margin introduced by a $\pm 3^\circ\text{C}$ uncertainty in the
 401 determination of peak temperature for the evaluation of E and k_0 according to the Kissinger analysis.



402

403 **Figure 12: Diagram showing the temperature dependency of the interfacial mobility of phases θ and θ' in the Al-**
 404 **3.5wt% Cu alloy**

405

406 5. Discussion

407 The results obtained with the DSC runs show a good reproducibility, though a slight difference in
 408 the peak amplitudes was observed (cf. Figure 5). This can be explained by a slight dissimilarity in
 409 quenching conditions between the two samples. Indeed, a slower quenching rate produces a lower
 410 amplitude of the exothermic peaks associated to the precipitation of secondary phases, as this was
 411 observed by Elgallad *et al.* [19]. Different values were reported in the literature for the activation
 412 energies associated to the precipitation kinetics of θ and θ' phases. The activation energies found in the
 413 present study are in agreement with these values, as this can be seen in Table 5.

414

Table 5: Activation energies for the formation of θ and θ' in different Al-Cu alloys from literature

Phase	Activation energy(kJ/mol)	Work	Alloy
	114	This study	Al-3.5%Cu
	63-75	Fatmi <i>et al.</i> [17]	Al-3.7%Cu
θ'	66-77	Elgallad <i>et al.</i> [19]	AA2219
	90±11	Mohammadian <i>et al.</i> [28]	Al-4.3%Cu
	98-108	Hayoune and Hamana [16]	Al-4.5%Cu

	100	Khamel <i>et al.</i> [29]	Al-3% Cu
	102-120	Fatmi <i>et al.</i> [30]	Al-2.4%Cu
	106	Starink and Van Mourik [14]	Al-4%Cu
	106-115	Wu <i>et al.</i> [31]	2519A
	109-117	Chen <i>et al.</i> [32]	Al-Cu-Mn
	119.5±8.3	Ovono <i>et al.</i> [20]	A319
	68 (DTA)	Wierszyłowski <i>et al.</i> [33]	Al-4.7%Cu
	101(dilatometry)	Wierszyłowski <i>et al.</i> [33]	Al-4.7%Cu
	330	This study	Al-3.5%Cu
θ	200-360	Elgallad <i>et al.</i> [19]	AA2219
	304	Wierszyłowski <i>et al.</i> [33]	Al-4.7%Cu

415 The activation energy associated to the precipitation kinetics of θ is approximately 3 times the
416 value obtained for θ' . This large difference can hardly be explained by diffusion controlled mechanisms,
417 considering that both phases have the same composition and have comparable surface energies
418 according to Kozeschnik [1]. In a binary Al-3.5Cu, it is a fact that the onset temperature of the DSC
419 peak associated to the θ' phase is well below the onset temperature of the DSC peak associated to the
420 stable phase θ . The two phases have the same composition and the same atoms diffuse in the same
421 matrix, so one cannot explain the large difference between the onset temperatures using arguments
422 based on the diffusion of atoms in the matrix. The only possible explanation stands on the atomic
423 attachment rate across the interface, which is exclusively related to the interfacial mobility. The two
424 phases (θ and θ') differ by their crystallographic structure, their molar volume, their elastic properties
425 and the nature of the interface (semi-coherent versus non-coherent). The interfacial mobility is ruled by
426 the generation of new ledges and their migration rate. It seems obvious that the energy barrier to create a
427 new ledge is much higher on the surface of a stable incoherent precipitates than it is for the metastable
428 precursors, since the former have a crystal structure and molar volume differing much more from the
429 matrix than the latter. The energy barrier for the migration of the ledge is probably higher also for the
430 stable incoherent precipitate, though it is probably not the most important limiting barrier. The same
431 reasoning can be made for all stable incoherent precipitates, which have a DSC peak occurring at higher
432 temperature than their metastable semi-coherent precursors. One thing is sure. The wide difference
433 between the activation energies cannot be explained by a diffusion controlled mechanism in the matrix,
434 since the activation energies for the migration of one atom do not differ so much. The difference has to
435 be accounted for by the energy of the system when it transits between a “flat” interface to one with a
436 new ledge. Since this energy barrier is directly linked to the interfacial mobility, the activation energy
437 measured by the Kissinger analysis is likely associated to the nucleation and migration kinetics of
438 atomic ledges. This is why the activation energy of θ is 3 times higher than for θ' . **The activation energy
439 for the diffusion of Cu in Al, Q_{Cu} , is around 131 - 134 kJ/mol [34], which is a bit higher than the**

440 activation energy of the interfacial mobilities for GP zones and θ' . This suggests that the migration of
441 Cu atoms seems easier toward the metastable precipitates than toward the matrix, the common lattice
442 structures helping the transfer up to a certain point. But Q_{Cu} is largely inferior to the activation energy of
443 the interfacial mobility of the stable phase, which is 330 kJ/mole. The discontinuity of the lattices
444 structures at the interface is making the transfer much more difficult.

445 The interfacial mobilities at the onset temperatures of θ' (235°C) and θ (400°C) are estimated by
446 the proposed methodology to be respectively equal to $1 \times 10^{-19} \text{ m}^4 \text{J}^{-1} \text{s}^{-1}$ and $1.8 \times 10^{-18} \text{ m}^4 \text{J}^{-1} \text{s}^{-1}$. The
447 corresponding radius growth velocities calculated with Eq. (21) are consequently equal to $4.1 \times 10^{-11} \text{ m/s}$
448 (148 nm/h) for θ' and $1.0 \times 10^{-10} \text{ m/s}$ (360 nm/h) for θ . Considering that the method gives a first order
449 estimation of the interfacial mobility, one can say that interfacial velocities in the range 10^{-11} to 10^{-10} m/s
450 correspond to a temperature above which the reaction rate increases exponentially and can be recorded
451 as a peak by a power-compensated DSC. The evaluation of the interfacial mobility presented in this
452 paper has some sources of uncertainties. Those associated with the number of sites (N_0) and the shape of
453 the precipitates (A_1) have only a moderate impact on the interfacial mobility since the latter is inversely
454 proportional to the cubic root of $N_0 \cdot A_1$. So, overestimating the value of $N_0 \cdot A_1$ by 100% produces an
455 underestimation error of only 21% on the interfacial mobility. The most important uncertainty comes
456 from the determination of the peak temperature. Depending on the data acquisition rate chosen in the
457 DSC procedure, the noise or the time interval between the points is making some uncertainty on the
458 exact position of the peak. The asymmetry of the peak, which may result from simultaneous dissolution-
459 precipitation sequences, is also a source of error, especially when higher heating rates are applied.
460 Fortunately, the endothermic peak amplitude of dissolving precipitates is much lower than the peak
461 amplitude of growing precipitates since 1- the dissolution starts under quasi equilibrium conditions
462 when the temperature is rising since the solubility of the matrix increases slowly with temperature as the
463 latter is approaching the solvus and 2- the dissolution proceeds out of equilibrium once the solvus is
464 crossed but with a very low driving force. The driving force acting on growing precipitates is much
465 larger since the onset temperature is generally well below the solvus, the precipitation kinetic being
466 activated when the thermal fluctuations are sufficiently high to propel the reaction. The endothermic
467 reaction has however a clear effect on the shape of the DSC peak associated to the formation of θ and
468 certainly on the true temperature where the precipitation kinetics reaches its highest conversion rate. A
469 more sophisticated model, including the kinetics of all phases occurring simultaneously, would certainly
470 give a more accurate determination of the parameters E and k_0 .

471 **6. Conclusion**

472 The aim of this work was the development of a reliable method to characterize precipitation
473 kinetics in Aluminium alloys in order to predict the incubation time and growth rate during isothermal
474 aging. This was achieved by assuming that the early stage of growth is interface controlled, which
475 implies that the kinetics of precipitation is governed by the interfacial mobility. This concept was
476 proposed on the basis that the mixed mode growth (diffusion + interface control) is a more realistic
477 approach than the diffusion controlled mode to estimate the evolution of a precipitate, since the
478 interfacial mobility is not infinite, particularly at low temperatures.

479 The precipitation sequence in an Al-3.5wt%Cu has been investigated by DSC analysis and TEM
480 observations. The results may be summarized as follows:

- 481 • DSC curves show up three major exothermic events, which were respectively identified to the
482 formation of GP zones, θ' and θ .
- 483 • The activation energies associated to the precipitation of θ' and θ were found to be 114 and 330
484 kJ per mol respectively. These values are in agreement with previous evaluations made by other
485 authors.
- 486 • The kinetic parameters estimated using the Kissinger methodology were associated to the
487 interfacial mobility.
- 488 • TEM examinations showed a high number density of θ' precipitates and the presence of some
489 isolated θ precipitates after 6h of isothermal aging at 190°C. The number density of θ particles
490 was roughly 20 times lower than the number density of θ' .
- 491 • Finally, the interfacial mobility of θ' and θ precipitates has been evaluated with respect of
492 temperature.

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499 **Conflicts of Interest**

500 The authors declare no conflict of interest.

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- 547

548 **Figure Captions**

549 Figure 1: Schematic size evolution of a population of embryos during the subcritical growth regime

550 Figure 2: Baselines correction description for 80.55mg sample and reference with a 4K/min heating
551 rate

552 Figure 3: As-cast (a) and as-quenched (b) Al-3.5wt%Cu microstructure
553 Figure 4: Microhardness evolution during aging at 190°C for the Al-3.5wt%Cu alloy
554 Figure 5: DSC runs for 1-2-4-6-8 K/min heating rates.
555 Figure 6: Kissinger diagrams for a) GP zones – peak A, b) θ' - peak B, c) θ – peak C
556 Figure 7: DSC runs and peaks adjusted to optimize the fit between experimental and sum of the heat
557 produced by associated transformations
558 Figure 8: Isothermal evolution of the fraction transformed as calculated by the LKSZ kinetic model a)
559 at 190°C and b) at 350°C
560 Figure 9: TEM observations along the $\langle 001 \rangle$ axis of a Al-3.5wt%Cu sample aged 6 hours at 190°C
561 Figure 10: TEM observations along the $\langle 001 \rangle$ axis of a Al-3.5wt%Cu sample aged 12 hours at 190°C
562 Figure 11: SAED pattern on a) FCC Al matrix, b) θ -Al₂Cu tetragonal centered
563 Figure 12: Diagram showing the temperature dependency of the interfacial mobility of phases θ and θ'
564 in the Al-3.5wt%Cu alloy

565

566 **Table Captions**

567 Table 1: Chemical composition of cast Al-Cu alloy from cast ingots
568 Table 2: PC-DSC peak temperatures (°C) $T_f = T_{Peak}$ obtained at different heating rates on Al-3.5wt%Cu
569 Table 3: Parameters from the fitting procedure
570 Table 4: Equilibrium values calculated with MatCalc for the alloy Al-3.5wt%Cu
571 Table 5: Activation energies for the formation of θ and θ' in different Al-Cu alloys from literature

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