

1 **An Analytical Model for Rapid Estimation of Hurricane Supergradient Winds**

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6 **Abstract:** The supergradient winds that may have severe implications on the wind design of high-
7 rise buildings have been commonly observed in the hurricane boundary layer. However, the
8 widely-used log-law or power-law wind profile excludes the supergradient-wind region in which
9 the tangential winds are larger than the gradient winds. Although high-fidelity, nonlinear hurricane
10 wind models may well capture the supergradient winds, high computational demand is needed for
11 each simulation. Recently developed linear, height-resolving hurricane wind models, while can
12 efficiently consider the existence of supergradient winds, significantly underestimate them due
13 essentially to the ignorance of vertical advection term in the governing equations. A number of
14 studies have actually demonstrated that the vertical advection is a major contributor to the transfer
15 of horizontal momentum to the supergradient region. To this end, a refined analytical model that
16 simultaneously integrates the horizontal advection, vertical advection and vertical diffusion terms
17 into the governing equations is developed for accurately and efficiently estimating the hurricane
18 supergradient winds. The important role of the vertical wind speed in determining the horizontal
19 wind speeds (including supergradient winds) in the hurricane boundary layer is highlighted. Since
20 the horizontal and vertical wind components are mutually dependent, the iteration technique is
21 utilized to solve the proposed analytical model. The consideration of the vertical advection results
22 in intensified supergradient winds that are consistent with the observations. Furthermore, a strong
23 outflow region in the vicinity of the radius of maximum winds due to the supergradient winds can
24 be obtained. Due to its simplicity and computational efficiency, the developed analytical model
25 can be easily implemented in the Monte Carlo simulations for the rapid assessment of hurricane
26 wind risk to coastal structures, especially to high-rise buildings.

27 **Keywords:** *Hurricane; Boundary layer; Wind field; Supergradient wind; Vertical advection.*

28 **1. Introduction**

29 Hurricanes are among the most devastating natural hazards responsible for life losses in the coastal
30 regions and massive financial risk to insurance and reinsurance companies (e.g., Pielke et al. 2008;
31 Czajkowski et al., 2011; Rappaport, 2014). The existence of the supergradient-wind region, where
32 the tangential winds are larger than gradient wind, has been widely observed inside the hurricane
33 boundary layer for both marine and landfall conditions (e.g., Giammanco et al. 2012; 2013; He et
34 al. 2013; Tse et al. 2014a; Krupar 2015; Snaiki and Wu 2018a). For example, Franklin et al. (2003),
35 Powell et al. (2003), Bell et al. (2008), Sanger et al. (2014) and Montgomery et al. (2014)
36 confirmed the existence of supergradient winds in the eyewall region of hurricane boundary layer
37 using the GPS dropsondes data provided by the National Oceanic and Atmospheric Administration
38 (NOAA) under marine conditions. A pronounced supergradient-wind region near the radius of
39 maximum winds was also depicted by Vickery et al. (2009) using GPS dropsondes data from 1997
40 to 2003. On the other hand, Giammanco et al. (2012; 2013) and Krupar (2015) used the velocity
41 Azimuth Display (VAD) technique (Lhermitte and atlas 1961; Browning and Wexler 1968) on the
42 data retrieved by the Weather Surveillance Radar-1988 Doppler (WSR-88D) network to examine
43 the vertical boundary-layer mean wind profile overland. The wind maxima below the gradient
44 wind region was clearly identified near the radius of maximum winds, and the height of
45 supergradient winds was observed to increase with the radial distance from storm center. Similarly,
46 Tse et al. (2014a; 2014b) detected the supergradient-wind region during several typhoons using
47 the measurement data taken by a Doppler Sodar and a boundary layer wind profiler. He et al.
48 (2013) identified the supergradient winds at the height of 500-600 m based on the collected data
49 from Doppler radar profiler. The height range associated with the supergradient winds varies
50 depending on the hurricane intensity and other characteristics. In general, the intense hurricanes

51 present more substantial supergradient winds at relatively lower altitudes (e.g., around 300 m)
52 compared to weak hurricanes. With the development of new lightweight and high-strength
53 materials together with advanced construction techniques, more and more mega-tall buildings have
54 emerged in the coastal areas (Zheng et al. 2019; CTBUH 2020). Hence, it is important to efficiently
55 and accurately take the supergradient region into account in the wind design to ensure target safety
56 of the civil infrastructures (Franklin et al. 2003; Snaiki and Wu 2018b).

57 The occurrence of supergradient winds requires a sufficient horizontal momentum that is
58 essentially transferred through three processes, namely the horizontal advection, vertical advection
59 and vertical diffusion. The azimuthal frictional wind component is typically negative, however,
60 the supergradient winds mean that a positive azimuthal frictional wind component occurs.
61 Accordingly, the horizontal advection term associated with the azimuthal friction wind component
62 in the governing equation of radial momentum will result in an outflow region associated with the
63 supergradient winds. The slab models widely-utilized in several engineering applications (e.g.,
64 Vickery et al. 2000; Vickery et al. 2009), due to the depth-averaging of governing equations,
65 cannot provide accurate assessment of the vertical wind profile (e.g., Kepert 2010a; Kepert 2010b).
66 Hence, significant efforts have been made to develop linear, height-resolving hurricane wind
67 models with parametrization of the turbulent fluxes and surface drag (e.g., Rosenthal 1962;
68 Yoshizumi 1968; Meng et al. 1995, 1997; Kepert 2001; Snaiki and Wu 2017a, 2017b; Fang et al.
69 2018). However, the pioneering study of Kepert and Wang (2001) demonstrated that these linear
70 hurricane models cannot accurately capture the supergradient winds. In particular, they tend to
71 significantly underestimate the low-level wind maximum (representing the supergradient
72 strength). This shortcoming has been attributed to the neglect of vertical advection that plays an
73 important role in strengthening and sustaining the supergradient wind component.

74 In this study, a refined analytical model that simultaneously considers the horizontal
75 advection, vertical advection and vertical diffusion will be developed to accurately and efficiently

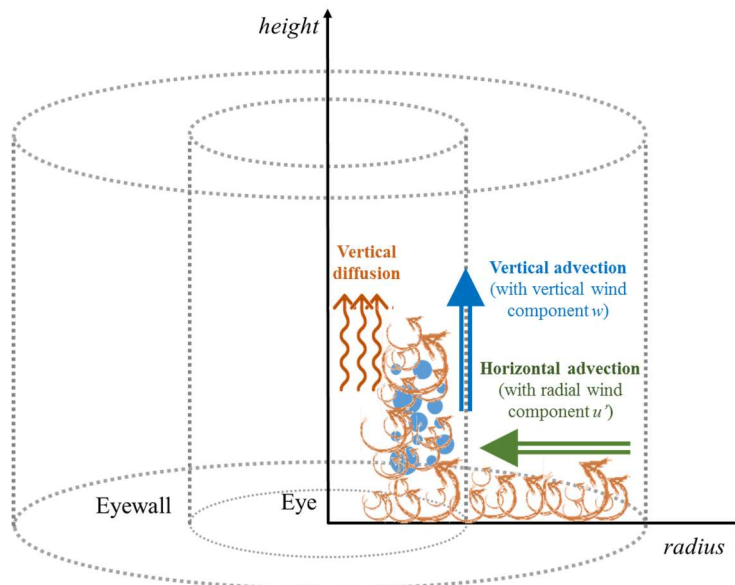
76 estimate the hurricane supergradient winds. The decomposition method will be utilized in which
77 the wind velocity is expressed as the summation of the gradient-wind and frictional components.
78 Both of these two components are determined analytically by solving the simplified governing
79 equations that include the vertical advection. The importance of the hurricane vertical wind speed
80 in determining its horizontal wind speeds (including supergradient winds) is highlighted. The
81 iteration scheme will be used in the simulations since the horizontal and vertical wind components
82 are mutually dependent. Several hurricane scenarios will be carried out to highlight the significant
83 contributions of the vertical advection to supergradient winds as well as to outflow that occurs
84 above the supergradient region in the vicinity of the radius of maximum winds. The hurricane
85 boundary layer wind profiles obtained from the developed analytical model will be validated based
86 on the observation data from hurricanes Dolly and Ike.

87 **2. Analytical Wind Model**

88 **2.1 Theoretical background**

89 The linear analytical wind models of the hurricane boundary layer, due to their simulation
90 convenience and efficiency, have been extensively utilized in engineering applications. These
91 analytical models are typically based on simplified assumptions where only the horizontal
92 advection and vertical diffusion terms are retained while the vertical advection is disregarded.
93 However, the removal of the vertical advection is not supported by results from scale analysis of
94 the fully nonlinear Navier-Stokes equations (Smith 1968; Vogl and Smith 2009; Snaiki and Wu
95 2017a). In fact all horizontal advection, vertical diffusion and vertical advection components are
96 significant contributors to the supergradient winds in the hurricane boundary layer, as depicted in
97 Fig. 1. More specifically, the substantial increase of the tangential (azimuthal) wind component v_θ
98 associated with supergradient winds essentially result from a high absolute angular momentum
99 $M_a (rv_\theta + fr^2/2$ where $f =$ Coriolis parameter), which is maintained not only through the horizontal

100 advection and vertical diffusion but also through the vertical advection. Furthermore, the vertical
 101 advection also contributes towards the outflow as observed above the supergradient-wind region
 102 (e.g., Kepert 2001; Kepert and Wang 2001). Since the hurricane vertical wind speed plays an
 103 important role in the vertical advection and hence the upward transport of inward momentum from
 104 lower altitudes, the maximum winds occur in the eyewall region where the updraft is substantial
 105 (e.g., Kepert 2001; Kepert and Wang 2001; Franklin et al. 2003; Powell et al. 2003).



106
 107 **Fig. 1.** Schematic illustration for generation mechanism of supergradient winds

108 The inclusion of the vertical advection term in the governing equations results in a
 109 nonlinear wind model (Kepert and Wang 2001). While the nonlinear hurricane model can well
 110 predict the supergradient winds (e.g., higher than 10 % of the gradient wind speed near the radius
 111 of maximum wind region), its applications is limited due to the high computation demands to
 112 obtain the numerical solutions. To reduce the computational cost in the consideration of nonlinear
 113 vertical advection terms, the hurricane boundary-layer region will be divided into a series of
 114 vertical bins corresponding to various heights. Typically, the change of vertical wind speed within
 115 a bin is very small. Hence, it is reasonable to assume a unique vertical wind speed value (radial
 116 and azimuthal dependent) within each bin (evaluated at the center of bin). This simplification

117 results in an analytical wind model with the consideration of vertical advection, and hence it offers
 118 efficient simulations of more realistic hurricane boundary-layer winds especially in the
 119 supergradient-wind region.

120 **2.2 Governing equations**

121 The governing equation of the hurricane boundary-layer wind field can be expressed as follows:

$$122 \quad \frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} = -\frac{1}{\rho} \nabla p - f \mathbf{k} \times \mathbf{v} + \mathbf{F} \quad (1)$$

123 where \mathbf{v} = wind velocity; \mathbf{k} = unit vector in the vertical direction; f = Coriolis parameter; ρ = air
 124 density; \mathbf{F} = frictional force; and p = pressure field. The above equations are typically solved with
 125 a prescribed pressure distribution in the hurricane boundary layer described as (Holland 1980):

$$126 \quad p = p_c + \Delta p \exp\left[-(r_m / r)^B\right] \quad (2)$$

127 where p_c = central pressure; Δp = central pressure difference; r = radial distance from the tropical
 128 cyclone center; r_m = radius of maximum winds; and B = Holland's radial pressure parameter.

129 To further simplify the calculations of the wind velocity \mathbf{v} , it is expressed as the vector
 130 summation of a gradient wind component in the free atmosphere (\mathbf{v}_g) and a frictional wind
 131 component near the ground surface (\mathbf{v}'):

$$132 \quad \mathbf{v} = \mathbf{v}_g + \mathbf{v}' \quad (3)$$

133 Two separate equations can be obtained based on this decomposition approach:

$$134 \quad \frac{\partial \mathbf{v}_g}{\partial t} + \mathbf{v}_g \cdot \nabla \mathbf{v}_g = -\frac{1}{\rho} \nabla p - f \mathbf{k} \times \mathbf{v}_g \quad (4a)$$

$$135 \quad \frac{\partial \mathbf{v}'}{\partial t} + \mathbf{v}' \cdot \nabla \mathbf{v}' + \mathbf{v}' \cdot \nabla \mathbf{v}_g + \mathbf{v}_g \cdot \nabla \mathbf{v}' = -f \mathbf{k} \times \mathbf{v}' + \mathbf{F} \quad (4b)$$

136 The unsteady term related to the gradient wind can be expressed as $\frac{\partial v_g}{\partial t} = -c\nabla v_g$ (Meng et al. 1995;
 137 Snaiki and Wu 2017a; Fang et al. 2018). Consequently, the gradient wind speed (in the azimuthal
 138 direction) could be solved straightforwardly in the cylindrical coordinate system (r, θ, z) whose
 139 origin is located at the hurricane center (Georgiou 1986; Meng et al. 1995; 1997):

$$140 \quad v_{\theta g} = \frac{(-c \sin(\theta - \nu) - fr)}{2} + \left[\frac{(-c \sin(\theta - \nu) - fr)^2}{4} + \frac{r}{\rho} \frac{\partial p}{\partial r} \right]^{1/2} \quad (5)$$

141 where ν = approach angle (counter clockwise positive from the East); θ = azimuthal angle; and
 142 c = hurricane translation speed. The insignificant radial wind component v_{rg} is usually disregarded
 143 as suggested by Meng et al. (1995).

144 For the nonlinear governing equation of Eq. (4b), the scale analysis results in the following
 145 equations (Smith and Montgomery 2010; Snaiki and Wu 2017a):

$$146 \quad u' \frac{\partial u'}{\partial r} + \frac{v_{\theta g} + v'}{r} \frac{\partial u'}{\partial \theta} + w \frac{\partial u'}{\partial z} - \frac{v'^2}{r} - \xi_g v' = K \frac{\partial^2 u'}{\partial z^2} \quad (6a)$$

$$147 \quad u' \frac{\partial v'}{\partial r} + \frac{v_{\theta g} + v'}{r} \frac{\partial v'}{\partial \theta} + w \frac{\partial v'}{\partial z} + \frac{u'v'}{r} + \xi_{ag} u' + \frac{v'}{r} \frac{\partial v_{\theta g}}{\partial \theta} = K \frac{\partial^2 v'}{\partial z^2} \quad (6b)$$

148 where u' and v' are frictional components of the radial and azimuthal wind speeds, respectively;

149 $\xi_g = 2v_{\theta g}/r + f$ is the absolute angular velocity; $\xi_{ag} = \partial v_{\theta g}/\partial r + v_{\theta g}/r + f$ is the vertical component

150 of absolute vorticity of gradient wind; and K represents eddy viscosity. For engineering purposes,

151 the nonlinear equations could be further simplified by disregarding all derivatives with respect to

152 the angular coordinate θ (e.g., Meng et al. 1995; 1997). Accordingly, only the nonlinear terms

153 corresponding to the vertical advectons, namely $w \frac{\partial u'}{\partial z}$ and $w \frac{\partial v'}{\partial z}$ are retained in the simulation of

154 hurricane boundary-layer winds. The newly obtained equations can be expressed as:

$$155 \quad w \frac{\partial u'}{\partial z} - \left(2 \frac{v_{\theta g}}{r} + f \right) v' = K \frac{\partial^2 u'}{\partial z^2} \quad (7a)$$

$$156 \quad w \frac{\partial v'}{\partial z} + \left(\frac{\partial v_{\theta g}}{\partial r} + \frac{v_{\theta g}}{r} + f \right) u' = K \frac{\partial^2 v'}{\partial z^2} \quad (7b)$$

157 **2.3 Analytical solutions**

158 By introducing a new variable $\omega = \sqrt{\frac{\beta}{\alpha}} u' + i v'$, Eqs. (7a) and (7b) can be unified into one simple

159 equation as:

$$160 \quad \frac{\partial^2 \omega}{\partial z^2} - \frac{w}{K} \frac{\partial \omega}{\partial z} - 2i \sqrt{\alpha \beta} \omega = 0 \quad (8)$$

161 where $\alpha = \frac{1}{2K} \xi_g$ and $\beta = \frac{1}{2K} \xi_{ag}$. To obtain the analytical solutions of the governing equations

162 involving nonlinear vertical advection terms, the hurricane boundary-layer region is divided into

163 a series of vertical bins corresponding to various heights. The vertical wind speed $w(r, \theta)$ for each

164 bin can be determined based on the iteration process as will be highlighted subsequently.

165 The characteristic equation of the second-order differential equation (Eq. 8) can be

166 extracted as:

$$167 \quad q_k^2 - \frac{w}{K} q_k - 2i \sqrt{\alpha \beta} = 0 \quad (9)$$

168 The roots of the auxiliary equation (q_k) are determined and expressed in terms of the parameters

169 x and y :

$$170 \quad x = \sqrt{\frac{w^2}{2K^2} + \sqrt{\frac{w^4}{4K^4} + 16\alpha\beta}} \quad (10a)$$

$$171 \quad y = \frac{4\sqrt{\alpha\beta}}{x} \quad (10b)$$

172 Accordingly, the solution of q_k to ensure the perturbations of v' and u' equal to zero at very high
 173 altitudes (i.e., $\mathbf{v}'|_{z' \rightarrow \infty} = 0$) (Smith and Montgomery 2010) is given as:

$$174 \quad q_k = \left(\frac{w}{2K} - \frac{x}{2} \right) - \frac{iy}{2} \quad (11)$$

175 Hence, the solution of Eq. (8) can be obtained as follows:

$$176 \quad \omega = (D_1 + iD_2) \exp \left[\left(\frac{w}{2K} - \frac{x}{2} \right) z - \frac{iyz}{2} \right] \quad (12)$$

177 where D_1 and D_2 are two constants. They are determined using the boundary condition above the
 178 ground surface as:

$$179 \quad \rho K \frac{\partial \mathbf{v}'}{\partial z} \Big|_{z'=0} = \rho C_d |\mathbf{v}_s| \mathbf{v}_s \quad (13)$$

180 where \mathbf{v}_s = total wind velocity near the ground surface; and C_d = drag coefficient. Therefore, the
 181 frictional wind components are determined as:

$$182 \quad u' = \sqrt{\frac{\alpha}{\beta}} \exp \left[\left(\frac{w}{2K} - \frac{x}{2} \right) z \right] \left[D_1 \cos \left(\frac{yz}{2} \right) + D_2 \sin \left(\frac{yz}{2} \right) \right] \quad (14a)$$

$$183 \quad v' = \exp \left[\left(\frac{w}{2K} - \frac{x}{2} \right) z \right] \left[-D_1 \sin \left(\frac{yz}{2} \right) + D_2 \cos \left(\frac{yz}{2} \right) \right] \quad (14b)$$

184 where D_1 and D_2 are given as:

$$185 \quad D_1 = \frac{C_d |\mathbf{v}_s| \left\{ \sqrt{\frac{\beta}{\alpha}} \left[\left(\frac{w}{2K} - \frac{x}{2} \right) - C_d \frac{|\mathbf{v}_s|}{K} \right] v_{rg} - \frac{y}{2} v_{\theta g} \right\}}{K \left\{ \frac{y^2}{4} + \left[\left(\frac{w}{2K} - \frac{x}{2} \right) - C_d \frac{|\mathbf{v}_s|}{K} \right]^2 \right\}} \quad (15a)$$

$$186 \quad D_2 = \frac{\left\{ \frac{y}{2} D_1 + \frac{C_d |\mathbf{v}_s|}{K} v_{\theta g} \right\}}{\left\{ \left(\frac{w}{2K} - \frac{x}{2} \right) - C_d \frac{|\mathbf{v}_s|}{K} \right\}} \quad (15b)$$

187 The vertical wind speed w is needed to obtain the frictional wind components, and it will be
 188 calculated based on the continuity equation which can be expressed in the cylindrical coordinates
 189 as:

$$190 \quad \frac{1}{r} \frac{\partial(ru')}{\partial r} + \frac{\partial w}{\partial z} = 0 \quad (16)$$

191 Accordingly, the vertical wind component can be obtained as:

$$192 \quad w = -\frac{1}{r} \frac{\partial}{\partial r} \left(r \int_0^z u' dz \right) \quad (17)$$

193 Since the vertical wind speed w depends on the frictional wind u' (radial component),
 194 which is itself dependent on w , the iteration approach is utilized in the computation. Figure 2
 195 presents a flowchart for calculating the height-resolving, hurricane boundary-layer winds in this
 196 study. First, initial estimates of the vertical wind speed w_0 can be obtained using Eq. (17) where
 197 the radial wind component is determined without consideration of the vertical advection. Once the
 198 initial value of w is given, the corresponding frictional wind components could be evaluated based
 199 on Eqs. (14a), (14b), (15a) and (15b). The vertical wind speed will be updated to be w_{i+1} until
 200 $|w_{i+1} - w_i| < \varepsilon$ is achieved, where ε is a selected threshold. Two to three iterations are typically
 201 needed with a prescribed threshold $\varepsilon = 5\%$ for all simulations in the present study. It should be
 202 noted that w at very low altitudes (near surface) is negligible compared to that near and above the
 203 supergradient region (e.g., Kepert and Wang 2001; Vogl 2009).

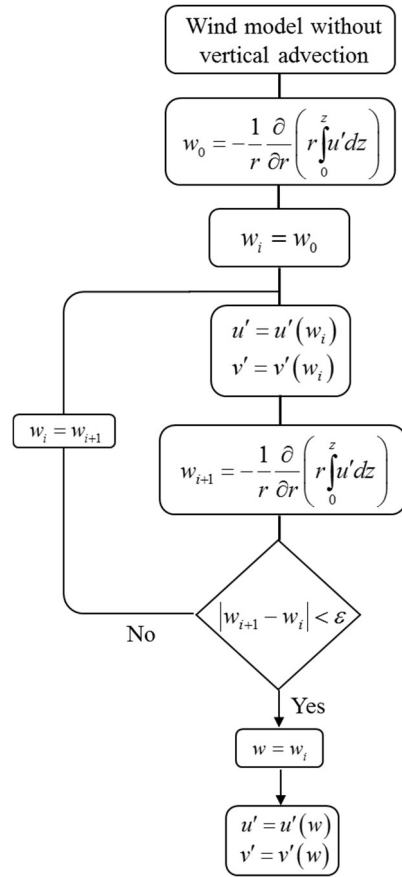


Fig. 2. Flow chart of hurricane boundary-layer wind simulation

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205

206 3. Model Validation and Application

207 3.1 Model validation

208 The developed refined analytical model for effectively simulating hurricane boundary-layer winds

209 will be validated based on two scenarios, namely hurricane Dolly (2008) and hurricane Ike (2008).

210 In both simulation scenarios, the vertical bins are discretized with $\Delta z = 100 m$.

211 Hurricane Dolly caused widespread power outages and substantial tree damage in Texas

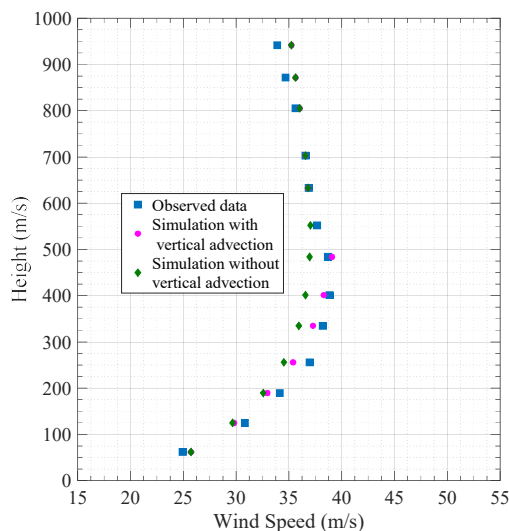
212 with approximately \$1.05 billion of total loss (Pasch and Kimberlain 2009). Dolly reached

213 hurricane strength on 23 July 2008 and made landfall at South Padre Island on 23 July at 1800

214 UTC as a Category 1 hurricane with a maximum sustained surface wind speed of 39 m/s. After

215 landfall, Dolly weakened and moved along the Texas-Mexico border. The minimum pressure

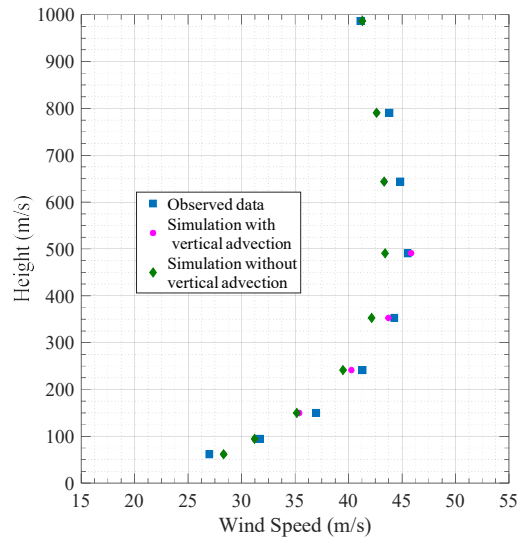
216 recorded during hurricane Dolly was estimated to be 963 hpa around 1400 UTC on the July 23rd
 217 with a maximum sustained surface wind of 44 m/s. The comparison of the wind profiles at the
 218 location of (N25.91°, W97.42°) calculated based on the analytical wind models with and without
 219 consideration of the vertical advection is depicted in Fig. 3, together with the measured data from
 220 the KBRO Doppler radar (Krupar 2015). All necessary parameters needed in the simulations were
 221 obtained from the HURDAT database on 23 July 2008. As shown in the figure, the simulation
 222 accuracy of the supergradient winds associated with Hurricane Dolly is significantly improved by
 223 considering the vertical advection in the analytical wind model.



224 **Fig. 3.** Observed and simulated wind speed of Hurricane Dolly (2008)
 225

226 Hurricane Ike caused many deaths and extensive damage along the Caribbean and the
 227 coastlines of Texas and Louisiana. It reached Category 4 hurricane with an estimated central
 228 pressure of 935 hpa and a maximum sustained surface wind of 65 m/s at 0600 UTC on September
 229 4th. Hurricane Ike first made landfall in Cuba then entered the Gulf of Mexico. It made landfall
 230 again near Houston, Texas, at 0700 UTC on September 13th after which it quickly weakened to a
 231 tropical storm. Figure 4 presents the comparison of the wind profiles at the location of (N29.47°,
 232 W95.07°) provided by the analytical wind models with and without consideration of the vertical
 233 advection, together with the observed data from the KHGX Doppler radar (Krupar 2015). All

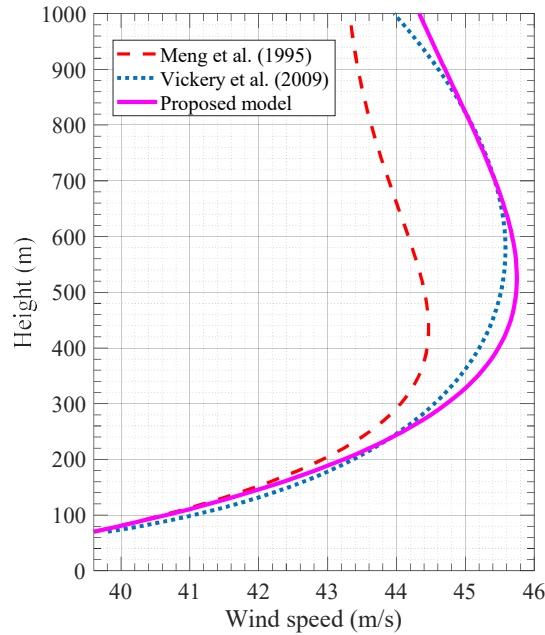
234 required parameters for the wind field simulations were obtained from the HURDAT database on
 235 13 September 2008. As shown in the figure, the simulation accuracy of the supergradient winds
 236 associated with Hurricane Ike is greatly improved by considering the vertical advection in the
 237 analytical wind model.



238 **Fig. 4.** Observed and simulated wind speed of Hurricane Ike (2008)
 239

240 The proposed analytical model is further compared to the linear model of Meng et al.
 241 (1995) and the semi-empirical model of Vickery et al. (2009) for a hurricane scenario with storm
 242 parameters of $p_c = 960$ hpa, $r_m = 60$ km, $B = 1.0$, $c = 7.5$ m/s, $\nu = 90^\circ$, $\psi = 32.8^\circ$ and
 243 $z_0 = 0.001$ m. Figure 5 depicts the hurricane mean wind profiles near the radius of maximum wind.
 244 As shown in the figure, both models of the present study and Vickery et al. (2009) provide
 245 significantly improved simulation of supergradient winds compared to the linear model of Meng
 246 et al. (1995) (without consideration of vertical advection). It should be noted that the semi-
 247 empirical model of Vickery et al. (2009) is mostly well-suited for marine conditions (Snaiki and
 248 Wu 2018a). The simplification of a constant vertical wind speed for each bin is also well justified
 249 based on the results of this numerical example. For example, the vertical wind speeds at 600 m
 250 and 700 m are 0.238 m/s and 0.251 m/s, respectively. The vertical wind speed of 0.246 m/s at the

251 center of the corresponding bin (with a size of 100 m) is selected as the unique value. Accordingly,
 252 the change in the vertical wind speed within this bin is less than 5% with respect to the employed
 253 constant value. Further improved simulation accuracy can be always achieved by reducing the bin
 254 size.



255
 256 **Fig. 5.** Comparison of hurricane mean wind profiles at $\theta = 0^\circ$ obtained using various models

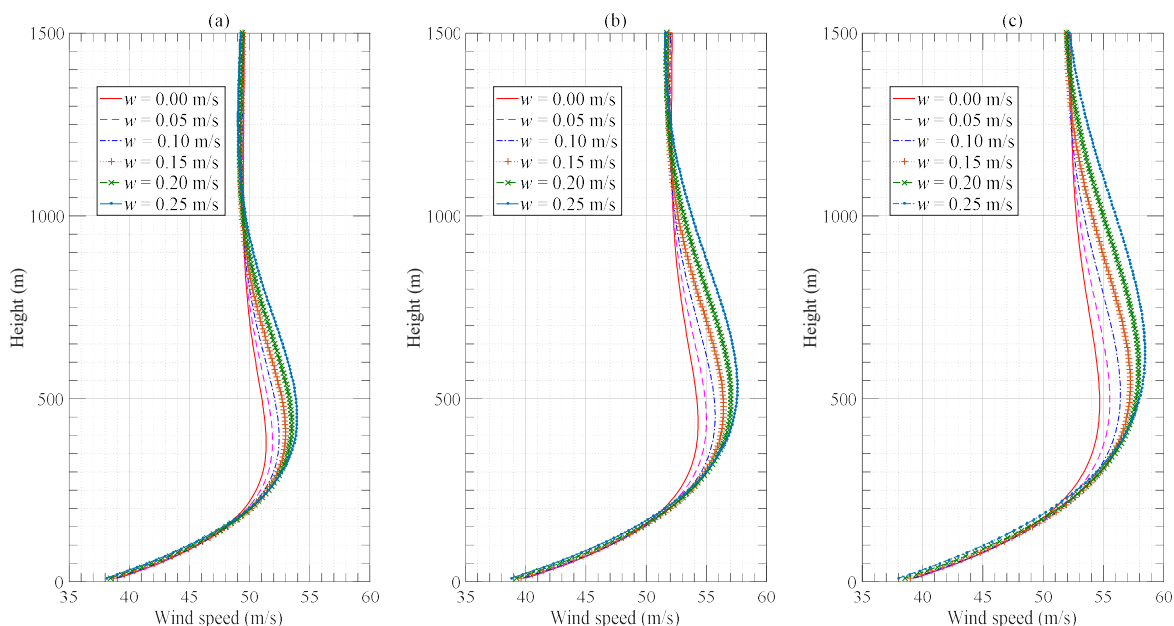
257 3.2 Application

258 A case study will be presented in this section to comprehensively investigate the vertical advection
 259 effects on the simulated hurricane boundary-layer winds. The storm parameters of the selected
 260 hurricane scenario, namely the central pressure p_c , radius of maximum winds r_m , Holland
 261 parameter B , translation speed c , approach angle ν , latitude ψ , and surface roughness z_0 are
 262 listed in Table 1.

263 **Table 1.** Storm parameters for hurricane boundary-layer wind simulation

Parameter	p_c (hpa)	r_m (km)	B	c (m/s)	ν ($^\circ$)	ψ ($^\circ$)	z_0 (m)
value	950	60	1.3	5	90	32.8	0.01

264 Figure 6 depicts the hurricane mean wind profiles at three different locations near the radius
 265 of the maximum winds, namely $r = 40\text{km}$, $r = 50\text{km}$, $r = 60\text{km}$ under various values of vertical
 266 wind speed w . For the sake of illustration, a unique value of w is imposed on the whole hurricane
 267 boundary-layer region to highlight its effects on the mean wind profile. As shown in the figures,
 268 the height of the maximum winds increases with radius in accordance with the observations and
 269 numerical simulations (e.g., Zhang et al. 2011; Snaiki and Wu 2017a).



270
 271 **Fig. 6.** Hurricane mean wind profiles at different locations: (a) $r = 40\text{ km}$; (b) $r = 50\text{ km}$; (c) $r = 60\text{ km}$

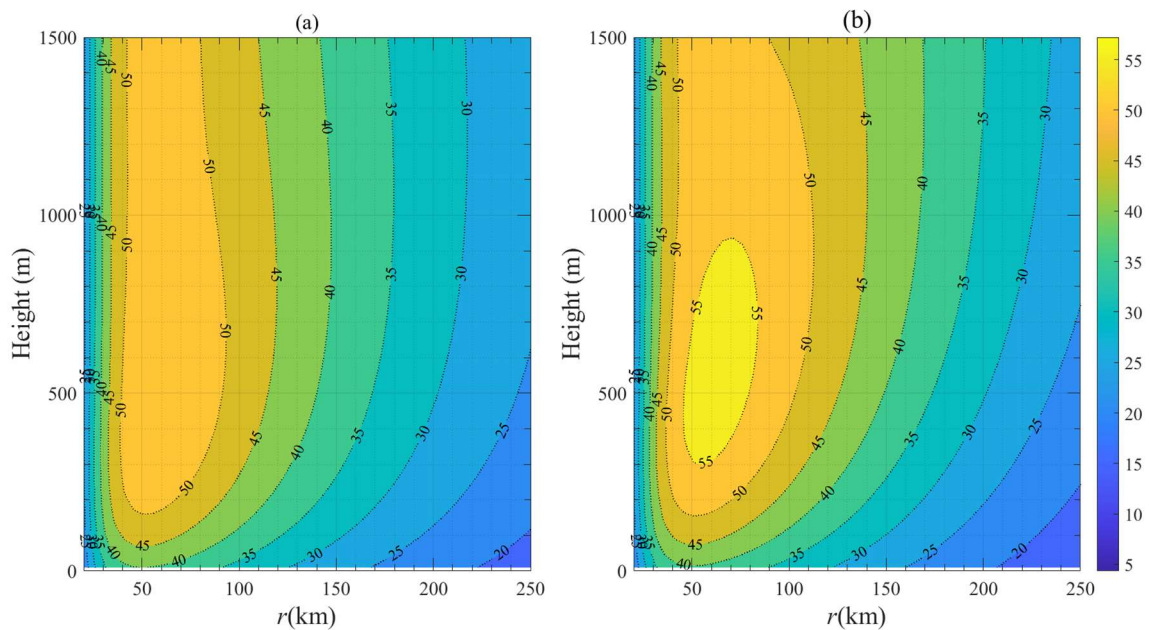
272 The comparison of supergradient strengths, defined as the relative change between the
 273 maximum supergradient-wind and corresponding gradient-wind speeds, indicates that the
 274 supergradient winds become more significant with enhancement of the vertical advection. For
 275 instance, the supergradient strength is equal to 12.04 % under the strong vertical advection with
 276 $w = 0.25\text{ m/s}$ while it is equal to 5.15 % without consideration of vertical advection ($w = 0\text{ m/s}$).
 277 Table 2 summarizes the obtained supergradient strengths at different wind profile locations for
 278 various values of the vertical wind speed w .

279 **Table 2.** Comparison of supergradient strengths for various vertical wind speed values

	$w = 0.00$ m/s	$w = 0.05$ m/s	$w = 0.10$ m/s	$w = 0.15$ m/s	$w = 0.20$ m/s	$w = 0.25$ m/s
$r = 40$ km	4.30 %	5.36 %	6.48 %	7.57 %	8.61 %	9.52 %
$r = 50$ km	4.66 %	6.67 %	8.07 %	9.43 %	10.65 %	11.66 %
$r = 60$ km	5.15 %	6.80 %	8.46 %	10.06 %	11.41 %	12.40 %

280

281 The contours of the hurricane boundary-layer winds for two cases of $w = 0.00$ m/s and
 282 $w = 0.15$ m/s are plotted in Fig. 7 to further examine the vertical advection effects on the wind spatial
 283 distribution. A strong supergradient-wind region is clearly identified near the radius of maximum
 284 winds when considering the vertical advection.

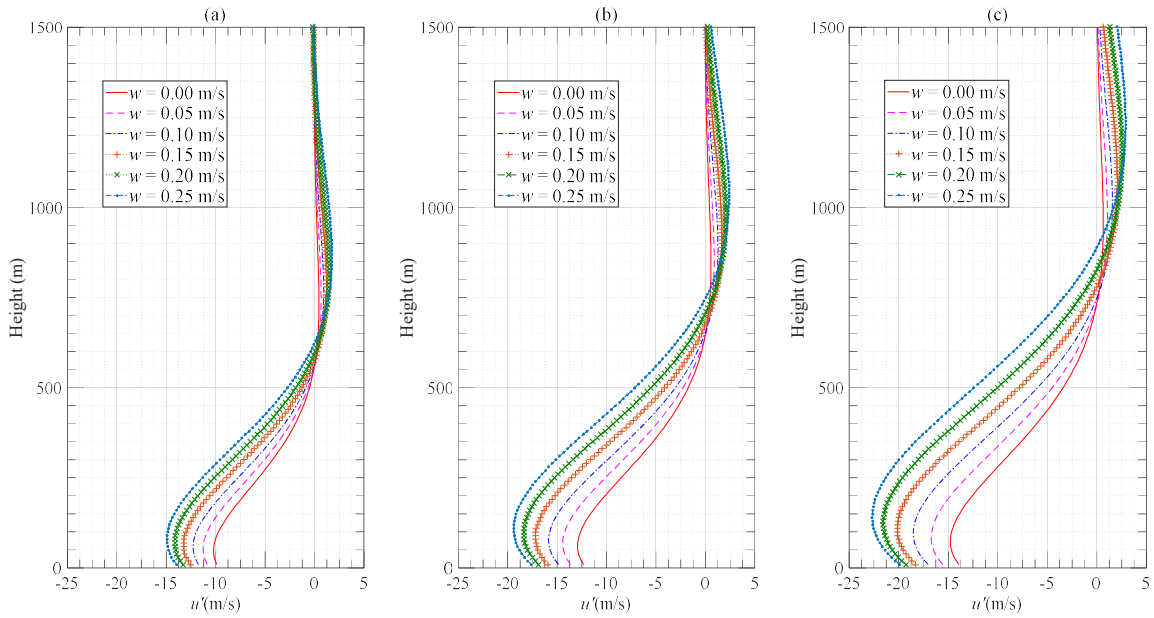


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286 **Fig. 7.** Contour of wind field for the cases: (a) $w = 0.00$ m/s (without vertical advection); (b) $w = 0.15$ m/s (with
 287 vertical advection)

288

289 As discussed in the preceding section, the consideration of vertical advection in the vicinity
 290 of the radius of maximum winds results in an outflow region (e.g., Kepert and Wang 2001). This
 291 phenomenon is illustrated using the hurricane radial wind profiles as shown in Fig. 8, where the
 292 height of outflow region generally increases with the radius.



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Fig. 8. Hurricane radial wind profiles at different locations: (a) $r=40$ km; (b) $r=50$ km; (c) $r=60$ km

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A detailed comparison of the maximum outflow for various values of vertical wind speed is summarized in Table 3. It is shown that the outflow strength is positively proportional to the vertical wind speed. For instance, the outflow at the radius $r=60$ km is equal to 2.9 m/s for the case of $w=0.25$ m/s, more than four times the value for the case of $w=0$ m/s (without consideration of the vertical advection). This observation indicates that the gradient-wind height will be significantly underestimated if the vertical advection is not considered in the simulation of hurricane boundary-layer winds.

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Table 3. Comparison of outflow speeds for various vertical wind speed values

	$w=0.00$ m/s	$w=0.05$ m/s	$w=0.10$ m/s	$w=0.15$ m/s	$w=0.20$ m/s	$w=0.25$ m/s
$r=40$ km	0.44	0.67	0.93	1.21	1.48	1.74
$r=50$ km	0.56	0.88	1.27	1.67	2.06	2.40
$r=60$ km	0.64	1.06	1.60	2.08	2.55	2.90

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304 4. Concluding Remarks

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An inherent shortcoming of the widely-used linear hurricane wind models is that the vertical advection is not considered, and hence an underestimated, weak low-level wind maximum

307 (representing the supergradient strength) is typically obtained. In this study, a refined analytical
308 model that simultaneously considers the horizontal advection, vertical diffusion and vertical
309 advection is developed for accurately and efficiently estimating hurricane supergradient winds.
310 The iteration scheme is utilized in the computational scheme since the horizontal and vertical wind
311 components are mutually dependent. It has been demonstrated that the vertical advection plays an
312 important role in strengthening and maintaining the supergradient winds. The supergradient
313 strength obtained from the proposed analytical wind model with consideration of vertical
314 advection are typically higher than 10%, while the values simulated by using the conventional
315 linear hurricane models are generally lower than 5%. Due to the consideration of vertical
316 advection, the high simulation accuracy of the developed analytical model for the hurricane
317 supergradient winds is validated with the observation data. In addition, the consideration of vertical
318 advection results in an outflow region near the radius of maximum winds, and hence an increased
319 gradient-wind height.

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