



1 **Shear Strengthening of Concrete Members with Unbonded Transverse** 2 **Reinforcement**

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11 ABSTRACT

12 This paper examines the behaviour of thick concrete members strengthened in shear with unbonded
13 transverse reinforcement. The retrofitting technique consists of placing unbonded vertical bars with steel
14 end plates or torque controlled expansion end anchorages in pre-drilled holes of existing thick members.
15 To study the behaviour of these members, loading tests as well as numerical analyses were carried out.
16 Shear capacities were compared to the predictions using the shear design approach in the Canadian
17 Highway Bridge Design Code. The design equations which are intended for traditional stirrups
18 reinforcement overestimates the shear capacities of the members strengthened with unbonded transverse
19 reinforcement. However, numerical analyses provided very accurate predictions of the shear capacities. A
20 finite element parametric study examines the effects of the shear span-to-depth ratio, vertical prestressing,
21 shear reinforcement ratio and the stiffness of the vertical reinforcement. The stiffness of the shear
22 strengthening system and the effects of prestressing significantly affect the shear capacity. The shear
23 capacities were predicted well when a minimum amount of vertical prestressing was provided.

24 *Key words: Reinforced Concrete, Shear Strengthening, Shear Behaviour, Shear Strength, Unbonded*
25 *bars, Finite Element Modelling*

26 1. INTRODUCTION

27 Numerous concrete bridges have been subjected to concrete degradation, steel corrosion as well as
28 increased loading and frequency of traffic. This may result in insufficient flexural and shear capacities.
29 The one-way shear failure of the “La Concorde” overpass in Laval (Canada) in 2006 showed that many
30 thick concrete slab bridges without shear reinforcement may require shear strengthening [1, 2]. This event
31 is one of many examples illustrating the fact that shear failure of concrete members without shear
32 reinforcement is very brittle. The critical diagonal crack propagates rapidly accompanied by a sudden
33 drop in shear capacity, often without any warning of the impending failure. To prevent such a brittle
34 failure mode, concrete thick slabs should contain a minimum amount of shear reinforcement [1].
35 Members with an appropriate minimum amount of shear reinforcement are capable of redistributing the
36 stresses and controlling shear cracking.

37 Adhikary and Mutsuyoshi [3] have tested several shear strengthening techniques in beams. They found
38 that the most effective method to increase the member shear capacity consisted of clamping transverse
39 reinforcing bars on the existing concrete member and to anchor the bars extremities using mechanical
40 anchorages. Unlike conventional transverse reinforcement (stirrups installed before concrete casting), the
41 added bars are typically unbonded to the concrete. Although this clamped shear strengthening technique
42 has been commonly used by engineers, only a limited number of studies are devoted to shear failure
43 investigations for members with shear span-to-depth ratio (a/d) over 2.5. Many authors have studied
44 flexural failures of shear strengthened beams with vertical unbonded bars, but very few studied the
45 influence on the shear failure behaviour. The effects of unbonded transverse reinforcement on the shear
46 strength of slender beams were studied experimentally by Suntharavadivel [4], Elstner and Hognestad [5]
47 and Lechner and Feix [6], while Ferreira, Bairán et al. [7] performed finite element analyses. These
48 authors have observed that before shear cracking, unbonded transverse reinforcement shows almost no

49 increase in strain. However, at the shear cracking load, strains in the unbonded bars increased until beam
50 failure occurred. After diagonal cracking, the shear is transferred to the shear reinforcement until the
51 critical diagonal crack becomes so large that shear failure occurs. This behaviour was also observed for
52 deep beams and slabs [3, 4, 8-10].

53 By keeping the total transverse reinforcement ratio (ρ_v) constant and progressively replacing stirrups by
54 unbonded bars, Altin, Tankut et al. [11] showed that the same failure mode and similar load capacities
55 can be achieved. However, they observed that replacing embedded stirrups by unbonded bars reduces the
56 member deformation capacity. Shear strengthened beams with only unbonded reinforcement also showed
57 only a small number of diagonal cracks compared to beams with internal stirrups. Elstner and Hognestad
58 [5], Khaloo [12], Shamsai, Sezen et al. [13] have also shown that prestressing the unbonded vertical bars
59 enables a further increase of the shear capacity so that the failure mode may shift from a brittle shear to a
60 ductile flexural failure.

61 1.1. Research significance

62 This paper focuses on the behaviour of thick concrete members, representing thick slabs, that has been
63 strengthened using unbonded drilled-in transverse reinforcement. This retrofitting consisted of inserting
64 bars in pre-drilled holes into an existing member and anchoring the bars with steel end plates or torque-
65 controlled expansion anchorages. These two techniques can be used for the shear retrofit of thick concrete
66 slabs, particularly those without any stirrups. A complementary finite element (FE) investigation was
67 performed to better understand the shear behaviour of such members. In addition, a parametric study was
68 carried out to examine the effects of the shear span-to-depth ratio, vertical prestressing, the shear
69 reinforcement ratio and the stiffness of the shear strengthening system on the shear behaviour.

70 2. EXPERIMENTAL PROGRAM

71 2.1. Strengthening Techniques

72 Experimental tests were carried out on beams representing slices of thick concrete slabs. The retrofitting
73 consisted of drilling vertical holes into the existing concrete structure and inserting a reinforcing bar in
74 each hole. For practical considerations, drilling of holes and bars installation are typically performed from
75 the top of the member. Two shear strengthening systems illustrated in Fig. 1 were used. Fig. 1a presents
76 mechanically anchored treaded bars (hole diameter $d_h = 24.0$ mm, bar diameter $d_b = 15.9$ mm with a net
77 bar area $A_b = 146$ mm²) used to strengthen beam specimens type T in Fig. 2. The lower bar extremity is
78 anchored with torque controlled expansion anchorages and an anchor plate is installed to anchor the top
79 extremity at the beam top surface. When torqued, the expansion of the bottom anchor exerts lateral
80 pressure on the internal surface of the hole, which produces frictional forces to provide anchorage.
81 Another shear strengthening system (Fig. 1b) was used for specimens type P (see Fig. 2) which consists
82 of inserting high-strength #9 reinforcing bars ($d_h = 40.0$ mm, $d_b = 28.7$ mm, $A_b = 645$ mm²) with
83 threaded ends into drilled holes and anchored with nuts and plates at the top and bottom of the concrete
84 beam surfaces. The main advantage of these two strengthening techniques is that they can be used in wide
85 beams or structural thick slabs to resist shear. The strengthening technique used in type T members can be
86 used in a positive moment region to avoid cutting longitudinal tension reinforcement located in the
87 bottom of a member during the installation procedure. Otherwise, the strengthening technique used in
88 type P members can be used in a negative moment region or when drilling can easily be performed
89 avoiding cutting through the longitudinal tension reinforcement.

90 For comparison purposes, two additional sets of beams were tested (Fig. 2). Specimens type S contained
91 conventional stirrups ($d_b = 15.9$ mm and $A_b = 200$ mm²) installed before the concrete was cast while

92 specimens type U represent control specimens that did not contain any shear reinforcement and was not
93 retrofitted.

94 2.2. Details of Test Specimens

95 The specimen dimensions, material properties and strengthening details are summarized in Table 1 and
96 Fig. 2. All of the test specimens span 4 m, have a total height, h , of 750 mm and a width, b_w , of 610 mm.
97 A normal-strength concrete with a maximum aggregate size, a_g , of 20 mm was used. The concrete
98 compressive strength, f'_c and Young's modulus, E_c , were measured on cylinders according to ASTM-
99 C39, ASTM-C469, respectively and the average properties are summarized in Table 1.

100 Specimen type U contains one layer of longitudinal reinforcing bars (nominal bar diameter, d_b , of 25.2
101 mm) located at a effective depth, d , of 699 mm, having a total area, A_s , of 5000 mm² and a yield
102 strength, f_y , of 468 MPa. Specimen types S, T and P contain a total area of longitudinal reinforcement
103 $A_s = 7000$ mm² at $d = 694$ mm and having $f_y = 508$ MPa and $d_b = 29.9$ mm.

104 While specimen type U did not contain any shear reinforcement, specimen types S, T and P were
105 designed to have more than the minimum amount of shear reinforcement $\rho_{v,min}$ required by CSA-S6 [14]
106 (see Eq. (1)). The stirrups used in the specimens type S have a total area A_v of 400 mm² and were
107 installed at a spacing s of 380 mm (shear reinforcement ratio $\rho_v = A_v / (b_w s) = 0.17\%$). Specimens type
108 T was shear strengthened with vertical bars having $A_v = 292$ mm², $s = 380$ mm, $\rho_v = 0.13\%$ and
109 anchored with torqued controlled expansion anchorage. The tensile capacity F_u of the torque controlled
110 anchorages is 90.8 kN according to the compressive strength of the concrete mix used [15]. Specimens

111 type P have one set of bars in the middle of the shear span so that the total area A_v is 1290 mm². Young
112 modulus, $E_s = 200\,000$ MPa, hardening strain, $\varepsilon_{sh} = 2.3\%$ and strain at failure, $\varepsilon_u = 18\%$ were measured
113 on stirrups and were consider similar for all steel used. The other mechanical properties of shear
114 reinforcing bars are summarized in Table 1, where f_u is the tensile strength.

$$115 \quad \rho_{v,min} = 0.06 \frac{\sqrt{f'_c}}{f_y} \quad (\text{MPa units}) \quad (1)$$

116 2.3. Test Setup and Instrumentation

117 The test setup is shown in Fig. 2. The loading was applied in several load steps at a constant midspan
118 deflection rate of 0.17 mm/min. The shear span, a , was 2000 mm so that the shear span-to-depth ratio
119 a/d was about 2.9. At each load stage, the midspan deflection was kept constant while measurements
120 were taken. After the occurrence of a shear failure in one half of the beam, the specimen was unloaded
121 and steel shear clamping devices were added to the failed half span in order to reload the beam until
122 failure of the other half span. The midspan deflection was monitored using a linear variable differential
123 transformer (LVDT) and the applied load was measured using a load cell. Strain gauges were installed to
124 measure the transverse reinforcing bar strains (Fig. 2). LVDTs in a form of rosettes were installed on the
125 side faces of the beams at the middle of the shear spans.

126 2.4. Experimental Results

127 Table 2 and Fig. 3 to Fig. 5 summarize the experimental results. In Fig. 3 showing the cracking of the
128 specimens at failure, the diagonal crack leading to the shear failure is represented with a bold line while
129 the other experimental cracks are identified with thinner lines. As observed by Altin, Tankut et al. [11],
130 specimens with stirrups (S1 and S2) in Fig. 3 exhibited a larger number of diagonal cracks than the

131 specimens with unbonded bars (types T and P). For comparison, the specimens without shear
132 reinforcement (type U) and shear strengthened specimens type T exhibited only one large critical shear
133 crack while specimens P1 and P2 experienced two diagonal cracks; the larger one leading to failure. For
134 the specimens with stirrups, S1 and S2, the strain in the shear reinforcement increased when the first
135 diagonal crack at cracking shears, V_{cr} , of 495 kN and 484 kN, respectively. Specimens S1 and S2, with
136 stirrups, failed at a shear of 726 kN and 809 kN, respectively. Fig. 6 shows some selected strain
137 measurements for specimen S2 indicating that yielding of the stirrups occurred (refer to Fig. 2 for
138 transverse reinforcement numbering and strain gauge locations). Fig. 6 also compares the difference in
139 strains measured in the top and bottom portions of the stirrups.

140 For specimens without shear reinforcement (type U), shear failure occurred shortly after the sudden
141 propagation of a large diagonal crack. This sudden crack propagation was also observed for the
142 specimens with unbonded shear reinforcement but did not lead to immediate failure. The shear cracking
143 propagation is responsible for the observed peaks of the shear versus deflection curve, at about 490 kN, as
144 shown in Fig. 4. Each of these intermediate peaks was followed by the sudden propagation of a large
145 diagonal crack and reductions in shear of about 85 kN and 60 kN on average for specimens T and P,
146 respectively. The sudden propagation of a large diagonal crack and the associated decrease in load was
147 not observed for beams with stirrups (S1 and S2). The influence of shear failure on one side followed by
148 unloading, shear strengthening and reloading is apparent from the load versus deflection responses shown
149 in Fig. 6.

150 Before shear cracking, the unbonded shear reinforcing bars in specimens type P experienced low strains
151 (Fig. 6) and opening of a diagonal crack is required to activate the unbonded bars. For specimens P1 and
152 P2, the strains increased from 150 to 632 microstrains ($f_s = 30$ to 127 MPa) and from 175 to 471

153 microstrains ($f_s = 35$ to 94 MPa) after the crack propagation respectively. After their activation, the
154 transverse bars carry some additional shear.

155 After initial shear cracking, no new diagonal cracks propagated in specimens T1 and T2 up to the shear
156 failure while two diagonal cracks formed at shears of 696 kN and 671 kN in specimens P1 and P2,
157 respectively. Failure of specimen P1 occurred shortly after initiation of the second diagonal crack ($V_{exp} =$
158 717 kN) and at a higher shear of 969 kN for P2. The failure of both specimens P1 and P2 occurred after
159 crushing of the concrete and significant slip, δ , of the critical diagonal crack. At failure, the average
160 strains of the transverse bars were 1585 and 2215 microstrains ($f_s = 317$ and 443 MPa) for P1 and P2,
161 respectively. These measured strains indicated stresses below the yield stress of the reinforcing bars ($f_y =$
162 517 MPa).

163 The additional shear capacity offered by shear strengthening can be determined by comparing the
164 maximum experimental capacity, V_{exp} , to the capacity at diagonal cracking, V_{cr} . In average, the addition
165 of unbonded shear reinforcement led to a shear capacity increase of about 20% and 72% (V_{exp} / V_{cr}) for
166 specimens T and P, respectively. This ratio is an indicator of the efficiency of the shear strengthening
167 techniques.

168 3. MODIFIED COMPRESSION FIELD THEORY

169 The modified compression field theory (MCFT) [16-18] enables the determination of the shear behaviour
170 of elements with and without shear reinforcement. According to the MCFT, the shear nominal capacity,
171 V_n , is the summation of the shear carried by the concrete V_c and by the transverse reinforcement V_s
172 according to Eq. (3) and (4), where f_{c1} is the tensile stress in cracked concrete (Eq.(5)) limited by the

173 aggregate interlock capacity v_{ci} in Eq. (6), d_v the effective shear depth taken as $0.9d$, f_s is the bar stress
 174 and $d_v \cot \theta / s$ being the amount of shear reinforcement crossing the diagonal cracks.

$$175 \quad V_n = V_c + V_s \quad (2)$$

$$176 \quad V_c = f_{cl} \cot \theta b_w d_v \leq v_{ci} b_w d_v \quad (3)$$

$$177 \quad V_s = \frac{A_v f_s d_v \cot \theta}{s} \quad (4)$$

$$178 \quad f_{cl} = \frac{f_{cr}}{1 + \sqrt{c} \varepsilon_{cl}} \quad (5)$$

$$179 \quad v_{ci} = \frac{0.18 \sqrt{f'_c}}{0.31 + 24w / (16 + a_g)} \quad (\text{MPa, mm units}) \quad (6)$$

180 The simplification of these equations led to the shear design method used in the codes in Eq. (7) to (11)
 181 [14]. The nominal concrete shear capacity is determined from the factor β in Eq. (9) (SI units), which
 182 results from the simplification of Eq. (5) with $c = 500$ and Eq. (6). It considers the average horizontal
 183 strain ε_x in Eq. (10), for the case of moment and shear only, and the equivalent crack spacing s_{ze} , which
 184 is taken as 300 mm for members respecting $\rho_{v,min}$ defined by Eq. (1), or as $35d_v / (15 + a_g)$ otherwise.
 185 The nominal transverse reinforcement shear capacity considers no slip between concrete and shear
 186 reinforcement ($\varepsilon_c = \varepsilon_s$) and their yielding at shear failure ($f_s = f_y$). The angle of the compression field
 187 θ with respect to the longitudinal member axis is determined by Eq. (11) for members with shear
 188 reinforcement.

$$189 \quad V_c = \beta \sqrt{f'_c} b_w d_v \quad (\text{MPa, mm units}) \quad (7)$$

190
$$V_s = \frac{A_v f_y d_v \cot(\theta)}{s} \quad (8)$$

191
$$\beta = \left(\frac{0.4}{1 + 1500 \varepsilon_x} \right) \left(\frac{1300}{1000 + s_{ze}} \right) \text{ (mm units)} \quad (9)$$

192
$$\varepsilon_x = \frac{M / d_v + V}{2 E_s A_s} \quad (10)$$

193
$$\theta = 29 + 7000 \varepsilon_x \quad (11)$$

194 4. FINITE ELEMENTS MODELLING

195 4.1. Mesh Description

196 The finite element (FE) program VecTor2 [19] was used to study the behaviour of the specimens and the
 197 parameters influencing their behaviour. The modelling approach is based on the MCFT [18] and
 198 Disturbed Stress Field Model (DSFM) [20]. Because of the geometry involved and loading symmetry,
 199 half of each beam was modelled (see Fig. 7 for typical mesh layout).

200 Fig. 8 illustrates the chosen approach to model the bars and anchorages. Two-dimensional discrete truss
 201 elements were used to simulate the transverse reinforcing bars and the longitudinal reinforcement. For
 202 conventional stirrups perfect bond was assumed between the bar and the concrete (see Fig. 8a) so that
 203 $u_s = u_c$. For the truss element representing unbonded bars, only the far extremities of the truss element are
 204 linked to the anchor elements nodes (Fig. 8b and c). To simulate the anchor plate (specimen types T and
 205 P), truss elements extremities are linked to bearing elements installed on the top of beams. The stiffness
 206 of these bearing elements is determined according to the stiffness of the anchor system. To simulate the
 207 torqued-controlled anchor behaviour (specimen type T), contact elements are used to allow slippage
 208 between the concrete and the steel bars at the bottom anchorage locations (Fig. 8c).

209 4.2. Material Behaviour

210 The adopted concrete and steel stress-strain behaviour are illustrated in Fig. 9. As shown in Fig. 9a, the
211 tensile behaviour of plain concrete is linear up to the cracking strain, ε_{cr} , and the cracking strength f_{cr}
212 (assumed as $0.33\sqrt{f'_c}$). It respects the tension softening proposed by Yamamoto and Vecchio [21] after
213 cracking. The post cracking tensile behaviour of reinforced concrete considers tension stiffening
214 according to Eq. (5), where c is the tension stiffening coefficient determined according to the
215 reinforcement ratio [20, 22-24]. At a crack, the aggregate interlock limits the concrete tensile stress and
216 the resulting crack slip, δ , is determined according the approach proposed by Vecchio and Lai [25]. The
217 dowel effect is implicitly considered in the FE model by reducing the shear demand at crack, which
218 reduces the crack slip and increases the shear capacity [19].

219 In compression, the modified Popovics relationship [19, 26, 27] illustrated in Fig. 9b (f_{c2} is the concrete
220 compressive stress and ε_p is the compressive strain at peak stress f_p) was chosen and the behaviour
221 takes into account the concrete confinement effect and the compression softening effect [28, 29]. The
222 steel behaviour in Fig. 9c is bilinear up to the strain hardening strain, ε_{sh} , (Eq. (12)). The hardening
223 stress-strain relationship is given by Eq. (13), where P is the strain-hardening parameter taken as 1 for
224 steel plate elements and 4 for truss bar elements.

225
$$f_s = E_s \varepsilon_s \leq f_y \quad \varepsilon_s \leq \varepsilon_{sh} \quad (12)$$

226
$$f_s = f_u + (f_y - f_u) \left(\frac{\varepsilon_u - \varepsilon_s}{\varepsilon_u - \varepsilon_{sh}} \right)^P \quad \varepsilon_{sh} < \varepsilon_s \leq \varepsilon_u \quad (13)$$

227 The force-slip relationship shown in Fig. 9d is used to simulate the anchor axial behaviour in specimens
228 type T was fitted to experimental tests performed by Collins, Klingner et al. [30] and Hilti [31]. The

229 initial anchor stiffness is taken as 14 kN/mm up to $0.35F_u$. The anchor maximum capacity is reached at a
230 displacement s_u of 7.5 mm on average. It decreases up to $3s_u$ with a residual capacity of $0.9F_u$.

231 5. COMPARISONS OF PREDICTIONS WITH RESULTS

232 5.1. The Canadian Highway Bridge Design Code (CHBDC)

233 It can be seen in Table 2 that the shear capacities of the specimens with stirrups and without shear
234 reinforcement are predicted well ($V_n/V_{exp} = 1.07$) by the code provisions while, as expected, it greatly
235 overestimates ($V_n/V_{exp} = 1.27$) the capacity of the specimens with unbonded shear reinforcement. This
236 overestimation of shear strength is explained by the fact that the bars are unbonded and hence are not as
237 effective in controlling diagonal cracks. The code CSA-S6 [14] considers that $s_{ze} = 300$ mm for members
238 with $\rho_v \geq \rho_{v,min}$. Even if this minimum ratio is respected for specimen types T and P, they experienced a
239 smaller number of diagonal cracks and a larger crack spacing in comparison to specimens S1 and S2.
240 Crack width, w , and crack spacing is influenced by the bond between concrete and reinforcing bars [32-
241 35]. Therefore, unbonded shear reinforcing bars are not as effective in controlling the crack spacing.

242 While the standard design method is applicable for elements with conventional bonded stirrups it is not
243 applicable for elements with unbonded shear reinforcement. Finite element models are more appropriate
244 to predict the behaviour of a member with unbonded shear reinforcement.

245 5.2. Finite Element Predictions

246 The finite element (FE) analysis provided very good predictions of the overall behaviour, including the
247 shear capacity. Fig. 3 to Fig. 6 compare the predicted responses from the FE analyses with the observed
248 behaviour of the specimens. The ratio of the predicted shear capacities using the finite element analysis

249 and the experimental shear capacities (V_{exp} / V_{FE}) is 1.03 on average (COV of 0.10) (see Table 2). For
 250 specimen S1, with stirrups, the finite element model predicted many diagonal cracks, as shown in Fig. 3,
 251 while one critical diagonal crack was predicted for the other specimens. For specimens type P, the finite
 252 element predictions agree well with the initiation of two diagonal cracks, the first one leading to failure.
 253 Generally, the critical diagonal crack location was predicted to be closer to the midspan loading but both
 254 the predicted and experimental critical shear cracks intercept the same number of transverse bars. The
 255 predicted strains in the transverse reinforcement (Fig. 6) agree well with the experimental measurements
 256 and resulted in good predictions of the behaviour of the specimens. The first shear cracking load is
 257 underestimated by 123 kN on average but the overall member behaviour is well predicted. The predicted
 258 crack slip δ is smaller than the experimental measurements but the predicted crack widths agree well
 259 with the experimental measurements. The predicted strains in Fig. 6 indicate that failure of specimens
 260 type T and P occurred without yielding of the transverse reinforcement.

261 5.3. Shear Resistance Mechanisms

262 To analyze the shear resistance mechanisms in specimens P1 and P2, V_{exp} versus w is plot in Fig. 10. The
 263 shear carried by V_s is determined by the stress in reinforcing bars intercepting the main diagonal crack
 264 and derived from Eq.(4) (see Fig. 10). The shear carried by the concrete, V_c , is limited by the aggregate
 265 interlock and determined using Eq. (3). The shear carried by the dowel action is determined with Eq. (14)
 266 [36, 37], where δ_s is the vertical crack displacement and ℓ_{da} is the length of the splitting crack along the
 267 longitudinal reinforcement.

$$268 \quad V_d = \frac{1.94d_b^2 A_s E_s}{\ell_{da}^3} \delta_s \leq 1.62 A_s \sqrt{f'_c f_y} \quad (\text{MPa, mm units}) \quad (14)$$

269 The length of the splitting cracks ℓ_{da} determined from the experimental cracking pattern in Fig. 3 is
270 about 700 mm and 760 mm for the members P1 and P2, respectively. By comparing the shear resistance
271 mechanisms in Fig. 10, it can be seen that the shear carried by the dowel action is much lower than other
272 mechanisms. At shear failure, the shear carried by the dowel action is 29.6 kN for the member P1 and
273 32.5 kN for the member P2, which represents 4.2% and 3.3% of the total shear, respectively. Also, it is
274 found that the summation of the aggregate interlock, the shear reinforcement resistance and the dowel
275 action ($V_c + V_s + V_d$) resulted in lower predictions than the failure shear, V_{exp} . The contribution of a direct
276 compression strut between the loading location and the support (V_{strut}) may also develop and hence play a
277 role in resisting shear [37-46]. This phenomenon is generally more significant for deep members with a
278 ratio a/d lower than 2.5 [38-40] and few models developed in the last decades take into account this
279 mechanism [41-45]. The tested specimens had a shear span to depth ratio of 2.9 and exhibited a few shear
280 cracks, which enabled the development of the direct strut after significant shear cracking. As shown in
281 Fig. 10, at failure of specimens P1 and P2, V_{strut} is about 172 kN and 344 kN, respectively, which
282 represent 24 % and 36% of the total shear. For comparison, the aggregate interlock limits V_c to 138 kN
283 and 100 kN (19% and 10%) and the value of V_s is 377 kN and 494 kN (53% and 51%), respectively for
284 these specimens. Therefore, the concrete strut formation in the uncracked concrete depth contributes to
285 the total shear capacities of both P1 and P2 specimens. Specimens P1 and P2 failed due to concrete
286 crushing in compression, which is associated with the loss of V_{strut} . At a crack width of 3.6 mm at the
287 failure shear of specimen P1, both type P specimens exhibited similar values of V_c , V_s and V_{strut} . At
288 failure specimen P2 had a larger strut component than P1 (see Fig. 10). This is confirmed by the FE
289 results presented in Fig. 11 showing the predicted principal concrete compressive stress, f_{c2} , and shear
290 stress, ν_{xy} , at failure. The orientation of the concrete compressive stress is illustrated by lines in Fig. 11a,

291 which confirm the presence of a compressive stress field between the loading location and the support.
292 Numerical integration of the shear stress in the uncracked concrete depth illustrated in Fig. 11b can be
293 used to estimate V_{strut} . Based on the FE analysis of type P members, one can determine that $V_{strut} = 306$
294 kN, which represents 39% of the predicted shear capacity V_{fe} .

295 6. PARAMETRIC ANALYSIS

296 A total of 127 finite element analyses were performed to analyze the effects of the shear span-to-depth
297 ratio, vertical pre-stressing, transverse bar spacing and vertical bar stiffness on the shear behaviour of the
298 strengthened member. The properties of the additional concrete members analyzed in this section are
299 presented in Fig. 12 and Table 3. In Table 3, the shear at flexural failure, V_{flex} , was determined according
300 to CSA-S6 [14]. The shear capacity of members without shear reinforcement, V_c was determined from
301 finite element analysis and this value is used in the following section to evaluate the shear strengthening
302 efficiency.

303 Each additional shear strengthening cases studied utilized unbonded transverse reinforcing bars similar to
304 the ones used for members P1 and P2. To experience a possible size effect in shear, member heights
305 varied between 750 mm and 3000 mm. A mesh similar to the ones used for the experimental tests is used
306 for these members (Fig. 7). Transverse reinforcement ratios, ρ_v , varies between 0 (no shear
307 reinforcement) to $\rho_{v,max}$ (see Table 3), and different end anchorage stiffness values of the shear
308 strengthening were analyzed.

309 6.1. Shear span to depth ratio

310 Fig. 13 presents the effect of the shear span-to-depth ratio, a/d , on the ratio between the shear capacity

311 provided by the direct strut action, V_{strut} , and the shear capacity, V_{fe} , both determined by FE analysis. For
312 comparison purposes, two shear reinforcement ratios, ρ_v , are presented as well as the FE capacities
313 determined for the type P and T tested members.

314 It can be seen that a large part of shear is carried by V_{strut} for $a/d \leq 2.9$ as previously observed for the
315 experiments. However, the contribution of V_{strut} is less ($V_{strut}/V_n < 20\%$) as the shear span-to-depth ratio,
316 a/d , increases. This decreasing of V_{strut} is similar to the one observed by increasing the shear-to-depth
317 ratio of members without shear reinforcement [37, 38].

318 For members with $a/d > 2.9$, a large number of diagonal cracks were present, yielding of transverse
319 reinforcement crossing the critical diagonal crack was observed and transverse reinforcement between the
320 support and this diagonal crack were highly stressed (up to f_y). For comparison with members $a/d \leq 2.9$
321 and experimental tests, a large diagonal crack was present, transverse reinforcement crossing the main
322 shear crack did not reach their yielding strength and reinforcement between the support and the crack
323 experienced almost no stress. By increasing the a/d ratio above 2.9, the contribution of V_{strut}
324 significantly decreases while V_s increases.

325 By comparing same members with different reinforcement ratio, it can be observed that by increasing the
326 shear reinforcement ratio ρ_v the proportion of shear carry by V_{strut} reduces. Generally, members with the
327 same amount of longitudinal reinforcement, shear span-to-depth ratio and material properties exhibit a
328 similar uncracked concrete depth [41-43], which results in a comparable value of V_{strut} . For example,
329 V_{strut} equals 284 kN and 272 kN for the two members P750a illustrated in Fig. 13 ($a/d = 2.9$, $\rho_v =$

0.13% and 0.21% respectively). However, a larger amount of transverse reinforcement results in an increased member shear capacity and therefore, in a smaller ratio V_{strut} / V_{fe} as illustrated in Fig. 13.

6.2. Vertical Stiffness

The transverse reinforcement stiffness is defined by the additional equivalent length, ℓ_a , required to represent the total shear reinforcement elongation u_{tot} , which includes the anchorage displacement, according to the bar elongation u_s in Eq. (15). In these finite element analyses, ℓ_a varies between 0 mm (no anchor displacement) and 3500 mm (large part of the vertical displacement is due to the anchorage). It is expected that this range covers a very large range of anchor flexibility. For comparison, specimen P1 experienced $u_s = 1.2$ and $u_{tot} = 4.2$ mm, which results in an equivalent length ℓ_a of 1900 mm.

$$\ell_a = \left(\frac{u_{tot}}{u_s} - 1 \right) \ell_{bar} \quad (15)$$

Fig. 14 and Fig. 15 present the shear versus deflection response of member P750b and the maximum shear capacity of members P750a and P750b (see Table 3) for different amounts of transverse reinforcement and shear strengthening system stiffness. While the predictions using CSA-S6 [14] are not applicable for unbonded transverse reinforcement these predictions are shown in Fig. 14 to illustrate the influence of the anchorage flexibility. For the three ρ_v values given in Fig. 14, it can be observed that the initial stiffness of the curves does not significantly differ for the range of the strengthening system stiffness studied. For members with $\ell_a = 0$ and ρ_v of 0.13% and 0.17%, a decrease of the shear versus deflection stiffness is initiated at a shear cracking load of about 460 kN. Members with more flexible shear strengthening systems ($\ell_a > 0$) experienced an abrupt load decrease which becomes more significant as the amount of transverse reinforcement and the strengthening system stiffness decreases.

350 However, this sudden load decrease is not observed for members with the highest amount of transverse
351 reinforcement ($\rho_v = 0.52\%$) and $\ell_a \leq 1000$ mm. These members experienced higher shear cracking
352 loads ranging from 495 kN to 615 kN and their overall stiffness of the load-deflection curves decreases at
353 higher load levels.

354 It is interesting to observe in Fig. 14 that the decreased stiffness of the strengthening system, with $\ell_a \geq$
355 1000 mm, shifts the failure mode of members from ductile bending failures to brittle shear failures with
356 smaller deflections at failure. Generally, the shear capacity decreases as the shear strengthening system
357 stiffness decreases due to a lower shear carried by the unbonded bars. Similar observations were reported
358 by Suntharavadivel [4], Elstner and Hognestad [5].

359 Fig. 15 illustrates the influence of different shear reinforcement ratios and strengthening stiffness values.
360 For the cases with $\rho_v = 0.07\%$ the transverse reinforcement ratio is less than $\rho_{v,min}$. Members with a very
361 flexible shear strengthening system may experience brittle shear failure instead of a ductile flexural
362 failure. It is clear that a member with very low amount of very flexible shear reinforcement may
363 experience brittle shear failure after shear cracking, consequently no increase of shear strength. This is the
364 case for member P750a with a reinforcing ratio of $\rho_v = 0.07\%$ and a very flexible shear strengthening (ℓ_a
365 = 14000 mm not illustrated in Fig. 15).

366 6.3. Vertical Prestressing

367 Member P750a was analysed with different amounts of transverse prestressing in the unbounded bars
368 with f_{pv} up to 390 MPa (which includes prestress losses). The effect of vertical prestressing $\rho_v f_{pv}$ (force
369 par unit of concrete area) on shear capacity is illustrated in Fig. 16. It can be seen that vertical

370 prestressing may significantly increases the shear capacity. Since the shear carried by the unbonded bars
371 is a function of the vertical crack displacement, increasing the amount of vertical prestressing reduces the
372 crack width and increases the aggregate interlock. Also, the increase in shear capacity is more significant
373 for members with flexible shear reinforcement ($\ell_a = 2700$) than members with stiff shear reinforcement (
374 $\ell_a = 75$ mm). For flexible shear strengthening systems, the bar stress at failure is lower than f_y while the
375 addition of prestressing may increase the bar stress up to f_y and V_s consequently increases. For example,
376 the stress in the transverse reinforcement at shear failure increased from 266 MPa to 400 MPa (equals to
377 f_y) with the addition of vertical prestressing of 195 MPa ($\rho_v f_{pv} = 0.341$ MPa). The same amount of
378 prestressing has no significant effect on the shear capacity for member with $\ell_a = 75$ mm since the
379 transverse reinforcement was predicted to reach f_y without prestressing.

380 7. CONCLUSIONS

381 This paper examines the shear behaviour of shear critical members with unbonded shear reinforcement
382 placed in holes drilled into the concrete. Eight experimental tests were performed and numerical analyses
383 were carried out. Shear capacities were compared to the predictions using the Canadian Highway Bridge
384 Design Code [14] for shear design and to predictions made with non-linear finite element analyses. The
385 finite element analyses resulted in very accurate predictions of the shear capacities, member responses
386 and cracking patterns. A parametric analysis was carried out to better understand the effect of the shear
387 span-to-depth ratio, amount of unbonded shear reinforcement, the stiffness of the anchorage of the shear
388 strengthening system and the use of vertical prestressing. The following conclusions are made based on
389 this study:

- 390 - Unbonded shear reinforcement increases the shear capacity and deformability;

- 391 - Propagation of a sudden large diagonal crack caused a drop of the shear for members with
392 unbonded shear reinforcement. This behaviour is very similar to the one observed for members
393 without shear reinforcement at failure.
- 394 - After diagonal cracking, a large diagonal crack is required to activate the unbonded shear
395 reinforcement and the concrete shear capacity is limited by aggregate interlock.
- 396 - The shear strengthening stiffness significantly affects the shear capacity. Stiff shear reinforcement
397 experienced yielding at shear failure while more flexible unbonded shear reinforcement did not
398 reach yield.
- 399 - Vertical prestressing increases the shear strengthening efficiency by increasing the shear
400 capacities. With a sufficient amount of prestressing, members with a flexible shear strengthening
401 system can experience a similar behaviour to members with stiff shear strengthening system;
- 402 - The Canadian Highway Bridge Design Code developed from the modified compression field
403 theory implicitly assumes perfect bonded transverse reinforcement and hence is not applicable for
404 prediction the shear capacity of unbonded shear reinforcement.

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518 FIGURE CAPTIONS

519 Fig. 1: Shear strengthening systems used for specimen types a) T with expansion anchors and bolted
520 plates, and b) P with bolted plates

521 Fig. 2: Tested strengthened members (dimensions in mm)

522 Fig. 3: Comparison between experimental and predicted cracking pattern at failure

523 Fig. 4: Response of tested specimens with transverse reinforcement and FE predictions of the shear, crack
524 width, w , and crack slip, δ , versus the member deflection

525 Fig. 5: Experimental and FE predictions of shear load and crack width versus member deflection for
526 specimens without transverse reinforcement

527 Fig. 6: Experimental shear versus transverse reinforcement strain and FE predictions

528 Fig. 7: Mesh of the specimen T1 and boundary conditions

529 Fig. 8: Modelling approach for specimen types a) S with stirrups, b) P with bolted plates and c) T with
530 expansion anchors and bolted plates

531 Fig. 9: Behaviour of concrete a) in tension and b) in compression, c) steel and d) expansion anchorages

532 Fig. 10: Shear resistance mechanisms vs crack width for specimens P1 and P2

533 Fig. 11: FE predictions of a) compressive and b) shear stresses at failure for type P members (MPa, mm)

534 Fig. 12: FE parametric analysis tested members

535 Fig. 13: Ratio between the shear carried by a direct strut action V_{strut} and the member capacity V_{fe} , both
536 determined by FE analysis, according to the shear span-to-depth ratio.

537 Fig. 14: Shear versus deflection response of member P750b with different anchor stiffness and transverse
538 reinforcement ratios of 0.13%, 0.17% and 0.52% (ℓ_a in mm)

539 Fig. 15: Effect of transverse stiffness on shear capacities (black and grey symbols indicate shear and
540 flexural failure respectively)

541 Fig. 16: Effect of vertical prestressing on shear capacities and shear cracking load for member P750a

542

Fig. 1

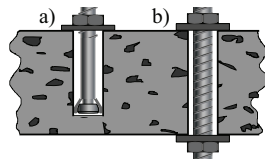


Fig. 2

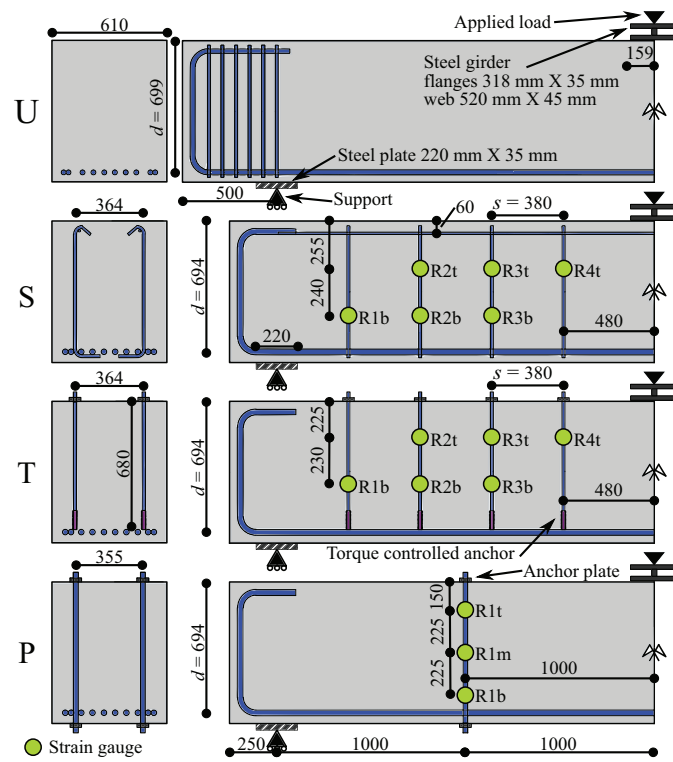


Fig. 3

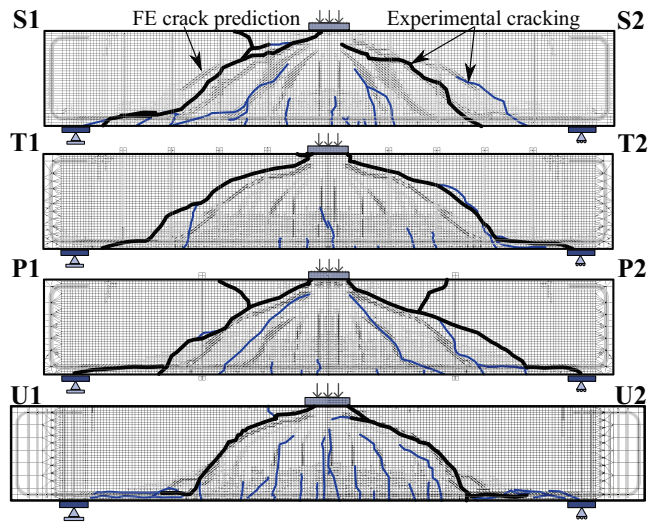


Fig. 4

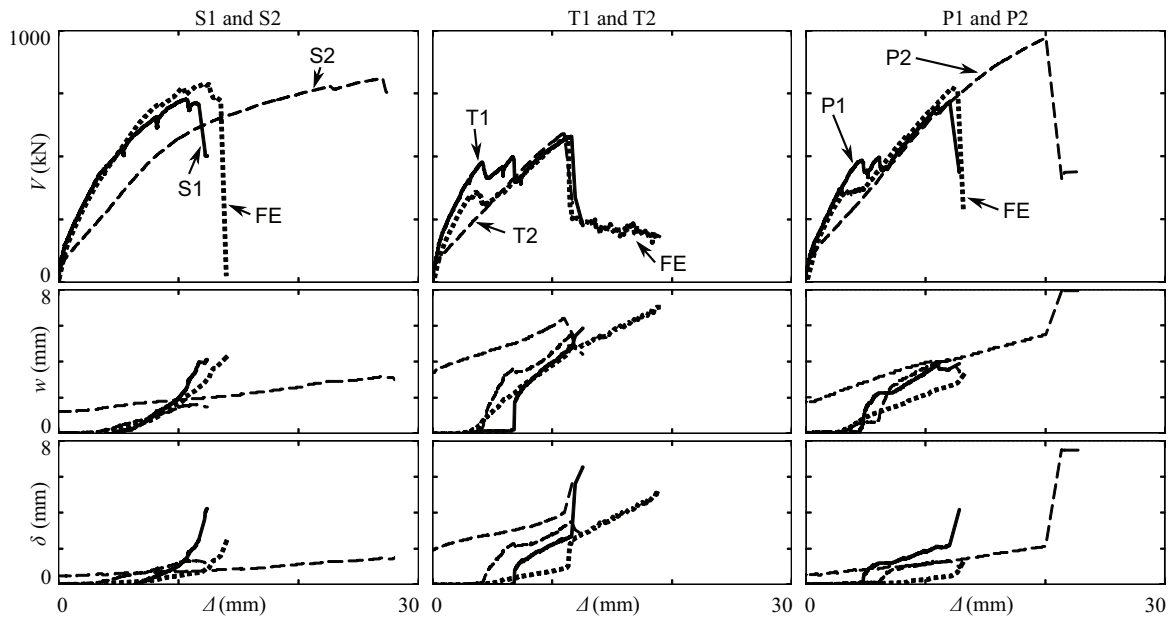


Fig. 5

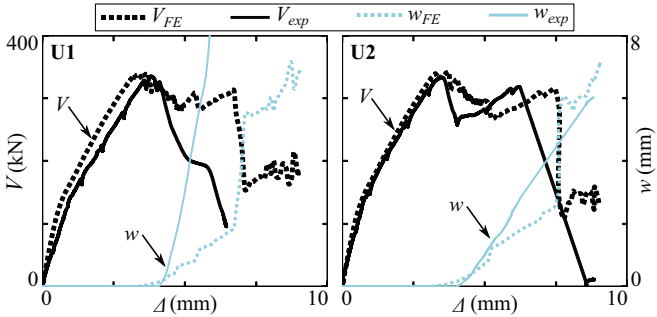


Fig. 6

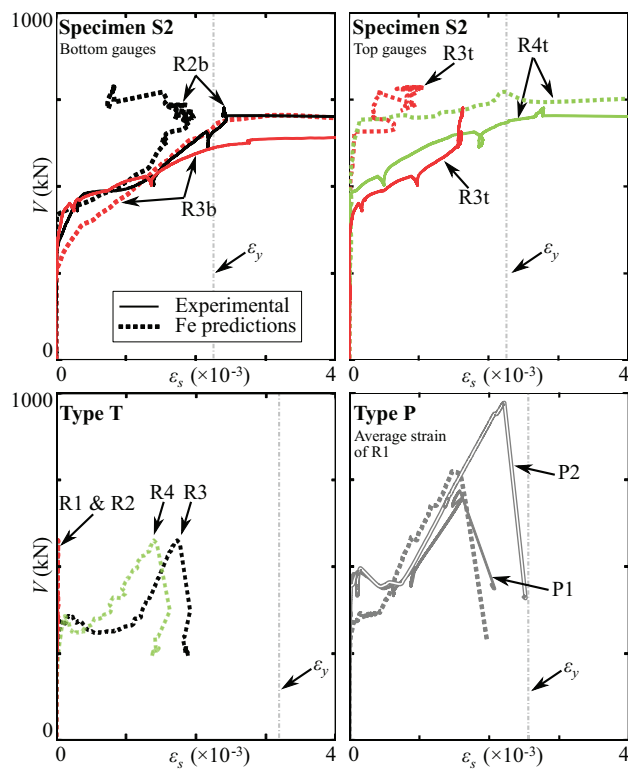


Fig. 7

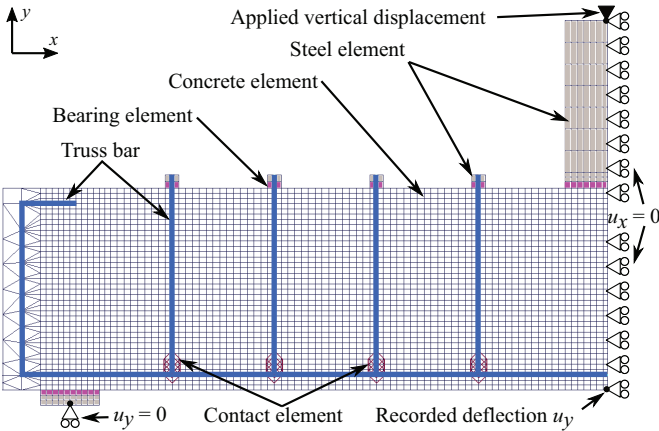


Fig. 8

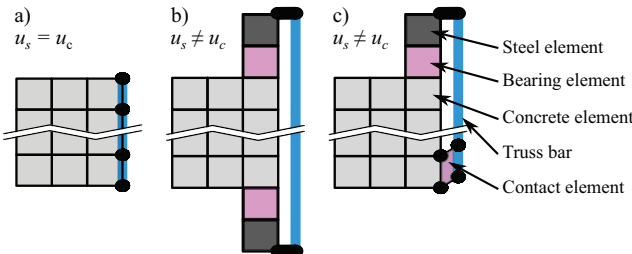


Fig. 9

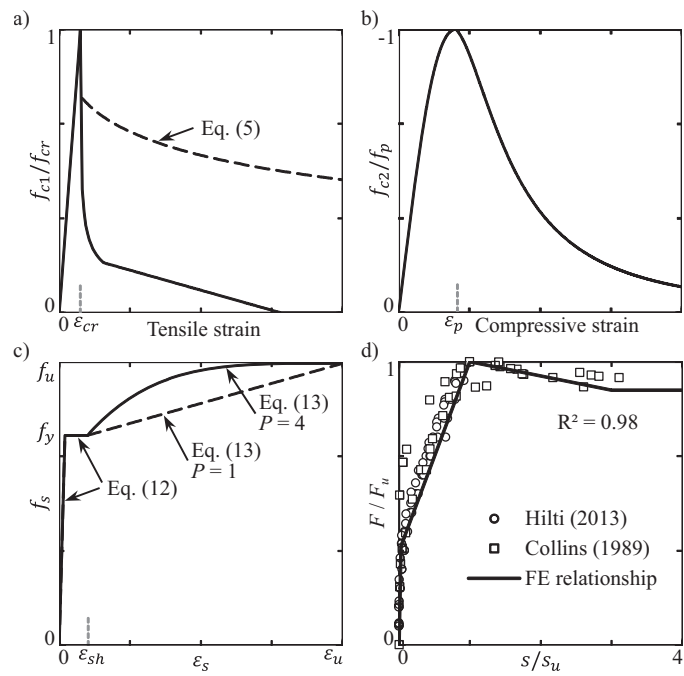


Fig. 10

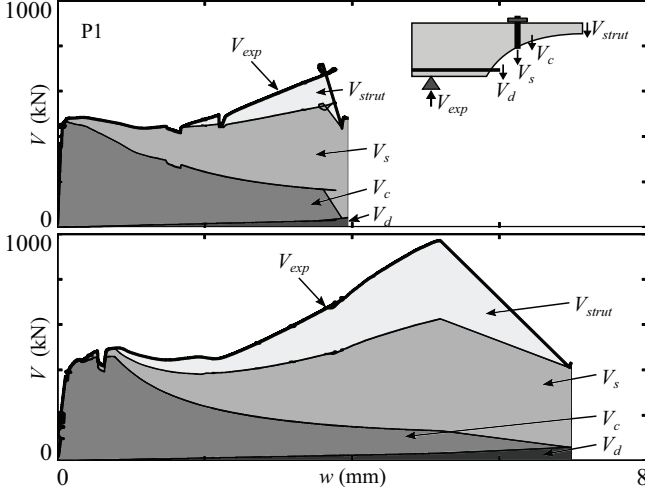


Fig. 11

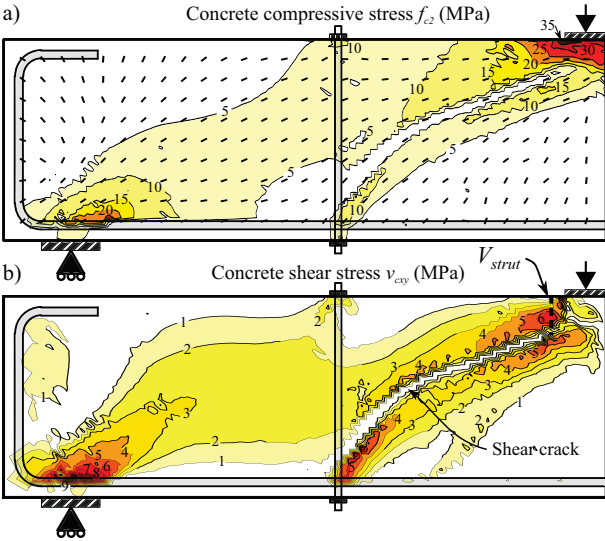


Fig. 12

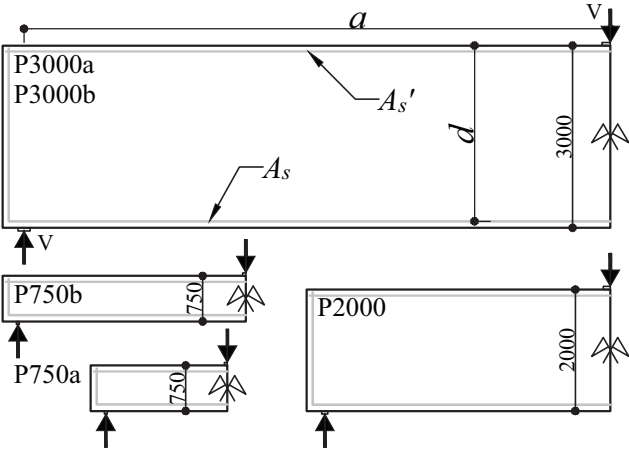


Fig. 13

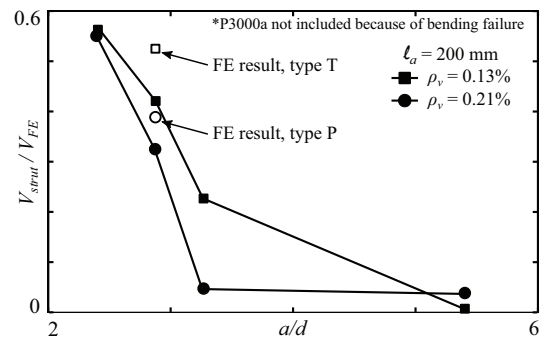


Fig. 14

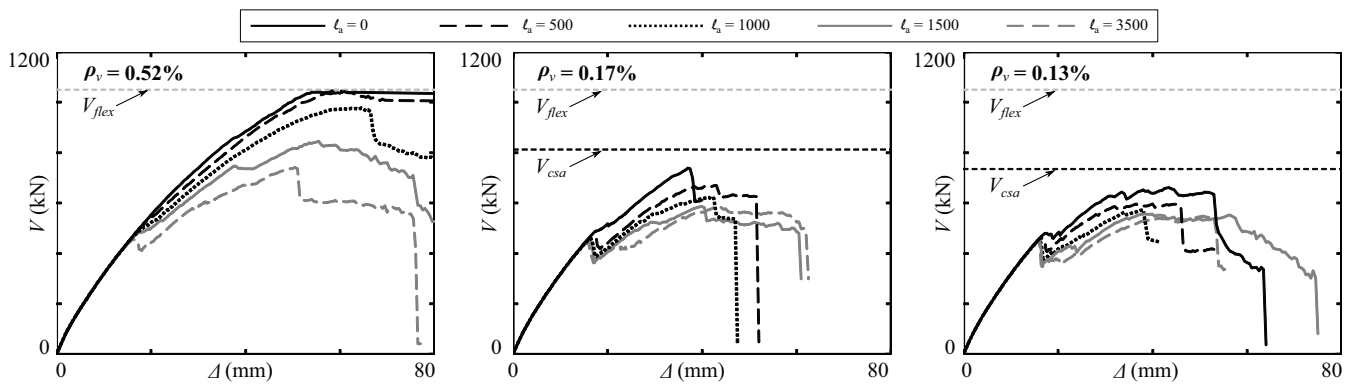


Fig. 15

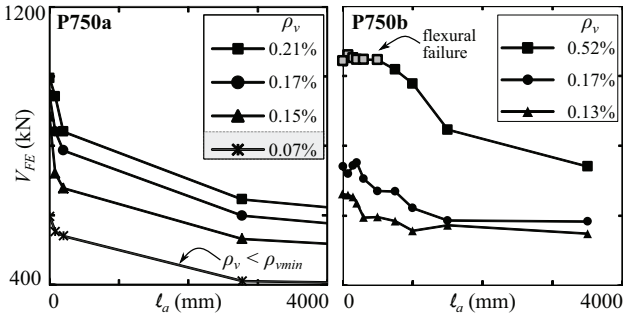


Fig. 16

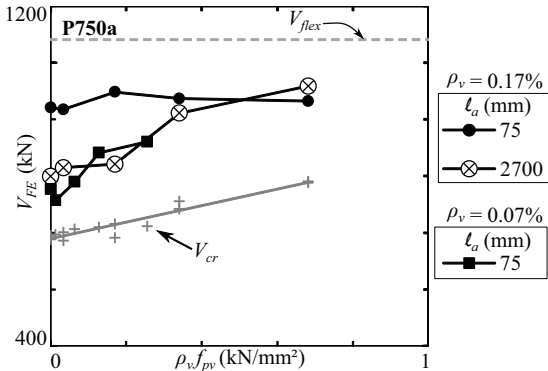


Table 1: Concrete and shear reinforcement properties

Type	Strengthening system	f'_c MPa	E_c MPa	A_v mm ²	s mm	f_y MPa	f_u MPa
U	None	34.5	29406	-	-	-	-
S	Stirrups	33.3	25705	400	380	447	633
T	Expansion	31.5	24144	292	380	642	800
P	Plate	31.2	25333	1290	1000	517	690

Table 2: Experimental results at shear cracking and at shear failure, and comparison to predictions

Test	Critical shear cracking				Maximum shear capacity						
	Test		FE		Test		CSA			FE	
	V_{cr}	Δ_{cr}	$V_{cr,FE}$	$\frac{V_{cr,FE}}{V_{cr}}$	V_{exp}	Δ_{exp}	w_{max}	V_n	$\frac{V_n}{V_{exp}}$	V_{FE}	$\frac{V_{FE}}{V_{exp}}$
kN	mm	kN		kN	mm	mm	kN		kN		
U1	337	7.6	368	1.09	343	7.6	<0.1	389	1.13	378	1.10
U2	341	7.7	368	1.08	341	7.7	<0.1	379	1.11	378	1.11
S1	495	4.7	384	0.78	726	10.7	2.5	804	1.11	786	1.08
S2	484	4.5	384	0.79	809	27.1	3.2	804	0.99	786	0.97
T1	499	6.7	367	0.74	579	11.6	4.7	810	1.40	596	1.03
T2	476	4.2	367	0.77	590	11.0	6.4	810	1.37	596	1.01
P1	484	4.7	365	0.76	717	12.0	3.6	920	1.28	789	1.10
P2	497	6.1	365	0.74	969	20.1	5.5	920	0.95	789	0.81
Avg			0.84						1.17		1.03
COV			0.18						0.14		0.10

Table 3: Members properties for the parametric analysis

Beam	h	d	a/d	ρ	A'_s	$\rho_{v,max}$	V_{flex}	V_c	n
	mm	mm	mm	%	mm ²	%	kN	kN	
P750a	750	694	2.9	1.65	400	0.33	1081	387	52
P750b	750	694	5.4	3.31	400	0.52	913	436	42
P2000	2000	1944	2.4	0.59	800	0.13	1397	524	6
P3000a	3000	2944	3.3	0.39	7000	0.26	1051	774	6
P3000b	3000	2944	3.3	1.02	7000	0.26	2686	1028	21
Total									127

For all beams, $b_w = 610$ mm, $a_g = 20$ mm, $f'_c = 34.5$ MPa

For longitudinal reinforcement, $E_s = 200\,000$ MPa, $f_y = 508$ MPa

For shear reinforcement, ρ_v between 0 and $\rho_{v,max}$, $f_y = 448$ MPa