

1 **Improving the dispersoid distribution and recrystallization resistance of a Zr-**
2 **containing 6xxx alloy using two-step homogenization**

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9
10 **Abstract**

11 Two-step homogenization was applied to a 6xxx alloy containing Zr to enhance the characteristics
12 of Zr-bearing dispersoids and recrystallization resistance. The two-step homogenization treatments
13 were composed of a first step at 400 °C for 48 h and a second step at 500 °C for 2 and 5 h and
14 compared with single-step homogenization conducted at 500 °C for 2 and 5 h. The dispersoid
15 microstructure was characterized using optical microscopy and scanning and transmission electron
16 microscopies. The thermomechanical simulator Gleeble 3800 was used to conduct the hot
17 compression tests at 350°C/1.0s⁻¹. To study the recrystallization resistance, post-deformation
18 annealing at 500 °C for 1 h was performed on the deformed samples. The grain structure after
19 deformation and annealing was characterized based on the EBSD technique. The results showed
20 that compared to single-step homogenization, the dispersoid characteristics were significantly
21 improved using two-step homogenization, where the number density of L1₂-Al₃Zr dispersoids
22 increased by 75 to 145% while their size decreased by 9 to 25% and the distribution of the DO₂₂-
23 (Al, Si)₃(Zr,Ti) dispersoids became more uniform. The improved characteristics of Zr-bearing
24 dispersoids and the narrower dispersoid-free zones produced by the two-step homogenization
25 significantly improved the recrystallization resistance with a reduction in the recrystallized area
26 fraction reached 85% when compared with single-step homogenization.

1 **Keywords**

2 Al-Mg-Si 6xxx alloy; homogenization treatment; Zr-bearing dispersoids; flow stress;
3 recrystallization resistance.

4 **1. Introduction**

5 The homogenization treatment is a primary thermal process applied after direct chill (DC)
6 casting of Al-Mg-Si 6xxx aluminium alloys. The purposes of this treatment are the re-distribution
7 of the alloying elements to overcome the microsegregation, dissolution of the low-melting eutectic
8 phases and refinement of the large intermetallic particles. Therefore, a high-temperature
9 homogenization (500 - 550 °C) is commonly used to achieve such purposes. Subsequently, the
10 homogenized material undergoes a thermo-mechanical process (rolling, extrusion, and forging) to
11 achieve the desired shape and mechanical properties. However, recrystallization of the deformed
12 structure may occur, which can negatively affect the mechanical and corrosion resistance
13 properties for some products [1, 2]. Therefore, maintaining a fibrous deformed structure during
14 the thermo-mechanical process and its subsequent heat treatment (solutionizing or annealing) is
15 advantageous [3].

16 Introducing thermally stable dispersoids during homogenization is an effective way to retard
17 dislocation motion and sub-grain boundary migration and inhibit recrystallization. Zirconium is a
18 common microalloying element among the other transition elements with limited solubility and
19 extremely low diffusivity in the aluminum matrix [4, 5]. During homogenization, Zr can form
20 nano-sized Al_3Zr dispersoids, which are thermally stable and coherent with the aluminum matrix,
21 and therefore effectively retard the recrystallization [6, 7]. However, the homogenization
22 temperature significantly affects the dispersoid characteristics. Higher temperatures lead to

1 precipitation of large dispersoids with a low number density, while denser dispersoids with smaller
2 sizes could be obtained by low-temperature homogenization. Therefore, adjusting the
3 homogenization parameters is crucial for optimizing the dispersoids characteristics in terms of
4 number density and size to achieve an adequate inhibition of recrystallization.

5 According to the Zener drag force and its pinning effect [8], a large volume fraction and
6 small dispersoid size lead to a higher retarding effect on the recrystallization. In addition, a uniform
7 distribution is also important to apply an even pinning effect in the aluminum matrix [9]. Due to
8 the segregation of Zr to the dendrite centers during solidification, only a few dispersoids with
9 relatively large sizes are precipitated in the interdendritic regions. Thus, these regions are prone to
10 recrystallize more readily than dendrite centers. Two-step homogenization is a promising approach
11 that effectively improves the precipitation and the distribution of the Al_3Zr dispersoids [6, 10-14].
12 The first step is commonly conducted at a low temperature for a relatively long holding time to
13 promote the nucleation of dispersoids, while the second step is conducted at a high temperature to
14 complete the homogenization conventionally but for a short holding time to prevent the excessive
15 growth of the dispersoids. It was reported [6] that finer Al_3Zr dispersoids with higher density were
16 observed in AA7150 alloys for two-step homogenization as compared to the single-step
17 homogenization. Consequently, superior recrystallization resistance was achieved in 7xxx alloys
18 after two-step homogenization due to the denser Al_3Zr dispersoids[5, 6, 10, 12, 13]. Improved
19 recrystallization resistance and strength after two-step homogenization were also reported for Al–
20 Cu 2xxx alloys [14] due to the fine and dense Al_3Zr .

21 Although a number of studies applied multi-step homogenization to improve the
22 characteristics of Zr-bearing dispersoids in specific aluminum alloy systems, such as 2xxx and
23 7xxx, there is a lack of research work relating to Al-Mg-Si 6xxx series. In addition, static

1 recrystallization may occur during post-deformation heat treatment by migration of grain
2 boundaries [15]. Therefore, this study examined the effect of two-step homogenization on the
3 precipitation of Zr-bearing dispersoids and recrystallization resistance in an Al-0.3Mg-0.4Si-
4 0.15Zr alloy in comparison with a single-step treatment. The dispersoids were characterized using
5 optical microscopy and scanning and transmission electron microscopies. Hot compression tests
6 were conducted at $350^{\circ}\text{C}/1.0\text{s}^{-1}$ on homogenized samples using a Gleeble 3800 thermomechanical
7 simulator. In order to evaluate the recrystallization resistance, high-temperature annealing
8 ($500^{\circ}\text{C}/1\text{h}$) was carried out on the deformed samples, and the resulting microstructures were
9 investigated using EBSD techniques.

10 **2. Experimental procedures**

11 An Al-Mg-Si alloy containing Zr was prepared using pure Al (99.7 wt.%) and pure Mg (99.8
12 wt.%) as well as Al-50 wt.% Si, Al-25 wt.% Fe, and Al-15 wt.% Zr master alloys. The actual
13 chemical composition of the alloy analyzed using optical emission spectroscopy is listed in Table
14 1. The material was melted using an electrical resistance furnace and maintained at $\sim 750^{\circ}\text{C}$ for 30
15 min. Then, 0.13 wt.% Ti in the form of Al-5Ti-1B master alloy was added into the melt as a grain
16 refiner. The melt was poured into a rectangular permanent steel mold with dimensions of 30 mm
17 \times 40 mm \times 80 mm. The cast ingots were then subjected to single-step and two-step homogenization
18 treatments, as shown by the schematic diagrams in Fig. 1. The single-step treatments were
19 performed at 500°C for 2 h and 5 h. The two-step treatments included a first step at 400°C for 48
20 h and a second step at 500°C for 2 h and 5 h. The samples were subjected to direct water quenching
21 to room temperature after homogenization. In addition, a few samples were water quenched after
22 the first step ($400^{\circ}\text{C}/48\text{h}$).

1 Uniaxial hot compression tests were performed on a Gleeble 3800 thermomechanical simulator
2 using cylindrical samples with a diameter of 10 mm and a length of 15 mm. The compression tests
3 involved heating the samples to the deformation temperature of 350 °C at a heating rate of 2 °C/s
4 and then holding for 3 minutes to ensure a uniform temperature distribution. The samples were
5 deformed with a constant strain rate of 1.0 s⁻¹ to a true strain of 0.7, followed by water quenching
6 to room temperature to retain the deformed microstructure. To study the recrystallization process
7 after the post-deformation heat treatment, the deformed samples were annealed at 500 °C for 1 h,
8 followed by water quenching to room temperature.

9 To study the microstructure, the homogenized samples were polished using standard
10 metallographic methods. The intermetallic phases and dispersoids were observed using an optical
11 microscope (Nikon, Eclipse ME600) and a scanning electron microscope (SEM, JEOL-6480LV)
12 after etching by 0.5% HF for 30 seconds. In addition, a transmission electron microscope (TEM,
13 JEM-2100) operated at 200 kV was used to observe the fine Zr-bearing dispersoids in detail. The
14 TEM samples were mechanically ground and then electropolished using a twin-jet electropolisher
15 operated at 20 V and -20°C in a 30 vol.% nitric acid and 70 vol.% methanol solution. The samples
16 were observed in the dark and bright field modes along the <001> zone axis of the Al matrix to
17 reveal the dispersoids. The average dispersoid size and number density were measured based on
18 image analysis of TEM images and foil thickness.

19 The microstructures after deformation and after post-deformation annealing were characterized
20 using EBSD analysis. The EBSD samples were sectioned parallel to the deformation axis along
21 the centerline and then carefully polished to obtain high indexing quality. For an accurate
22 comparison, the central region of the samples was examined for all conditions. Orientation maps
23 were produced with a step size of 3 μm for grain structure and a fine step size of 0.5 μm to reveal

1 more details on the grain and subgrain structures and to measure the misorientation distribution.
2 The low angle (2-5), medium angle (5-15°), high angle (>15°), and original grain boundaries (>30°)
3 were presented by white, green, thin black, and solid black lines, respectively. Misorientation
4 angles below 2° were not considered to avoid the microstructure noise caused by sample
5 preparation. In addition, grain orientation spread (GOS) maps were plotted to reveal any
6 recrystallized grains using MTEX, a comprehensive open-source freely available MATLAB
7 toolbox. A threshold value of 2° was used to distinguish between recrystallized and deformed
8 grains. The EBSD analysis and GOS maps are adopted here as common characterization
9 techniques being used to reveal directly and independently the changes in the grain structure. These
10 techniques are preferred over mechanical testing methods, such as microhardness and tensile tests,
11 because the results of these methods could be affected by other factors besides the grain structure
12 and would therefore be misleading in revealing the sole effect of the latter.

13 **3. Results and discussion**

14 ***3.1. Dispersoid Microstructure***

15 ***3.1.1. Precipitation of $L1_2$ - Al_3Zr dispersoids***

16 The dark field TEM images presented in Fig. 2 show the dispersoid microstructure within
17 the dispersoid zones in different homogenization conditions. The dispersoids appeared in these
18 images were identified to be $L1_2$ - Al_3Zr dispersoids based on their morphology and the crystal
19 structure, as was confirmed by corresponding selected area electron diffraction patterns (SAEDPs)
20 [16]. As an example, the SAEDP corresponding to the image shown in Fig. 2a is given in its inset.
21 The characteristics of the Al_3Zr dispersoids in terms of the number density and size varied from
22 one homogenization condition to another. As can be seen in Fig. 2a, the first step at 400 °C for 48

1 h resulted in a dense distribution of dispersoids with a very fine size. On the other hand, the single-
2 step homogenization at 500 °C, whether after holding for 2 or 5 h (Fig. 1b and c), resulted in a
3 lower density of coarser dispersoids. The two-step homogenization treatments, however, improved
4 the dispersoid characteristics as compared with the single-step homogenization at 500 °C (Fig. 2d
5 and e vs. Fig. 2b and c).

6 The number density and the size of Al₃Zr dispersoids were measured for all
7 homogenization conditions and are listed in Table 2 for comparison. A high number density of
8 2841 μm⁻³ with a size of 9 nm was obtained by the first step of 400°C/48h. The number density
9 decreased significantly to ~950 μm⁻³ while the size increased to 14 nm for both single-step 500 °C
10 treatments. On the other hand, the two-step homogenization of 400°C/48h + 500°C/2h resulted in
11 much better dispersoid characteristics compared to the single-step homogenization of 500°C/2h
12 by increasing the number density to 2315 μm⁻³ and decreasing the size to 9.2 nm. In comparison,
13 increasing the holding time to 5 h in the second step coarsened the dispersoids and decreased their
14 number density. However, the dispersoid characteristics in this condition were still remarkably
15 better relative to the single-step homogenization of 500°C/5h (Table 2).

16

17 In general, during homogenization, the Al₃Zr dispersoids precipitate out of the α-Al solid
18 solution enriched with Zr solutes during solidification [17]. The variation of the Al₃Zr
19 characteristics is mainly controlled by the homogenization temperature and time. The relatively
20 low homogenization temperature of 400 °C promoted the formation of abundant dispersoid nuclei.
21 Prolonging the holding time at this temperature up to 48 h allowed the growth of these nuclei
22 progressively to dense and fine Al₃Zr dispersoids. The growth of these dispersoids was, however,
23 restricted owing to the low diffusion rate of Zr at 400 °C. On the other hand, the higher

1 homogenization temperature of 500 °C diminished the dispersoid nucleation rate but increased the
2 Zr diffusion rate and consequently the growth rate, leading to a sparse dispersion of coarse Al₃Zr
3 dispersoids. Thus, by conducting the first step of the two-step homogenization at 400 °C for 48 h,
4 the nucleation of fine Al₃Zr dispersoids was enhanced compared to the single-step homogenization
5 at 500 °C, resulting in a higher number density of Al₃Zr dispersoids with a smaller size for both
6 two-step homogenization conditions. Conducting the second step at 500 °C, whether for 2 or 5 h,
7 coarsened the fine dispersoids formed during the first step. However, it could, on the other hand,
8 satisfy the industrial requirements of 6xxx alloys prior to hot deformation processes by dissolving
9 the eutectic phases and decreasing the microsegregation.

10 The equilibrium partition coefficient of Zr during solidification in Al is greater than unity
11 ($k_0 \sim 2.5$) [18]. Therefore, Zr segregates towards the dendrite centers during solidification,
12 resulting in an enrichment of Zr in these regions. On the other hand, the supersaturation level of
13 Zr is much lower at the dendrite/grain boundaries, which limits the precipitation of Al₃Zr
14 dispersoids, resulting in the appearance of dispersoid free zones (DFZs). Fig. 3a and b show the
15 DFZs for the single-step (500°C/2h) and two-step (400°C/48h + 500°C/2h) homogenization
16 conditions, respectively. As can be seen, generally wide DFZs (> 2 μm) were observed in the case
17 of the single-step homogenization (Fig. 3a), while the two-step homogenization resulted in much
18 narrower DFZs (< 1 μm, Fig. 3b). This observation was further confirmed using other TEM images
19 (see Supplementary Material, Fig. S3). The first step at 400 °C provides a high driving force for
20 the nucleation of dispersoids [19, 20], especially for the regions adjacent to grain boundaries with
21 a low Zr concentration. Therefore, a higher number density of dispersoids was found close to grain
22 boundaries after the two-step homogenization, resulting in narrower DFZs and an overall
23 improvement in the dispersoid distribution.

1 *3.1.2. Precipitation of DO₂₂-(Al,Si)₃(Zr,Ti) dispersoids*

2 In addition to the fine spherical L1₂-Al₃Zr dispersoids, much larger elongated dispersoids
3 (~ 100 nm) were also detected in the single-step and two-step homogenization conditions (Fig.
4 4a), containing Al, Zr and Si as well as a trace of Ti (Fig. 4b). Based on the TEM-EDS analysis,
5 these dispersoids were identified as DO₂₂-(Al,Si)₃(Zr,Ti) dispersoids [21], which have been
6 reported to form in the presence of Si and Ti [22, 23].

7 The distribution of the DO₂₂-(Al,Si)₃(Zr,Ti) dispersoids could not be properly observed by
8 TEM due to their relatively large size and interspaces. The quantitative analysis of these
9 dispersoids based on TEM images could not also be made due to their non-homogeneous
10 distribution and very low number density in the alloy studied. However, contrary to the nanoscale
11 L1₂-Al₃Zr dispersoids, the distribution of DO₂₂ dispersoids could be revealed after etching by
12 optical microscopy in the dark-field mode and SEM (Fig. 5). As can be seen, the precipitation of
13 DO₂₂ dispersoids occurred near grain boundaries with the single-step 500°C/5h homogenization
14 (Fig. 5a-c), but occurred in the grain cores with a more uniform distribution with the two-step
15 400°C/48h + 500°C/5h homogenization (Fig. 5d-f). This can be attributed to the initial distribution
16 of Si, which promotes the DO₂₂ dispersoids [21]. For the single-step 500 °C homogenization, a
17 large amount of Si was initially segregated at grain boundaries after casting [24], thereby
18 promoting the precipitation of DO₂₂ dispersoids in the grain boundary areas. On the other hand,
19 the first step of 400°C/48h as part of the two-step treatment enhanced the diffusion of Si inside the
20 grains, resulting in a more uniform distribution of DO₂₂ dispersoids in the grain cores. The EDS
21 analysis was used to evaluate the Si concentration in the α-Al matrix near grain boundaries and
22 inside the grains in the single- and two-step homogenization conditions. However, due to the

1 limitation of the EDS to detect slight differences of Si concentration, no appreciable differences
2 were revealed between these conditions.

3 **3.2. Flow stress**

4 Fig. 6 shows the compression flow curves at 350 °C and a strain rate of 1.0 s⁻¹ for different
5 homogenization treatments. For all conditions, the flow stress increased sharply by the intense
6 work hardening at the beginning of compression, and then continued to rise at a lower rate with
7 increasing strain, indicating the domination of the work hardening over the effect of softening
8 mechanisms.

9 Generally, the flow stress was controlled by both dispersoid and solid solution
10 strengthening effects and the latter was more dominant. The first step of 400°C/48h produced fine,
11 dense L1₂-Al₃Zr dispersoids. However, the relatively low temperature did not allow the dissolution
12 of the equilibrium β-Mg₂Si precipitates, which decreased the supersaturation levels of Mg and Si
13 in the α-Al and consequently the solid solution strengthening, leading to the lowest flow stress in
14 this condition. In comparison, the single-step homogenization at 500 °C for 2 or 5 h moderately
15 increased the flow stress, where the solid solution strengthening was increased by the enhanced
16 dissolution of β-Mg₂Si precipitates at the higher temperature, while a reduced amount of fine L1₂
17 dispersoids was precipitated in combination with large DO₂₂ dispersoids. Both two-step
18 homogenization treatments, which combined between enhanced dispersoid characteristics and
19 high solid solution strengthening, exhibited the highest flow stresses. The dissolution of β-Mg₂Si
20 precipitates and the resulting increase in the degree of the supersaturation of the α-Al solid solution
21 in all 500 °C homogenization conditions were both confirmed using microstructure observations
22 and electrical conductivity measurements (see Supplementary Material, Figs. S1 and S2).

1 **3.3. Recrystallization resistance**

2 After the hot compression tests, the deformed samples were annealed at 500 °C for 1 h to
3 evaluate the recrystallization resistance. Fig. 7 shows the grain spread orientation maps (GOS) for
4 the single-step and two-step homogenization conditions as well as the as-cast condition (no prior
5 homogenization before deformation). In addition, the recrystallized area fractions were measured
6 and plotted in Fig. 8. The determination of recrystallized grains was based on the GOS parameter,
7 which can be considered as an indication of the dislocation densities and strain level in the
8 individual grain [25]. The grain structures with a GOS value less than 2° have a lower strain level
9 and are considered recrystallized structures [26, 27]. On the other hand, the deformed structure
10 usually possesses higher GOS values (> 5°). After annealing, the samples exhibited different
11 degrees of recrystallization depending on the material condition before deformation. For the as-
12 cast material, the microstructure was highly recrystallized (Fig. 7a) with an area percentage of
13 70.4% due to the absence of dispersoids. Moreover, the majority of the remaining deformed
14 structure showed low GOS values (less than 4°). In contrast, much lower degrees of
15 recrystallization were observed in the homogenized samples, depending on the homogenization
16 condition, due to the precipitation of thermally stable dispersoids that could hinder the dislocation
17 motion. The lowest degree of recrystallization was exhibited by the 400°C/48h first step treatment
18 (Fig. 7b) with a recrystallized area fraction of only 14%. In contrast, single-step homogenization
19 at 500 °C resulted in higher degrees of recrystallization (Fig. 7c and d) with recrystallized area
20 fractions of 29 and 27% after holding for 2 and 5 h, respectively. On the other hand, the
21 recrystallization process was almost completely inhibited by the two-step homogenization of
22 400°C/48h + 500°C/2h (Fig.7e) with only 4% recrystallized area fraction. In addition, most of the
23 deformed regions showed high GOS values (> 8°), indicating a less recovered structure. However,

1 increasing the holding time of the second step to 5 h negatively affected the recrystallization
2 resistance, increasing the recrystallized area fraction to 20% but with high GOS values (>10 , Fig.
3 7f). The recrystallization resistance in this case was still, however, better than that obtained after
4 single-step homogenization at 500 °C whether for 2 or 5 h (20% vs. 29% and 27% recrystallized
5 area fraction).

6 The samples homogenized by the single-step 500°C/2h and two-step 400°C/48h + 500°C/2h
7 treatments were selected for further microstructural analysis. All-Euler maps shown in Fig. 9
8 reveal the evolution of different grains and their boundaries for both samples before and after
9 annealing. In addition, the distribution and the quantitative analysis of the misorientation angles
10 are presented in Fig. 10. The as-deformed structure in both homogenization cases (Fig. 9a and b)
11 showed typically elongated fibrous grains with high densities of low and medium angle
12 boundaries, indicating a dynamically recovered structure. However, as shown in Fig. 10a and b, a
13 much higher fraction of low-angle boundaries (LAB) with a lower mean misorientation angle was
14 associated with the two-step homogenization compared with the single-step homogenization (84.6
15 % vs. 65.8 % and 9.6° vs. 15.4° , respectively). Such results indicate that dynamic recovery during
16 hot deformation was slower after two-step homogenization. Moreover, some small equiaxed
17 grains were observed along grain boundaries in the case of the single-step homogenization
18 (arrowed in Fig. 9a).

19 After annealing, a significant difference in the microstructure was observed between the
20 single-step and two-step treatments. Single-step homogenization (Fig. 9c) resulted in some large
21 recrystallized grains along grain boundaries, indicating severe growth of the pre-existing grains
22 observed after deformation. In contrast, the two-step homogenization only exhibited a dynamically
23 recovered structure with some development of low angle boundaries (white lines) into medium-

1 angle boundaries (green lines). The high annealing temperature (500 °C) facilitates dislocation
2 motion and annihilation and, subsequently, dynamic recovery. These results are confirmed by the
3 distribution of the misorientation angles (Fig. 10c and d), showing a higher fraction of LAB after
4 two-step homogenization compared with the single-step homogenization (74.6 % vs. 60.1 %).

5 It has been demonstrated that the coherent Al_3Zr dispersoids can substantially retard
6 dislocation movement and subgrain boundary migration during thermal treatment [28]. The
7 volume fraction and size of dispersoids determine the amount of the Zener pinning pressure on the
8 grain boundaries [8]. The pinning pressure can be increased by maximizing the volume fraction of
9 dispersoids and minimizing their size. The two-step homogenization could achieve such a
10 combination due to the higher number density of fine Al_3Zr dispersoids. Consequently, a less
11 recovered structure, which was represented by a higher fraction of low angle boundaries, was
12 obtained after deformation with the two-step homogenization compared with the single-step
13 homogenization. Moreover, static recovery was also limited during annealing, in the case of the
14 two-step homogenization.

15 The distribution of dispersoids also plays a crucial role in recrystallization resistance. The
16 nonuniform distribution of dispersoids leads to the formation of dispersoid-free zones adjacent to
17 grain boundaries (Fig. 3). In addition, the large intermetallic particles formed along the grain
18 boundaries after solidification could promote the recrystallization via particle stimulated
19 nucleation mechanism [29]. Such microstructural features lead to more rapid recrystallization in
20 these regions compared to the grain interiors. The single-step homogenization exhibited wide
21 DFZs depleted of $\text{L}_{12}\text{-Al}_3\text{Zr}$ dispersoids, as shown in Fig. 3a. In addition, a nonuniform
22 distribution of $\text{DO}_{22}\text{-(Al,Si)}_3\text{(Zr,Ti)}$ dispersoids was observed (Fig. 5a to c), though this dispersoid-
23 type was reported to have less effect on recrystallization compared to the L_{12} type [30, 31]. As a

1 result, some small recrystallized grains were observed after deformation along the grain boundaries
2 (Fig. 9a). Later, these small grains were able to grow and coarsen during annealing due to the
3 insufficient pinning force in the DFZs. In contrast, the two-step homogenization provided a more
4 uniform distribution of both $L1_2$ - Al_3Zr and DO_{22} - $(Al,Si)_3(Zr,Ti)$ dispersoids, resulting in narrower
5 DFZs. As a result, a significant improvement in the recrystallization resistance was observed after
6 hot deformation or after annealing.

7 **4. Conclusions**

8 A two-step homogenization practice was applied to improve the dispersoid distribution and
9 recrystallization resistance of a Zr-containing 6xxx alloy. From the results obtained, the following
10 conclusions could be drawn:

- 11 1. The characteristics of Zr-bearing dispersoids were significantly improved by the two-step
12 homogenization. The number density of $L1_2$ - Al_3Zr dispersoids increased by 75 to 145%, while
13 their size decreased by 9 to 25% compared with the single-step homogenization.
- 14 2. Large DO_{22} - $(Al,Si)_3(Zr,Ti)$ dispersoids were precipitated near the grain boundaries after the
15 single-step homogenization. However, the distribution of such dispersoids was more uniform
16 in the aluminum matrix after the two-step homogenization.
- 17 3. The two-step homogenization and the single-step 500 °C homogenization dissolved β - Mg_2Si
18 particles almost completely, resulting in nearly similar supersaturation levels of α -Al solid
19 solution, and would consequently have similar solid solution strengthening effects on the flow
20 stress. Thus, the higher flow stress obtained in the two-step homogenization condition could be
21 attributed to the enhanced characteristics of Al_3Zr dispersoids and the more uniform distribution
22 of $(Al,Si)_3(Zr,Ti)$ dispersoids in this condition.

1 4. The improved distribution of Zr-bearing dispersoids and the narrower dispersoid-free zones
2 produced by two-step homogenization significantly improved the recrystallization resistance
3 during post-deformation annealing, resulting in a reduction in the recrystallized area fraction
4 reached 85% when compared with the single-step homogenization.

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1 **Figure Captions**

2 **Fig. 1.** Schematic diagrams of the homogenization treatments, (a) single-step and (b) two-step
3 treatments.

4 **Fig. 2.** TEM dark-field images of Al_3Zr dispersoids formed in the dispersoid zones after different
5 homogenization treatments: (a) $400^\circ\text{C}/48\text{h}$ (first step), (b) $500^\circ\text{C}/2\text{h}$, (c) $500^\circ\text{C}/5\text{h}$, (d) $400^\circ\text{C}/48\text{h}$
6 + $500^\circ\text{C}/2\text{h}$ and (e) $400^\circ\text{C}/48\text{h}$ + $500^\circ\text{C}/5\text{h}$.

7 **Fig. 3.** Bright field TEM images near grain boundaries showing the width of DFZs in, (a) single-
8 step $500^\circ\text{C}/2\text{h}$, and (b) two-step $400^\circ\text{C}/48\text{h}$ + $500^\circ\text{C}/2\text{h}$ homogenization conditions. The Fe-
9 intermetallic in (a) was identified as the plate-like $\beta\text{-AlFeSi}$ intermetallic phase (see Fig. S4 in
10 Supplementary Material).

11 **Fig. 4.** (a) Bright field TEM image showing the elongated $\text{DO}_{22}\text{-(Al,Si)}_3\text{(Zr,Ti)}$ dispersoids and
12 (b) the corresponding TEM-EDS spectrum.

13 **Fig. 5.** Optical dark field and SEM images showing the distribution of the $\text{DO}_{22}\text{-(Al,Si)}_3\text{(Zr,Ti)}$
14 dispersoids for single-step $500^\circ\text{C}/5\text{h}$ (a-c) and two-step $400^\circ\text{C}/48\text{h}$ + $500^\circ\text{C}/5\text{h}$ (d-f) treatments.

15 **Fig. 6.** Typical flow stress curves after deformation at 350°C and 1.0 s^{-1} in different
16 homogenization conditions.

17 **Fig. 7.** Grain spread orientation maps for different alloy conditions after deformation at 350°C
18 and 1.0 s^{-1} and annealing at 500°C for 1 h.

19 **Fig. 8.** Recrystallization area fraction for different alloy conditions after deformation at 350°C

1 and 1.0 s^{-1} and annealing at $500 \text{ }^\circ\text{C}$ for 1 h.

2 **Fig. 9.** All-Euler orientation maps of as-deformed (a, b) and annealed (c, d) grain structures for
3 the single-step homogenization of $500^\circ\text{C}/2\text{h}$ (a, c) and the two-step homogenization of $400^\circ\text{C}/48\text{h}$
4 + $500^\circ\text{C}/2\text{h}$ (b, d). Low angle ($2^\circ\text{-}5^\circ$), medium angle ($6^\circ\text{-}15^\circ$), and high angle boundaries ($>15^\circ$)
5 are represented by white, green, and black lines, respectively.

6 **Fig. 10.** The misorientation angle distribution of as-deformed (a, b) and annealed (c, d) grain
7 structures for the single-step homogenization of $500^\circ\text{C}/2\text{h}$ (a, c) and the two-step homogenization
8 of $400^\circ\text{C}/48 \text{ h} + 500^\circ\text{C}/2\text{h}$ (b, d).

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1 **Tables**

2 **Table 1:** Chemical composition of the studied 6xxx alloy (wt.%).

| Mg | Si | Fe | Zr | Ti | Al |
|------|------|------|-------------|------|------|
| 0.40 | 0.35 | 0.18 | 0.15 | 0.13 | Bal. |

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5 **Table 2.** Characteristics of Al₃Zr dispersoids inside the dispersoid zones after different
6 homogenization treatments.

| Homogenization | Number Density, $\times 10^{21} \text{ m}^{-3}$ | Average diameter, nm |
|------------------------|---|-----------------------------|
| 400°C/48h (first step) | 2.8 ± 0.6 | 9.1 ± 0.8 |
| 500°C/2h | 0.9 ± 0.2 | 13.7 ± 0.9 |
| 500°C/5h | 1.0 ± 0.2 | 14.1 ± 0.7 |
| 400°C/48h + 500°C/2h | 2.3 ± 0.4 | 10.3 ± 1.1 |
| 400°C/48h + 500°C/5h | 1.7 ± 0.2 | 12.9 ± 0.9 |

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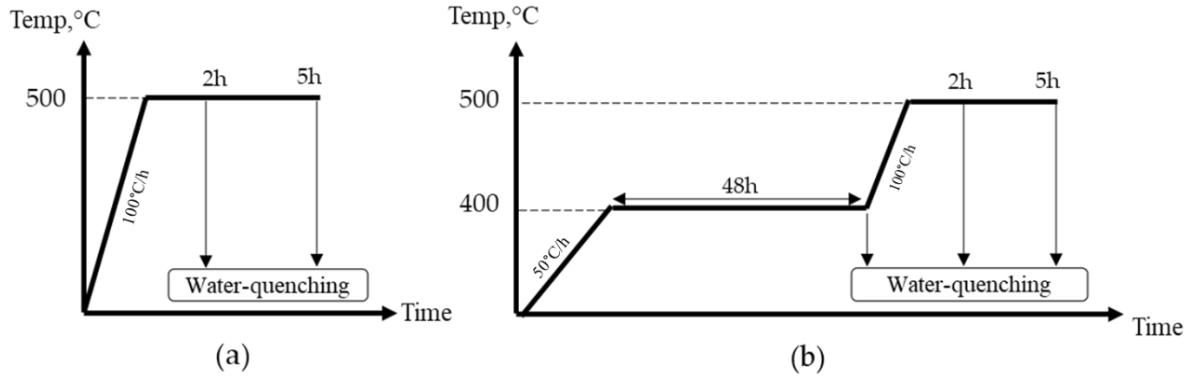
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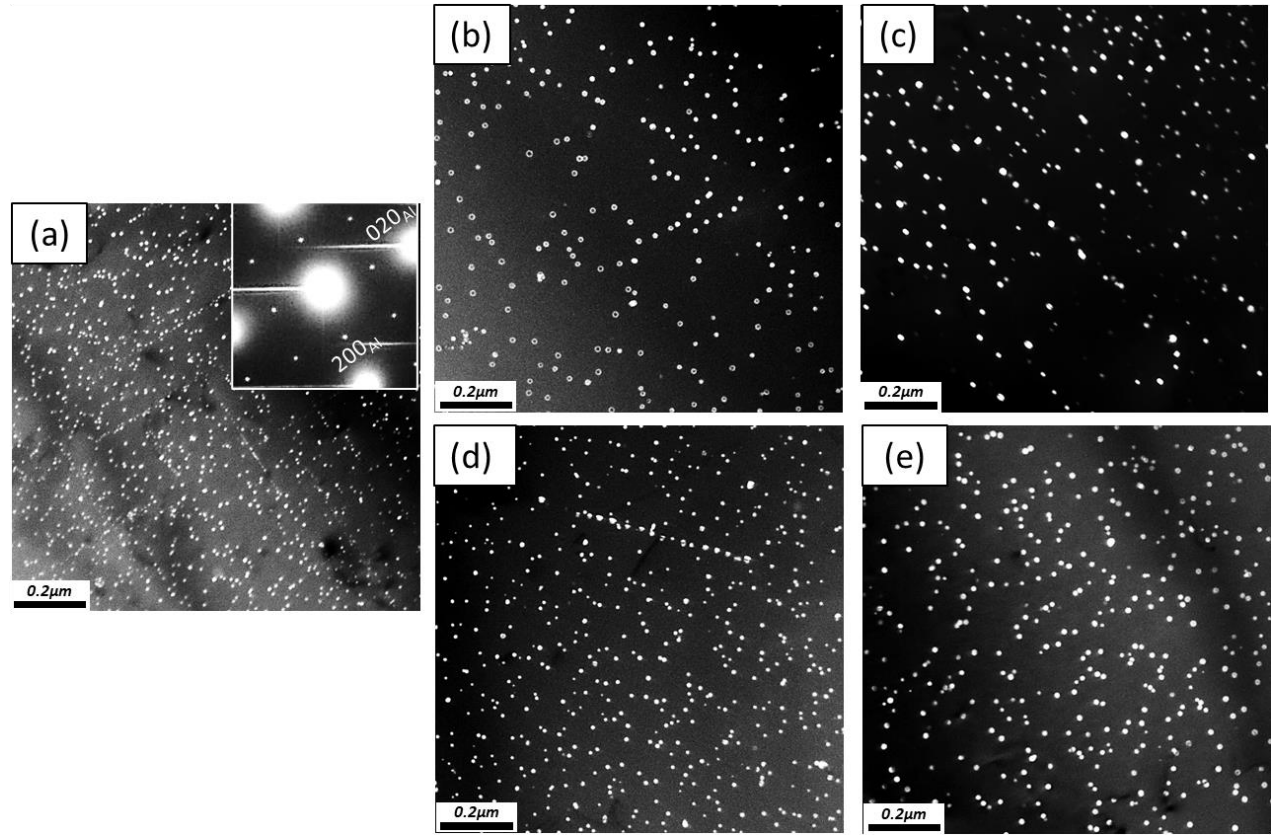
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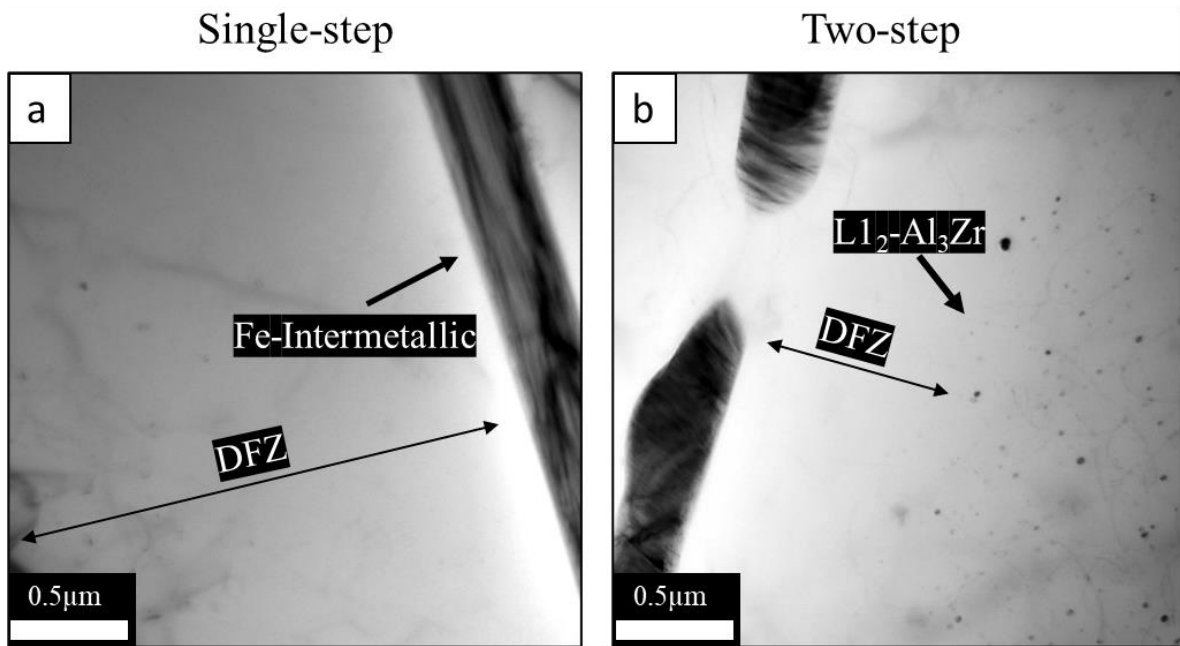
2 **Fig. 1.** Schematic diagrams of the homogenization treatments, (a) single-step and (b) two-step
 3 treatments.



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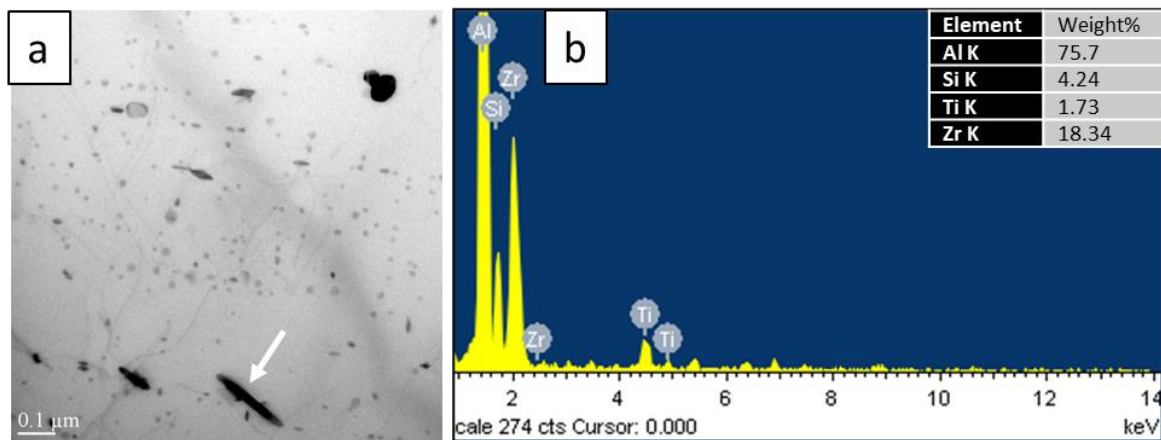
5 **Fig. 2.** TEM dark-field images of Al_3Zr dispersoids formed in the dispersoid zones after different
 6 homogenization treatments: (a) $400^\circ C/48h$ (first step), (b) $500^\circ C/2h$, (c) $500^\circ C/5h$, (d) $400^\circ C/48h$
 7 + $500^\circ C/2h$ and (e) $400^\circ C/48h$ + $500^\circ C/5h$.

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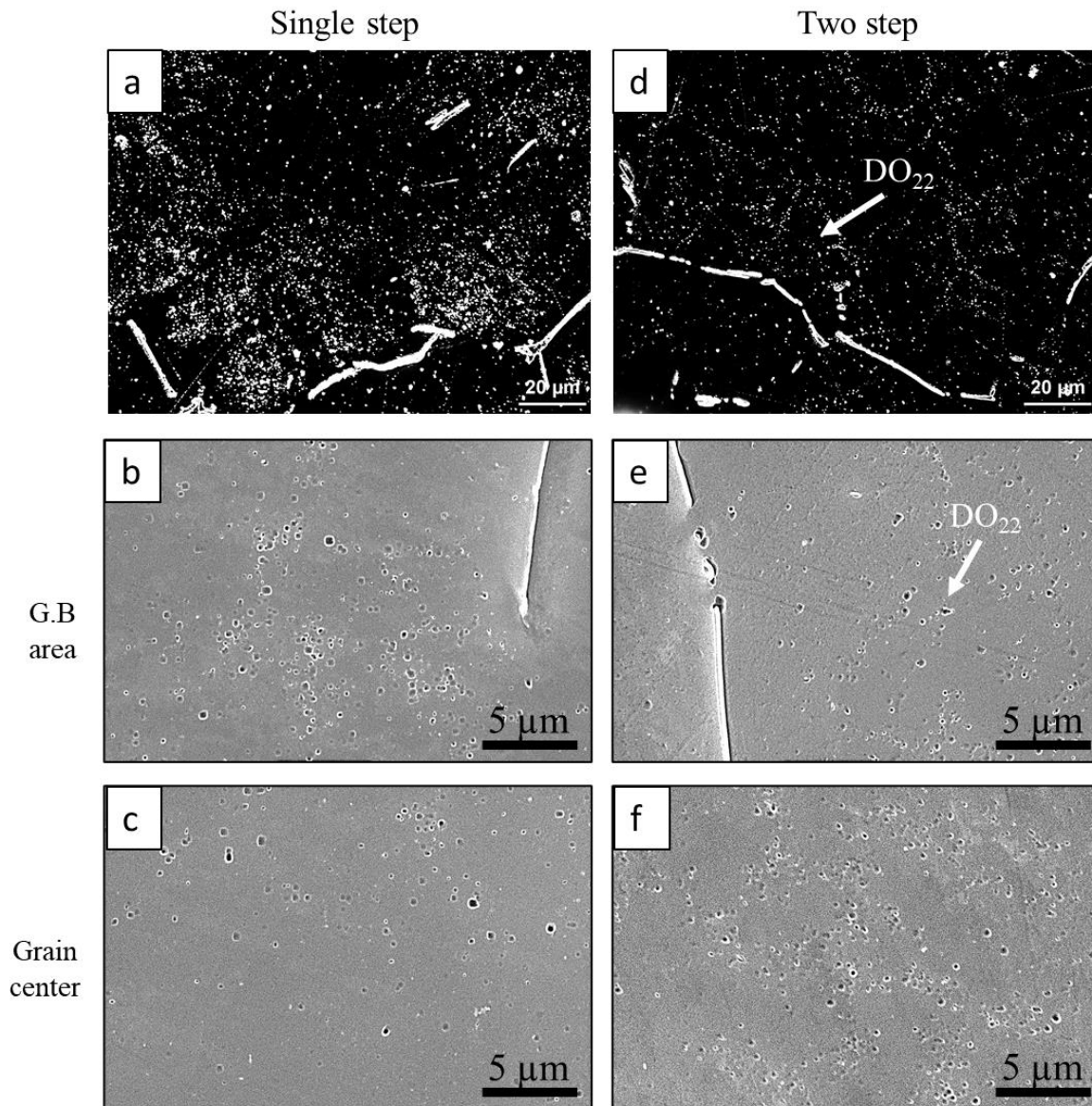
3 **Fig. 3.** Bright field TEM images near grain boundaries showing the width of DFZs in, (a) single-
 4 step 500°C/2h, and (b) two-step 400°C/48 h + 500°C/2h homogenization conditions. The Fe-
 5 intermetallic in (a) was identified as the plate-like β -AlFeSi intermetallic phase (see Fig. S4 in
 6 Supplementary Material).



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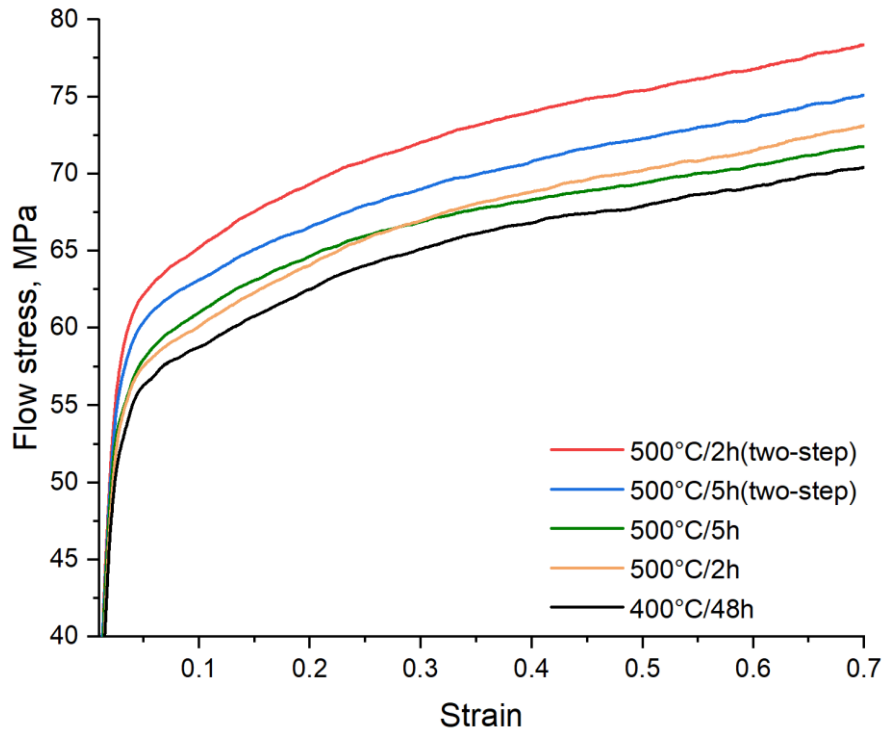
8 **Fig. 4.** (a) Bright field TEM image showing the elongated DO_{22} -(Al,Si)₃(Zr,Ti) dispersoids and
 9 (b) the corresponding TEM-EDS spectrum.

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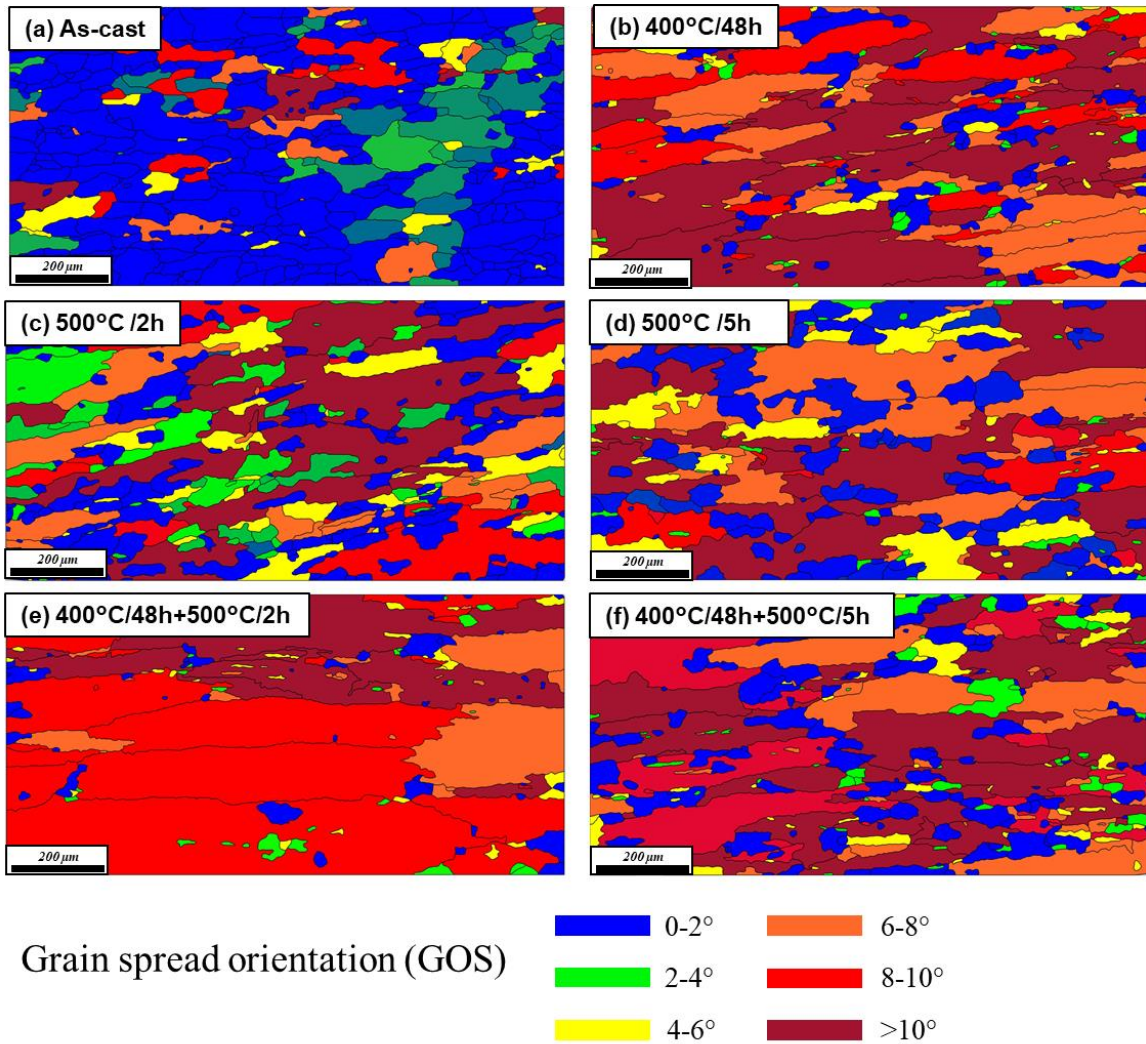
Fig. 5. Optical dark field and SEM images showing the distribution of the DO₂₂-(Al,Si)₃(Zr,Ti) dispersoids for single-step 500°C/5h (a-c) and two-step 400°C/48h + 500°C/5h (d-f) treatments.



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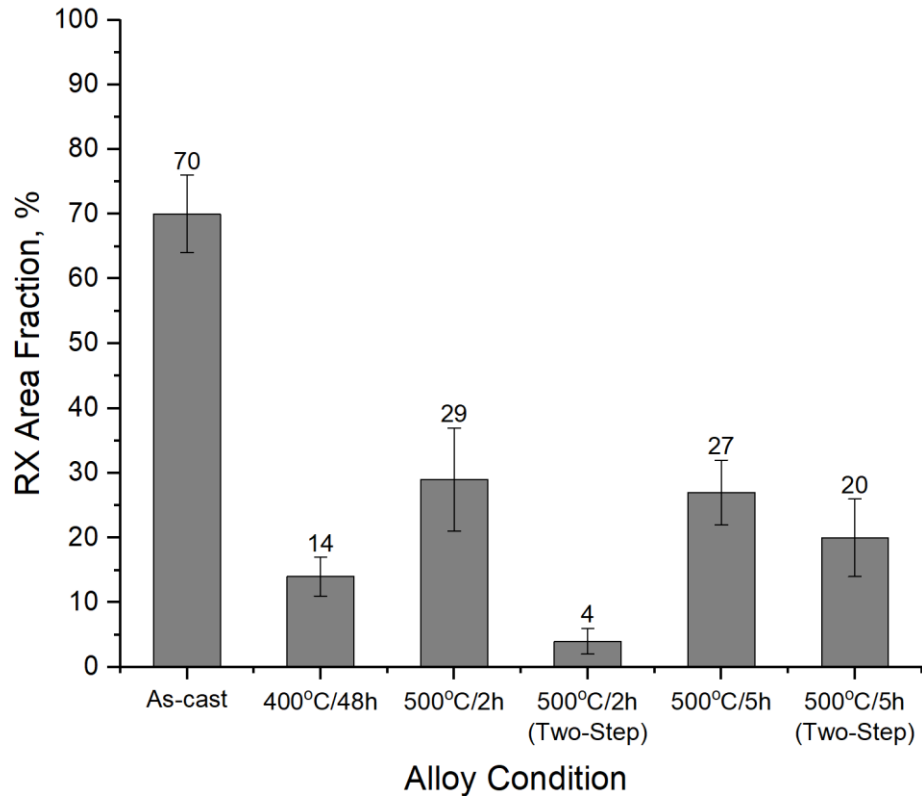
2 **Fig. 6.** Typical flow stress curves after deformation at 350 °C and 1.0 s⁻¹ in different
3 homogenization conditions.

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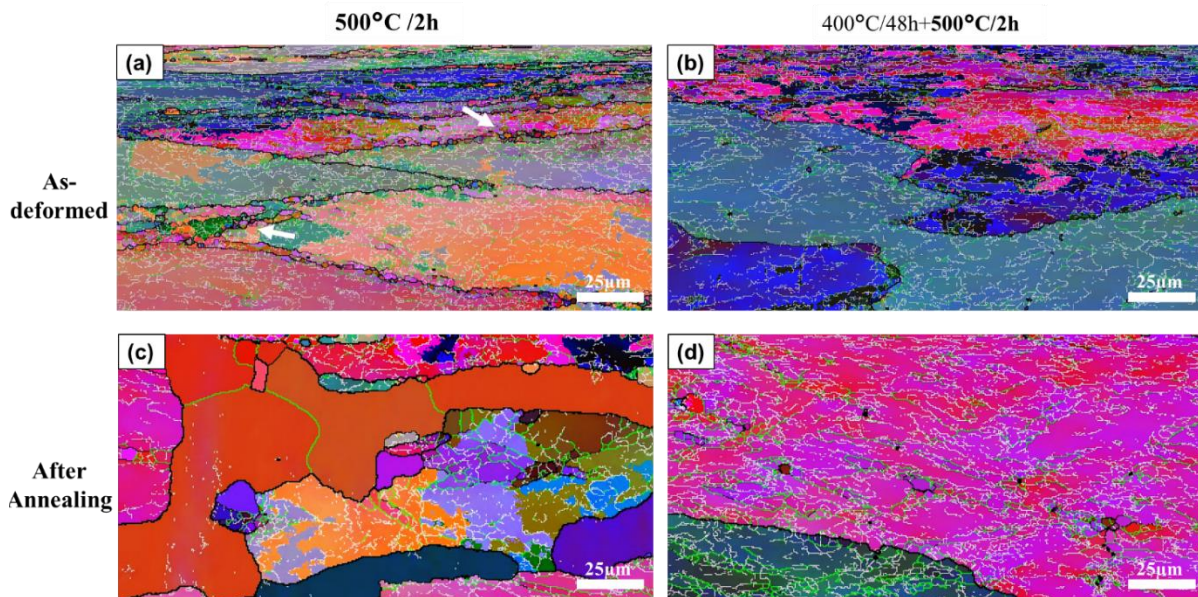
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 2 **Fig. 7.** Grain spread orientation maps for different alloy conditions after deformation at 350 °C
 3 and 1.0 s⁻¹ and annealing at 500 °C for 1 h.

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2 **Fig. 8.** Recrystallization area fraction for different alloy conditions after deformation at 350 °C
 3 and 1.0 s⁻¹ and annealing at 500 °C for 1 h.

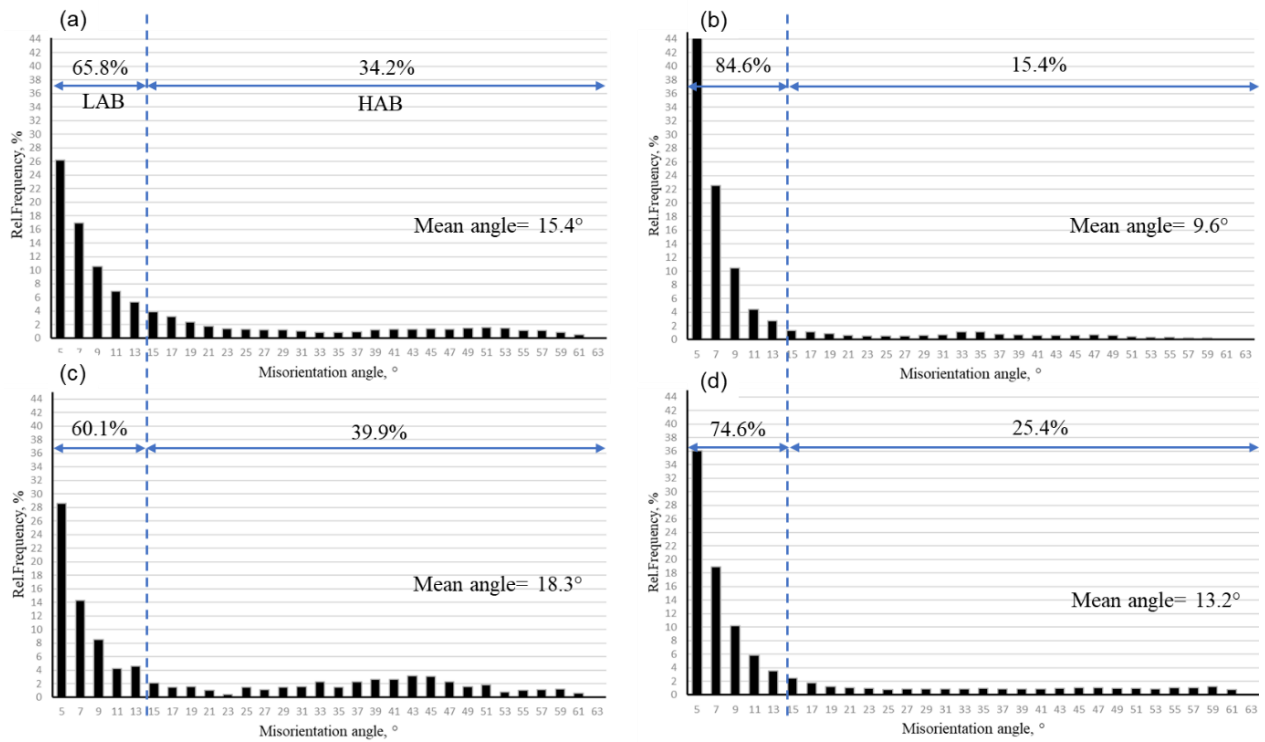


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5 **Fig. 9.** All-Euler orientation maps of as-deformed (a, b) and annealed (c, d) grain structures for

1 the single-step homogenization of 500°C/2h (a, c) and the two-step homogenization of 400°C/48h
 2 + 500°C/2h (b, d). Low angle (2°-5°), medium angle (6°-15°), and high angle boundaries (>15°)
 3 are represented by white, green, and black lines, respectively.

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 7 **Fig. 10.** The misorientation angle distribution of as-deformed (a, b) and annealed (c, d) grain
 8 structures for the single-step homogenization of 500°C/2h (a, c) and the two-step homogenization
 9 of 400°C/48 h + 500°C/2h (b, d).

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1 **Supplementary Material**

2 **For:**

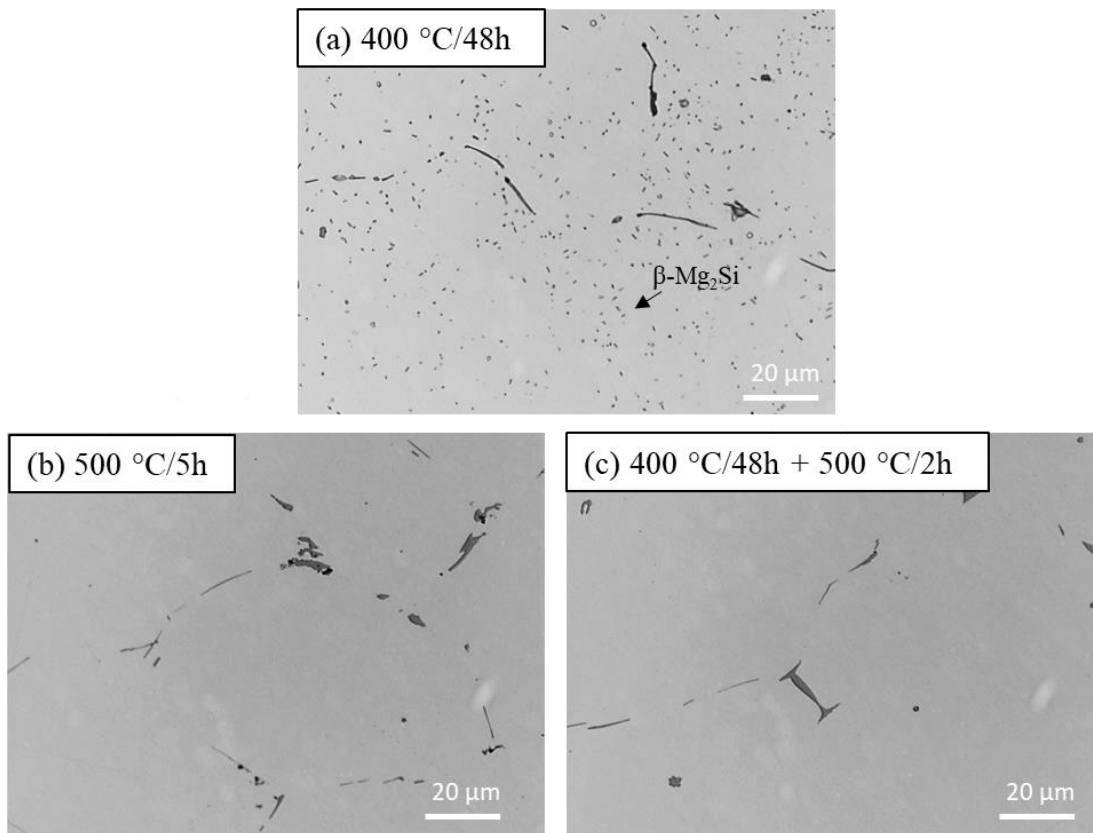
3 **Improving the dispersoid distribution and recrystallization resistance of a Zr-**
4 **containing 6xxx alloy using two-step homogenization**

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6 A. Elasheri ^{a,*}, E.M. Elgallad ^a, N. Parson ^b, X.-G. Chen ^a

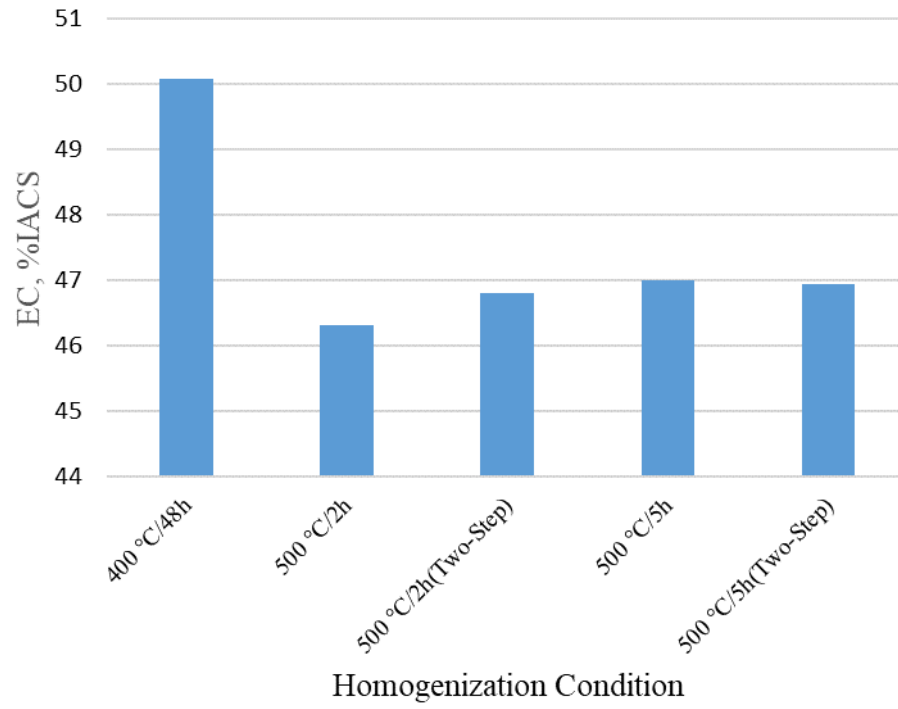
7 ^a Department of Applied Science, University of Quebec at Chicoutimi, Saguenay (QC), Canada
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9 ^b Arvida Research and Development Centre, Rio Tinto Aluminium, Saguenay (QC), Canada G7S
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11 (*Corresponding author: ali.elashery1@uqac.ca)

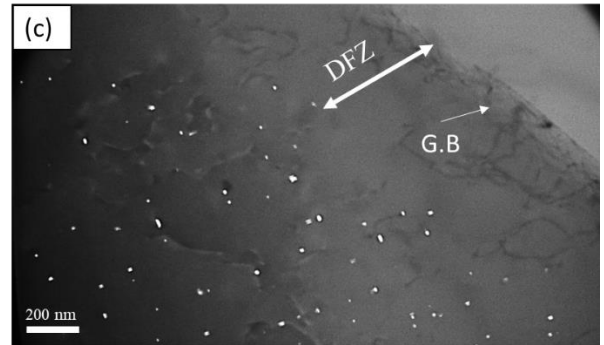
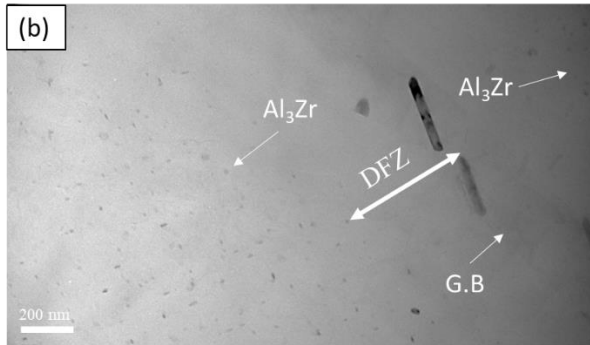
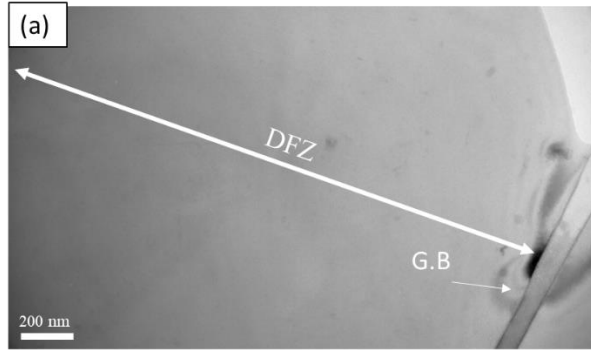


14 **Fig. S1.** Optical micrographs showing the presence and absence of β -Mg₂Si precipitates in
15 different homogenization conditions.
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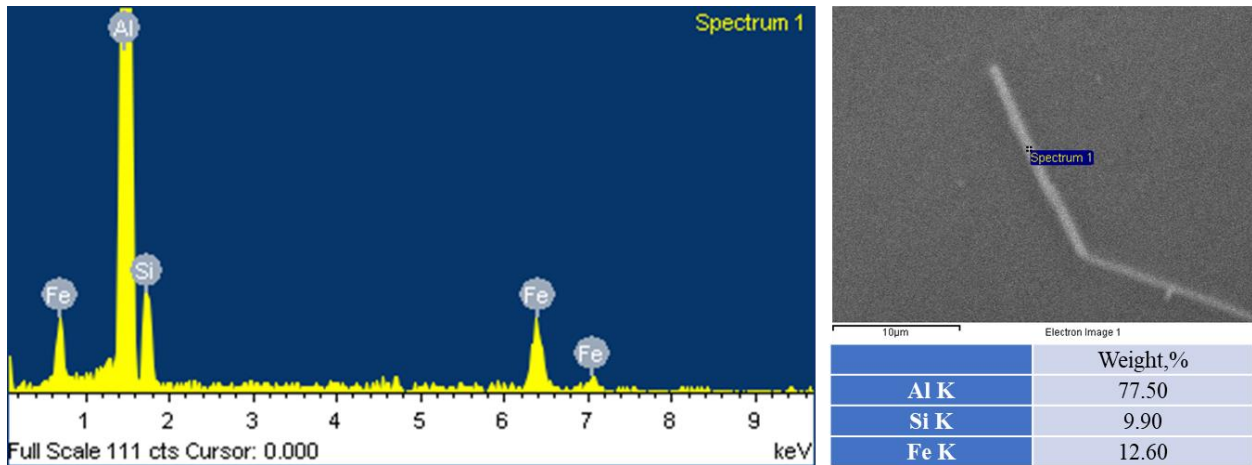
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Fig. S2. Electrical conductivity (EC) of the alloy studied in different homogenization conditions.



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Fig. S3. TEM images showing the width of DFZs near grain boundaries in, (a) single-step 500°C/2h, and (b,c) two-step 400°C/48 h + 500°C/2h homogenization conditions.



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Fig. S4. SEM-EDS analysis of the plate-like β -AlFeSi intermetallic phase.