Dynamic Time Warping based features selection method for selecting foot gesture cobot operation mode.

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13 **Abstract:** Problem: The emerging needs of human beings are pushing manufacturing companies 14 from mass production to mass customization. The occurrence of these new challenges leads to a 15 change of scenario where the robot no longer works isolated from human to a scenario in which the 16 robot collaborates with the human in the same workspace (collaborative robotics). Aims: Wearable 17 sensors using inertial measurement unit (IMU) are widely used to capture human upper body 18 gestures in which the set of gesture being recognize is very large. However, foot gesture approach is 19 starting to gain some places in applications where human's hands are occupied when interacting 20 with robots. Method: This study presents an insole-based foot gesture recognition method for cobot 21 operation mode selection. The insole is composed of an IMU and four force sensors. The classification 22 algorithm uses a support vector machine (SVM) classifier based on features extracted by means of 23 Dynamic Time Warping (DTW) applied to only one reference gesture signal. Five human participants 24 are used for the dataset. As a case study, the system was interfaced in real-time (real time 25 classification algorithm) using a Simulink 2020a scheme with Universal Robots UR5 (5 kg payload). 26 Results: The worst-case recognition accuracy is around 88%. Conclusion: The algorithm is able to 27 adequately discriminate between 10-foot gestures by means of a wearable insole sensor incorporated 28 into the insole. Moreover, this study shows that, the control gesture can accurately being recognize 29 from other current activities such as walking, turning, climbing the stairs and similar.

30 Keywords: Human-Robot Collaboration; Instrumented Insole; Foot Gesture Recognition; Support
 31 Vector Machine; Dynamic Time Warping.

32

33 1. Introduction

34 The advent of collaborative robotics has led to the development of new applications such as 35 third-hand robotics where robots work as an extension of the human limb as a support and assistant 36 [1,2]. These new applications require the development of new intuitive, user-friendly and ergonomic 37 communication interfaces between the robot and the human [3]. In doing so, portable and intuitive 38 communication devices have emerged and enable various robot control modes in the industry. 39 Recent examples deal with the recognition of human hand gestures acquired by means of inertial 40 measurement units for robots mode change and control applications in the manufacturing 41 environment [4]. The advantage of using inertial measurement units lies in their mobility and small 42 size. It does not restrict human movements and appears to be more robust to environmental 43 disturbances and constraints such as noise, brightness etc. [4, 5]. Studies dealing with the recognition 44 of human gestures based on inertial measurement sensors are of various types and make it possible 45 to detect both gestures of the upper parts of the human [3, 6, 7] and very recently those of the lower 46 parts [8-11] based on foot gestures. However, aside the nature of the input command gestures, there 47 is a concern for the processing of time series data derived from the different gestures, particularly, on 48 the topic of real time segmentation and classification. It is commonly assumed in the literature that 49 the best classification result for time series data in term of accuracy is achieved using Dynamic Time 50 Warping (DTW) combined with 1-NN (nearest neighbour) [12, 13]. In such process, the input signal 51 is compared with the different signals from the database or key signals of each class considered. This 52 approach explores the concept of similarity in the sense that the class with the closest distance is the 53 one that best matches the signal under evaluation. However, for systems with low processing 54 capacities and for real time implementation objectives, this structure turns out to be costly in terms 55 of computation time.

This article aims to address applications such as the third-arm robotic where lower body gestures are desired for hand free interaction with the robot. Moreover, a particular emphasis is placed on the DTW-based classification mechanisms used as a tool for determining the signal features based on a single reference gesture rather than considering either all of them [13] or each representatives gestures for different classes of the dataset [12].

61 The project suggests controlling robotic actions through 10 simples and compounds foot 62 gestures for controlling possible modalities of high dimensionality cobot with a low dimensionality 63 wearable device such as a smart insole. The contributions to this article are as follows:

- Recognition of 10 simples and compounds foot gestures foot by means of a sensor placed
 inside an instrumented insole.
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- inside an instrumented insole.The use of DTW as a tool for determining the temporal characteristics of gestures **based on**
- **a single reference gesture signal**. The aim is to compute rather than the similarity between classes, the dispersion base on a single reference gesture.
- Discrimination between control gestures and those of everyday life applications such as
 walking, turning, going up and down stairs without the need of a locking gesture.

The major contribution to this article is to show that the DTW approach based on a single reference foot gesture can been used as features for an SVM classifier and adequately discriminate between command and no command gestures such as walking, turning, going upstairs, going downstair. The proposed method is simple and extensible and can be potentially further improved by combining with other features related method such as mean, standard deviation etc. which perform well in time series classification.

The rest of this work is organised a follow: Section 2 of this article reviews the related works to contextualize the contribution of this research work. Section 3 presents the material used and the paper's primary contributions: which is the use of DTW approach based on one reference gesture for the selection of cobot operating mode. Section 4 presents the experimentation and the results obtained. Section 5 presents an overview of the limit of the study and section 6 presents the conclusion and future works.

83 2. Related Works

Firstly, the related work on foot gesture recognition as command center is covered in section 2.1 and then a brief review of the most different existing methods for foot gesture recognition based wearable sensors is analyzed in section 2.2. In these related works, the previous studies on foot gestures-based pressure sensor matrices and features selection method such as DTW are particularly covered with other classification algorithm such as SVM (Support Vector Machine) classifier.

89 2.1. Foot gesture as command center

90 Control based on foot gestures is a fairly recent research topic which tends to impose itself in 91 applications mainly for people suffering from limb deficit in the context of the control of prostheses 92 [14]. This control approach is done depending on whether you are standing or sitting. According to 93 a study carried out in [15], which demonstrates that, for healthy people interacting with a mobile 94 phone, for example, there are configurations according to which the command based on foot gestures 95 would be more beneficial than that based on hand gestures with a satisfaction rate of nearly 70%. 96 From this observation, it follows that, for an application such as the third robotic hand where one is 97 often led to operate the robot in a standing position, the command based on foot gestures appears to 98 be the ideal solution even thought the feet also fulfill the main function of supporting the limbs of the 99 human when the latter is in a standing position [8, 16]. Various works going in this field have made 100 it possible to set up these strategies both for control of mobile phone [15, 17], creation of music from 101 foot gestures recognition [18] or performing of navigational tasks in interactive 3D environments [11]. 102 Other applications have focused on the field of surgical assistance [19]. One of the first applications 103 of this technology in the context of robotic control is inherited from Sasaki et al., 2017 [16], which 104 proposes an interactive system for controlling the position of two robotic arms by the movement of 105 the user's foot and the grip of each arm is controlled by the toes. Recently a UR5 robotic system control 106 approach is explored in [8] without referencing any real-time application of the proposed control 107 strategy. Independently of the field of application, two technologies of portable sensors are the most 108 recurrent, namely the systems based on sEMG (surface Electromyography) and those based on 109 inertial measurement unit (IMU). Moreover, independently of the type of sensor being used the need 110 of segmentation and classification for gestures recognition arises [20].

111 2.2. *Time series based classification approaches*

112 Time series classification is usually based on either features-based method, model-based113 method or distance-based method.

114 Independently of the method being used, the necessity of accurate signal segmentation arises. 115 The purpose is to determine at which time the command gesture is set to start and when it is set to 116 finish. Usually, the segmentation approaches use a window length calibrated on the gesture duration 117 and the starting point might either been a sliding window or a given threshold position as defined 118 by [18]. Once the segmentation is done, time series classification is required. For time series 119 recognition approaches in general, distance-based approaches using DTW like 1-NN DTW appear 120 to be a state of art in term of accuracy. However, such algorithm has a computational issue and for 121 simple online application, it requires high computational capacities. Therefore, features-based time 122 series classification has been considered in the latter and it is commonly used in the field of gesture 123 input modalities for cobot or mobiles phones control. Table 1 presents an overview of the different 124 recognition methods used.

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126 **Table 1**: Overview of the differents classification method uses for upper and lower body recognition of input

signal.

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Article	Upper body	Lower body	Method	Comment	
[2]			ANN (Artificial Neural	Hand gestures (8 statics gestures	
[၁]			Network)	and 4 dynamics gestures)	
			CNN + NN		
[(]]			(Convolutional Neural	Hand reative (10 station reatives)	
[6] 🛛			Network & Neural	Hand gesture (10 statics gestures)	
			Network)		
[8]		\boxtimes	2D-CNN	5 foot gestures	
[9]		\boxtimes	2D-CNN	1 foot gesture	
[11]		\boxtimes	2D-CNN	4 foot gestures	
[18]		\boxtimes	SVM	5 foot gestures	
[29]		\boxtimes	2D-CNN	8 foot gestures	
[20]			LDA (Linear	(fact contract	
[30]			Discriminant Analysis)	6 foot gestures	
[21]			LR (logistic regression	1 foot costure	
[31]		X	technique)	1 foot gesture	

128

129 Features based time series classification involves automatic time series or hand-crafted times 130 series features selection. The state-of-the-art result in feature-based time series classification lies in 131 CNN (Convolutional Neural Network). Recently, Aswad et al., 2021 [8] achieve nearly a 99% 132 classification accuracy recognition from timeseries classification based on 2D-CNN. However, for the 133 same reason stated above concerning the computational burden required, 2D-CNN was not 134 considered for the application being proposed. Moreover, in this paper, the dimension of gestures 135 has to be the same (windows length) to transfer the selected features of the data inside each pixel of 136 an image and this segmentation is done manually. Another method with state of art result is the 1D-137 CNN used for the classification of time series with consideration of some temporal dependencies 138 between sensor signal being analysed. However, it is required to define a specific structure according 139 to the frame of signal being analysed [21]. Others approaches uses statistical features in time and/or 140 frequency domain to compute for features and then classify through simple SVM classifier [18]. Those 141 approaches are characterised with low computational burden but cannot account for temporal 142 distortion in the time series signal. Therefore, DTW which can manage signal dilatation, tends to be 143 of great interest if it is used as features extraction method. This line of thought was firstly introduced 144 by Kate, 2016 [13]. In his study, the author uses DTW as features extractor and compute DTW 145 distances between every set of the training samples and then uses the distance acquired in 146 combination with SAX method to train an SVM classifier. However, the method proposed is 147 computationally dependent of the training size. Another approach based on DTW as features 148 extraction method uses a centroid data to represent each class for which the DTW will then be 149 computed and used for training purposes of an SVM or a clustering approach [22]. More recently, 150 one approach combine 1D-CNN with local DTW features extraction method from each class centroid 151 for recognition processing [23].

However, From the author's point of view, no work has considered only one reference signal
or gesture using DTW features extraction method to discriminate between time series signal classes.
Thus, in this work, three hypotheses are formulated as follows:

- 155 1. It's possible to discriminate between a set of 10 command gestures and non-command 156 gestures by means of a single time series reference gesture with high accuracy,
- 157 2. The classification algorithm is mainly based on the nature of the reference gesture being used
- 158 and
- 159 3. It's possible to compute features selection based on DTW by means of a static reference160 gesture (the standing position).

161 3. Methodology

First, the insole hardware and software used for the foot gesture command is presented in section 3.1, then the data processing and pipeline approaches used are presented in 3.2. The gestures dictionary used the for cobot control is defined in 3.3. The data processing and preprocessing adopted are presented in 3.4. Section 3.5 presents the concept of dynamic time warping for time series signal and section 3.6 presents a proof of concept on the advantages of using such approach in the case of foot gesture recognition. Finally, section 3.7 presents a comparison of the different classifiers in order to choose the most suitable one for the application.

169 3.1. Insole hardware and software architecture

170 The insole device presented in **figure 1** is located at the foot arch position. The detailed design 171 was previously presented in [25]. It contains a 9-axis motion processing unit MPU9250 [26], which 172 measures the foot's acceleration, velocity, and orientation through a set of 3-axis accelerometer, 3-173 axis gyroscope, and 3-axis magnetometer combined with a digital motion processor (DMP). 174 Moreover, four force-sensitive resistors (FSR), two in the forefoot position and two in the heel 175 position were also integrated to measure the pressure applied on the insole. The analog signals 176 acquired from the pressure sensors were converted by an analog-to-digital converter (ADC) with a 177 12-bit resolution acquired with an ESP32 WiFi module which is also used to send data to the Linux 178 server using MQTT protocol.



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Figure 1 : Insole's device sensors

181 The overview of the proposed foot gesture recognition system is illustrated in **figure 2**.



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Figure 2 : Suggested pipeline for the training, validation, and real-time execution

The signal processing steps used in this article is the same as the one depicted in Aswad et al., 2021 [8]. As the system computes foot gesture command detection, it requires data information from the human's foot. The aim of the recognition is to control UR5 (Universal Robots, 5kg payload) robot through foot gesture. The instrumented insole acquires, processes, and uses MQTT protocol to transmits wirelessly the data to the computer running a ROS server. Then a communication channel is set between the ROS server and MATLAB-Simulink 2020a for online data acquisition and recognition. The sampling frequency used in the data processing and transmission is 500 Hz [24].

191 3.2. Experimental protocol with human participants

192 The experimental protocol is conducted with five (5) participants which consists of four (4) 193 distinct phases as shown in **figure 3**.



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Figure 3 : Experimental protocol

For each participant taken individually, the first phases consist of protocol agreement and exclusion criteria evaluation. The exclusion criteria are: the participant should be able to stand

198 without a supportive device, they must have both physical motor and intellectual impairment and 199 female participant must not ne pregnant. 5 male participants with an average age of 27,5 were 200 recruited among our lab's colleagues. This study is approved by the University of Quebec at 201 Chicoutimi (UQAC) Ethics Committee (Research Ethics Board) under number 2022-837. All 202 participants signed an informed consent form.

203 The second phase involves as training and data acquisition. Here, each participant is asked to 204 do three main set of actions. The first one is the recording of the command gestures. This recording 205 is based on the use of a fixed window size of 2 seconds. This window is considered sufficient to be 206 able to perceive all the dynamics of one command gesture. Moreover, in opposition to the moving 207 window techniques widely used in the case of human activity recognition [14], a windowing system 208 based on an input conditions is used. Indeed, it's assumed that all control gestures begin with a stable 209 equilibrium position without which there would be no possibility of sending command to the robot 210 by means of foot gesture without enhancing the risk of falling or poor posture. This entry condition 211 is subordinated to a standing position of the user. It is materialized by two joint conditions, the 212 activation of all the FSR's sensors and the reset of y-axis acceleration to offset values i.e. 0 for some 213 participants and 1 for others. When the triggering condition is activated, the participant is asked to 214 perform the 10 predefined gestures which are presented to him by means of a video. In order to 215 control the sequences of recording or not of the data, the operators have the latitude to leave or not 216 the standing position by slightly bending the foot so as to break the condition on the activation of the 217 FSR sensors. For each recording gesture, the participant is required to perform each gesture 10 times 218 according to its different rhythms (fast, slow, medium).

The last activity in this phase is the recording of normal human behavior in everyday life. In doing so, the participant is asked to execute the extended instrumented time up and go test (e-iTUG) about 3 or 5 times. This test is implemented by repeating a set of movements in a cyclic way without the need to concern about the activated or not activated state of the system. The participant is invited

to do the following set of movement as described in figure 4.



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Figure 4 : e-iTUG for normal activity recording

Each participant is asked to do the following in one cycle activity: get up from a seated position, walk in a straight line, turn 180 degrees, walk in a straight line, go towards the stairs, go upstairs, go downstairs and sit. The recording process follows the same segmentation approaches base on the triggering condition used in the recording phase of the command gesture. Moreover, the participant is asked to stay in standing position for almost 5 seconds in order to get the reference signal gesture as it is assumed to be the best choice in this case. All the data recorded during this eiTUG are categorized as non-gesture commands (class 11) and presented in [28]. The last phase is real time implementation of the proposed foot gesture recognition process. In this phase, the data from foot gesture are acquired through the same segmentation process as the one used for the training (triggering condition and moving window of fixed size).

236 The proposed real time implementation can be summarized in **figure 5**. The data recording is 237 conducted by a fixed window of 2 seconds when the triggering condition is satisfied. This triggering 238 condition is related to the FSR's sensors and y-axis values of acceleration as it is assumed that when 239 standing, all the FSR's sensors might be activate and the y-axis acceleration might be constant or 240 equal to the offset values depending on human's way of standing. The algorithm then proceeds to 241 compute DTW features based on the reference gesture which is then used in a classic SVM classifier 242 for performing the SVM based DTW classification for gesture recognition and submit an operating 243 mode to the cobot. In this experimentation, the human is required to assemble in accordance with the 244 cobot partner, part of a motor. Therefore, a set of cobot operating mode can be choose solely by the 245 recognition of human's foot gesture input. The cobot is then required to selects an appropriate 246 algorithm from the available operating modes such as trajectory tracking, collision avoidance, etc. 247



- 248
- Figure 5 : Real-time execution algorithm from data acquisition to the execution of a cobot command for operating mode
 selection

251 3.3. Foot-based command: Gesture Dictionaries

When referring to **Table 1**, one can observe that foot gesture input modalities often have a limited number of possibilities (8). In this research work, one aim is to extend the gesture input modalities to 10 for the control of complex system operation. Thus, a dictionary of 10 command gesture has been formulated. It is composed of an extension of the five simple foot gestures derived from Aswad et al.,2021 [8] and compound gestures as define in **Tables 2** and **3**. The suggested algorithm should be able to differentiate these 10 gestures from those executed in the e-iTUG, which represents daily activities (not associated to a command for the cobot).

Table 2: Representation of the five proposed gestures denoted from G1 to G5 as defined in Aswad et al.,2021

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Table 3 : Representation of the five new proposed gestures denoted from G6 to G10.

$(G6) 1) \rightarrow 2) \rightarrow 3) \rightarrow 4)$	$(G9) \begin{array}{c} 1 \\ \hline \\$
$(G7) 1) \rightarrow 2) \rightarrow 3) \rightarrow 4)$	$(G10) 1) \rightarrow 2) \rightarrow 3) \rightarrow 4) \rightarrow 5)$
$(68) 1) \rightarrow 2) \rightarrow 3) \rightarrow 4)$	

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263 Once identified, the foot gestures are then mapped with a set of cobot operating mode. In this 264 study, a set of different cobot states which can help the assembly process has been defined. The 265 different Cobot's modes uses in this article can be activated at any time when the mapping gesture is 266 performed. Those mode are defined as follows:

- Free drive mode: with this mode, the robot can be held by hand and taken to a given target
 location for learning.
- Autonomous mode: the robot performs a given motion by taking a piece from a position A
 to the assembly path.
- Learning new assembly process and part locations: The parts location can be modified and
 indicated through the robot using the free drive mode, then learning new task is defined as
 the ability of the robot to learn the given parts locations.
- Force control mode: It is defined as humans having physical interaction with the robot (force
 control).
- Others general movements are also defined like: Precise trajectory control, fast trajectory control, moving robot to home position, stopping robot, turning left or right the robot configuration.

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The following commands with mapping gestures are presented in Table 4.

Foot gesture	Cobot operating mode
J.	
G1	Free drive mode
G2	Fast trajectory control
G3	Precise trajectory control (Slow)
G4	Autonomous action in shared activity
G5	Stopping the robot
G6	Learning new tasks for assembling process
G7	Physical collaboration / force control mode
G8	Moving robot to home position
G9	Turning left (robot)
G10	Turning right (robot)

Table 4 : Foot mapping gesture

The proposed foot-based dictionary mapped with cobot operating mode must be decoded in order to accurately scope the user's intention when interacting with the cobot. The next section proposed the overall process for data acquisition and features selection.

284 3.4. Data Acquisition, segmentation and filtering

The gestures presented in **Tables 2** and **3** are acquired by an instrumented insole worn in the left foot. In this study, the gestures of 5 participants (healthy adults) were recorded. The measurement time of each gesture was set at two (2) seconds. For numerical simulation, signals from the 3-axis accelerometer, 3-axis gyroscope, and the 4 FSRs are exploited. The details from the insole's signals are provided in Table 5. They are them used as entry for the DTW features based SVM classification.

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Table 5	: Insole's	device	signals
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Signal's name	Description	Signal's Origin
AcX, AcY, AcZ	Acceleration in the 03 axis (X, Y, Z)	3-axis accelerometer
VaX, VaY, VaZ	Angular velocity in the 03 axis (X, Y, Z)	3 axis gyroscopes
Р	Euler's angle: P (Pitch)	DMP (Digital Mation
R	Euler's angle: R (Roll)	Divit (Digital Wotion
Y	Euler's angle: Y (Yaw)	r locessor)
E1 E2 E2 EA	FSR sensors displayed at the forefoot (right and	ECD concore
F1, F2, F3, F4	left) and the heel (right and left)	FOR Sensors

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292 The gestures are assumed to start from a standing position and end in the same position. In 293 fact, this is what happens in reality, so the data are recorded using this principle. As for real time 294 implementation, the same approach is used as depicted in Figure 5. Thus, the authors formulate the 295 hypothesis that, it's possible to compute features selection based DTW by means of a static reference 296 gesture (the standing position). When the foot gesture signal data are given as input, the set of signals 297 according to the defined window of two (2) seconds is proceeded to signal filtering block which is 298 based on a low pass fourth order FIR (Finite Impulsion Response) Butterworth filter with a cut off 299 frequency of 75Hz. The cut off frequency is designed based on the obw() MATLAB function which 300 help identify the portion of signal in the frequency domain belonging to the human being. Then, the 301 filter design MATLAB function (FilterDesigner) is used to design the filter.

302 3.5. *Dynamic time Warping: distance feature*

303 DTW is a distance tool used to measure the dissimilarity between two times series sequences 304 after aligning them. It allows similar shapes to match even if they are out of phase allowing elastic 305 (warping) shifting of the time series [13]. Given two-time series Q and R, DTW distance is computed 306 by first finding the best alignment between them. To align the two time series, an n-by-m D matrix is constructed whose (i, j) element is given by $D_{i,i} = (q_i - r_j)^2$; it which represents the cost to align the 307 308 point q_i of time series Q with the point r_i of time series R. An alignment between the two time series 309 is represented by a warping path, $W = w_1, w_2, ..., w_k$, in the matrix which has to be contiguous, 310 monotonic, start from the bottom-left corner and end at the top-right corner of the matrix. The best 311 alignment is then given by a warping path through the matrix that minimizes the total cost of aligning 312 its points, and the corresponding minimum total cost is named the DTW distance. Hence, as defined 313 in [12], $DTW(Q, R) = W_{NN}$ with $W_{ij} = D_{ij} + \min(w_{i-1,j}, w_{i-1,j-1}, w_{i,j-1})$. The minimum cost 314 alignment is computed using a dynamic programming algorithm. DTW also has a multivariate 315 version commonly used for multi class series classification but it is well overtaken by 1-NN DTW 316 univariate time series classifier [20]. As one might consider, 1-NN DTW appears to be time 317 consuming due to the need of computing DTW between a time series T and each time series present 318 in each class [13] or more recently in each centroid (a centroid represents a central time series which 319 can well represent its class) [22]. Moreover, for a set of n classes, n^2 DTW distance computation is 320 requires, which is time consuming. The proposed approach uses the human standing posture as 321 reference gesture signals and then, the dataset is composed of a basic DTW distances computed for 322 every one of our 13 signals channels with the reference gesture signals. An analysis of the impact of 323 the reference signal choice is shown in section 4.3 below. Therefore, the accuracy achieved is purely 324 dependent on the accurate choice of the reference signal. In the case of foot gesture recognition as 325 implemented in this study, it appears that the standing posture is an excellent choice for classification 326 purpose.

327 3

3.6. Dynamic Time Warping as features selection method based one reference gesture signals : proof of concept

328 In order to evaluate the capacity of the proposed gestures to be able to determine whether or 329 not a characteristic allows good features identification of gestures as suggested in [27], the ANOVA 330 statistical analysis is used. It's calculated from the null hypothesis which implies that the distribution 331 of all the calculated characteristics distribution is similar. The null hypothesis considers that if the 332 probability (p-value) is less than 0.05, the characteristic is set to be significantly different. The 333 ANOVA's results is computed with MATLAB 2020a for the dataset presented in [28]. It is composed 334 of the 5 participants foot gestures. Each participant has a set of 11 gesture group (10 for command 335 gesture and 1 for non-command gesture). For analysis purpose, a part of the dataset, comprising of a 336 set of 10 samples per gestures (110 samples for each participant), is used. The features that are 337 discriminated are the channels univariate DTW distance for each element of the dataset with the 338 reference gesture. The results of the statistical one-way ANOVA evaluation for each of the five 339 participants are given in Table 6.

340

Table 6: Probability (p-values) derived from one way ANOVA

Sensor	Probability (p-values)					
channel	Participant 1	Participant 2	Participant 3	Participant 4	Participant 5	
AcX	7.86e-97	7.86e-27	2e-33	1.87e-17	7.95e-14	

AcY	9.53e-87	1.37e-23	7.09e-16	2.49e-18	2.39e-10
AcZ	9.88e-61	2.54e-13	6.94e-12	9.78e-9	9e-4
R	2.49e-38	1.15e-6	1e-4	1.22e-24	4.67e-30
Р	1.81e-102	1.92e-27	1.12e-28	1.37e-15	2.19e-6
Ŷ	3.41e-29	3.3e-3	6.11e-13	1.46e-11	2.14e-11
F1	7.89e-82	3.27e-12	1.01e-16	6.52e-15	1.27e-26
F2	2.11e-94	3.62e-19	6.37e-27	6.38e-7	2.85e-25
F3	2.15e-99	1.2e-12	2.67e-32	5.24e-15	4.66e-54
F4	1.24e-103	3.41e-14	1.26e-31	1.56e-8	5.76e-51
VaX	3.55e-49	1e-4	1,79e-7	0.5749	1.5e-2
VaY	1.13e-49	9.48e-6	2.22e-11	4e-4	1e-3
VaZ	8.16e-27	4.597e-6	8.03e-12	0.7382	3e-4

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The probabilities (p-values) are significantly less than 0.05 apart from *VaX* and *VaZ* for participant 4. This means that, except for this participant, the proposed features might be of great interest for classification purposes. In order to deal with the disparities observed between each participant, it is decided not to remove the above features for participant 4 because they are considered as part of his singularity. **Table 7** below presents participant 1 ANOVA's data. This participant is one author of this paper. The ANOVA representation allows to visually evaluate the ability of the DTW features to discriminate between the 11 set of classes ranging from 1 to 11.

349

Table 7 : ANOVA's results distribution











A Tukey-Kramer post hoc test was conducted in order to confirm the ability of the proposed DTW feature to adequately discriminate between the 11 different classes. Also, based on the information presented in **Table 6**, one can end up concluding that it is visually possible to adequately discriminate between all different classes. The proposed DTW features are then proceed through a classifier for recognition purposes.

356 3.7. Classifiers comparison and performance validation

357 Once the features are extracted, the selection of the best classifier is attempted. For the selection 358 method of the best suitable classifier, MATLAB 2020a classifier application without any optimisation 359 is used. The aim was to find the best classifier in terms of prediction and speed for real time 360 implementation purposes. In the classifier learner apps of MATLAB 2020a, all the classifier proposed 361 are trained for each participant. However, only the ones with the best results according to a given set 362 of metrics for every participant are retrieved for comparison purposes. They are: Fine Tree, linear 363 discriminant, Naive Bayes (Gaussian), linear and quadratic SVM (one vs one), Fine KNN, Cosine 364 KNN, weighted KNN, Ensemble subspace discriminant, and Ensemble subspace KNN. The dataset 365 used is based on that presented in [28] and it is divided for each participant as a ratio of 70% for 366 training and 30% for the testing phase. This dataset consists of 5 participant's gestures recorded. For 367 each participant, a dataset of 10 samples per gesture is obtained for the command gesture and 12 368 samples for the non-command gesture acquired by implementing three (3) e-iTUG (walking, sitting, 369 standing, turning, going upstairs, going down stair). However, for participant #1, which is one of the 370 authors of this research work, a more much information of 20 samples per command gesture and five 371 (5) e-iTUG test were recorded. The comparison metric used for this classification are as follows:

372 373 374

• *The accuracy*: it's referred to as the level of good classification. It is a number between 0 and 100 and it is defined by the number of good predictions on the overall number of input samples.

False Positive (FP): in this specific application, because of the issue of discriminating with
 high priority, command from non-command gesture, FP refers to cases in which the model
 knows that it is a non-command gesture, but the classifier predicts it as a command gesture.
 This is very important in the recognition process because of the need to keep the level of
 in appropriate activation of cobot operating mode very low when a non-gesture command is
 in process.

- *False Negative* (FN): which infers the reverse scenario. E.g.: it is a command gesture but the
 classifier define it as non-command gesture (this refers to the sensibility of the system to react
 to user's input command gesture).
- *Misclassification level (MC):* it refers to level of confusion between different command
 gesture. It's important for such application as cobot behaviour must be predictive; when
 given an input gesture the cobot behaviour output needs to be known in advance.
- Prediction speed: it refers to how much observation is made in a given time. Its gives information about the classifier speed and for the application purpose, its indicate whether or not the classifier is suitable for real time. This information is a result obtained from the MATLAB 2020a classifier application.
- Moreover, as inspired by [18], the above list of classifier has been augmented with a SVM (support machine) based Gaussian-RBF (Radial based Function) kernel classifier with the principles of one versus all, this means that, for each class i considered, it is always a binary operation that is implemented. The problem is reframed as belonging to the class i or not. So, the other classes are then labeled as non class i. Tables 8, 9, 10,11 and 12 presents the results for each participant.
- 396

Classifier	Accuracy %	FP %	FN %	MC %	Prediction speed
Classifier	Accuracy 76				(observation/sec)
Fine Tree	92.8	3.61	0	3.61	33000
Linear discriminant	96.4	3.61	0	0	12000
Naive Bayes (Gaussian)	98.8	0	1.2	0	9600
SVM linear one vs one	96.4	2.41	0	1.2	2300
SVM quadratic one vs one	98.8	0	0	1.2	1500
SVM RBF (Gaussian) One	00.0	0	0	10	5000
vs all	98.8	0	0	1.2	5900
KNN fine	97.6	1.2	0	1.2	15000
Cosine KNN	96.4	1.2	0	2.4	9600
Weighted KNN	98.8	1.2	0	0	10000
Ensemble subspace	07.6	2.4	0	0	1200
discriminant	97.0	2.4	U	0	1200
Ensemble subspace KNN	98.8	0	0	1.2	1400

Table 8 : Classifier comparison results for participant #1

397

398 For participant #1 the best overall accuracy is achieved by Naïve Bayes, SVM (quadratic and 399 gaussian), weighted KNN and Ensemble subspace KNN. However, weighted KNN was excluded for 400 to recognizing non command gesture as command gesture. For this participant the best result is 401 achieved using Naïve Bayes because of a low-rate misclassification of command gesture. Indeed, 402 cobot operating mode requires the system to be predictable; thus, a low misclassification rate between 403 command gesture is highly important. Although the presence of possible confusion between a 404 command gesture recognised as a non command one, the rate is low and just refers to the capacity of 405 the system to be sensitive to command input gesture. Moreover, the second-best classifier with the 406 highest computation time is the SVM based Gaussian-RBF kernel function.

Classifier	Accuracy %	FP %	FN %	MC %	Prediction speed (observation/sec)
Fine Tree	84.8	0	3.03	12.12	6400
Linear discriminant	90.9	3.03	0	6.06	5300
Naive Bayes (Gaussian)	N/A	N/A	N/A	N/A	N/A
SVM linear one vs one	78.8	6.06	0	15.15	290
SVM quadratic one vs one	90.9	0	3.03	6.06	270
SVM RBF (Gaussian) One vs all	90.9	0	3.03	6.06	3700
KNN fine	90.9	0	3.03	6.06	1700
Cosine KNN	78.8	3.03	3.03	0	310
Weighted KNN	90.9	0	3.03	6.06	3100
Ensemble subspace discriminant	90.9	3.03	0	6.06	470
Ensemble subspace KNN	90.9	0	3.03	6.06	400

Table 9: Classifier comparison results for participant #2

408

409 For participant #2 the best accuracy is achieved with linear discriminant, quadratic and gaussian 410 SVM, fine KNN, weighted KNN, ensemble subspace discriminant and ensemble subspace KNN. 411 Ensemble subspace discriminant and linear discriminant are rejected due to their ability to confuse 412 non command gesture with command one which in fact is very bad compared to what is proposed 413 by others. Moreover, considering the computation speed required, the Gaussian-RBF kernel SVM 414 appear to be the best classifier. Naïve Bayes which was the best for participant one could not even 415 compute, so it was rejected. In doing so it appears that even for participant one, SVM based Gaussian-416 RBF kernel is the best classifier.

417

Table 10 : Classifier comparison results for participant #3

Classifier	Accuracy %	FP %	FN %	MC %	Prediction speed (observation/sec)
Fine Tree	76.5	2.94	8.82	11.76	300
Linear discriminant	94.1	2.94	0	2.94	530
Naive Bayes (Gaussian)	94.1	0	2.94	2.94	950
SVM linear one vs one	88.2	0	0	11.8	150
SVM quadratic one vs one	85.3	2.94	0	11.8	290
SVM RBF (Gaussian) One vs all	97.1	0	0	2.94	2000
KNN fine	94.1	0	0	5.9	1100
Cosine KNN	97.1	2.94	0	0	3100
Weighted KNN	94.1	2.94	0	2.94	5500
Ensemble subspace discriminant	94.1	2.94	0	2.94	520
Ensemble subspace KNN	94.1	0	0	5.88	520

⁴¹⁸

419 For Participant #3, the best accuracy result is achieved by SVM based Gaussian-RBF kernel and 420 cosine KNN. However, due to the ability of Cosine KNN to confuse non gesture command with

421 command one, the best classifier is achieved using SVM based Gaussian-RBF kernel.

422

 Table 11 : Classifier comparison results for participant #4

Classifier	Accuracy %	FP %	FN %	MC %	Prediction speed
					(observation/sec)
Fine Tree	77.8	2.78	0	19.44	590
Linear discriminant	88.9	2.78	0	8.33	470
Naive Bayes (Gaussian)	72.2	5.56	2.78	19.44	720
SVM linear one vs one	86.1	2.78	2.78	8.33	210
SVM quadratic one vs one	88.9	0	0	11.1	210
SVM RBF (Gaussian) One	88.9	0	0	11 1	850
vs all	00.7	0	0	11.1	050
KNN fine	86.1	2.78	0	11.1	630
Cosine KNN	61.1	5.56	0	33.33	2900
Weighted KNN	83.3	0	0	16.7	5500
Ensemble subspace	88.0	5 56	0	5 56	280
discriminant	00.9	5.56	0	5.56	500
Ensemble subspace KNN	88.9	0	0	11.1	310

424

425 For participant #4 the best accuracy result with the highest prediction speed is achieved with

426 SVM based Gaussian-RBF kernel classifier.

427

Table 12: Classifier comparison results for participant #5

Classifier	Accuracy %	FP %	FN %	MC %	Prediction speed (observation/sec)
Fine Tree	77.8	2.78	0	19.44	14000
Linear discriminant	72.2	2.78	2.78	22.22	7100
Naive Bayes (Gaussian)	80.6	0	5.56	13.89	640
SVM linear one vs one	77.8	2.78	2.78	16.67	800
SVM quadratic one vs one	80.6	0	0	19.44	710
SVM RBF (Gaussian) One vs all	86.1	0	11.1	13.89	3100
KNN fine	88.9	0	0	11.1	4600
Cosine KNN	77.8	0	5.56	16.67	4600
Weighted KNN	86.1	0	2.78	11.11	2600
Ensemble subspace discriminant	80.6	2.78	0	16.67	550
Ensemble subspace KNN	94.4	0	0	5.56	470

428

For participant #5 the best classifier in term of accuracy is achieved using ensemble subspace KNN. For all the participants, it appears that SVM based Gaussian-RBF kernel is the best in term of accuracy, computation time (real time application) and false positive rate of non command gesture.

432 4. Experimentation and results

The experimentation is set in two main phases: 1) Training and testing for the first phases (section 4.1) and 2) real-time application for the second phase (section 4.2). Furthermore, the evaluation of the impact of changing the reference gesture on the recognition performances is presented in section 4.3.

437 4.1. Training and testing

438 Based on the best classifier identified, the gaussian-RBF kernel SVM, the aim of this first phase 439 is to demonstrate how well the proposed features approaches outperform temporal conventional 440 ones such as mean, standard deviation, kurtosis, skewness, etc. In doing so, a comparison protocol is 441 attempted by using the same training set in terms of number and index for each temporal 442 characteristic and each participant. The same thing was done for the testing phase. The dataset used 443 in this step is the same one used in section 3.5 above. Table 13 presents the results of the different 444 temporal features considered for foot gesture recognition; 70% of the data are used as training set 445 with a 5-fold validation and 30% for testing set. The classes are labelled from 1 to 11 namely G1 to 446 G10 for command gesture as defined in the dictionary in section 3.3 and G11 for non command 447 gesture as defined in the e-iTUG.



Table 13 : Classification results







10

11

5 6 Predicted Class

PEER REVIEW

10

11

Predicted Class
Kurtosis

20 of 29

True Class

11

Frue Class





449

450 Form the results above, different metrics were estimated like the ones presented in section 451 3.7. Table 14 presents the different metrics for each participant.

	Participar	Participant 2							
%	Accuracy	FP	FN	MC	%	Accuracy	FP	FN	MC
Proposed DTW feature	96.39	0	0	3.61	Proposed DTW feature	94.12	0	5.88	0
Mean	96.39	0	0	3.61	Mean	88.24	0	2.94	8.82
Standard deviation	97.59	0	0	2.41	Standard deviation	91.18	2.94	0	5.88
Kurtosis	92.77	0	0	7.33	Kurtosis	85.29	2.94	29.4	8.82
Skewness	83.13	0	6.02	10.84	Skewness	82.35	2.94	0	14.71
	Participar	nt 3			Participant 4				
%	Accuracy	FP	FN	MC	%	Accuracy	FP	FN	MC
Proposed DTW feature	91.67	2.78	2.78	2.78	Proposed DTW feature	94.12	0	0	5.88
Mean	83.33	2.78	0	11.11	Mean	73.53	0	2.94	23.53
Standard deviation	75	8.33	2.78	11.11	Standard deviation	91.18	0	2.94	5.88
Kurtosis	77.78	8.33	0	11.11	Kurtosis	67.65	2.94	0	29.41
Skewness	61.11	8.33	8.33	16.67	Skewness	50	8.82	0	41.18
Participant 5									
%	Accuracy	FP	FN	MC					
Proposed DTW feature	89.19	2.7	5.41	2.7					
Mean	75.68	5.41	5.41	13.51					
Standard deviation	91.89	2.7	2.7	2.7					
Kurtosis	72.97	5.41	2.7	18.92					
Skewness	45.95	8.11	5.41	40.54					

453 **Table 14**: Comparison metric of differents set of features used for SVM classifier for each participant

454

455 For participants #1 and #5, the best classification is achieved by means of standard deviation 456 approach. Moreover, for the same participant, the proposed DTW approach appears to end up with 457 a high level of accuracy even though it is not considered the best in terms of accuracy, false negative 458 and misclassification rate. However, for participants #2, #3 and #4, the best classification rate is 459 achieved using the proposed DTW approach. Furthermore, for participant #2, the standard deviation 460 based approach, aside of presenting a lower accuracy level, presents a rate of false positive which is 461 different from zero. This means that for such participant, the use of standard deviation approach can 462 end up in a case when the user is implementing non command gestures such as walking, turning etc. 463 and the system recognizes it as an input command for the cobot. This in fact is very bad compared to 464 the result achieved using the proposed DTW approach. Another point of interest is observed in 465 participant #3; it appears that the classification rate is very low with the use of standard deviation 466 and has a high rate of false positive detection of non-command gesture. 467 In conclusion, from one participant to another, it appears that even if there are some cases where

467 In conclusion, from one participant to another, it appears that even if there are some cases where 468 the use of standard deviation approach alone slightly outperforms the proposed DTW, there are cases 469 where the classification result is very bad compared to the proposed DTW approach. Thus, they 470 require for each input participant, to implement feature selection phase to rightly choose of the best

471 temporal feature to use for implementation purposes. However, the proposed DTW is more robust

- 472 to individual specificity. It can accurately classify foot gesture for different participant better than
- 473 classical approaches as mean, kurtosis, skewness, standard deviation by only comparing results of
- 474 the signal corresponding to the standing position of each participant at any time.
- 475 4.2. Real-time evaluation as the application

Online cobot operating mode control is evaluated using the proposed DTW-SVM approach based on the model trained in section 4.1 for each participant. The recognition rate for all five participants, in real time was at a range of 66% of accuracy with a set of FP (false positive) at 8% (mainly non command gesture (G11) confused as G4), false negative (FN) at 10% and misclassification between command gesture (MC) of 16%. The biggest confusion was observed between (G9 and G10) and (G5 and G6).

482 Moreover, because real-time application mainly relies on the capacity of the system to detect the 483 command gestures in time, an evaluation was conducted with the different participant to estimate 484 the computation time. It appears that the computation time of the proposed DTW approach based 485 gaussian SVM classifier is greatly adapted for such a non-real time platform as our MS window 486 computer. The computation time achieved for one classification was about 3.7418e-4 sec obtained 487 using tic and toc MatLAB function used in a MatLAB Script box included in Simulink. It is a very 488 conservative measure based on MatLAB implementation and execution. The Simulink is executed 489 with the real time workshop and the frequency transmission rate from the insole to Simulink is 490 500Hz.

491 4.3. Impact of reference gesture changing on the classification rate.

492 The aim of this research work is to present the usefulness if using standard DTW computation 493 based on one reference gesture for cobot operating mode. Till now, the focused was put on the use of 494 the standing gesture as the reference gesture because of the assumption that every command or non 495 command gesture at some point pass through the standing position before been executed. This 496 section presents foot gesture recognition result when changing randomly the reference gesture being 497 use. To presents this approach, it has been decided to conduct for two (2) participants (#3 and #5) a 498 set of five (5) changing of reference gesture. In doing so, the same dataset and comparison approach 499 together with the same metrics explored in section 4.1 were used. Table 15 display the results of each 500 participant and a reference taken randomly from five different classes.

501

Table 15 : Confusion matrices results of reference gesture change









The results comparison metric is presented in table 16.

Table 16 : Classification metrics for reference changing signal

	Participa	nt 3			Participant 5				
%	Accuracy	FP	FN	MC	%	Accuracy	FP	FN	MC
Reference at standing position	91.67	2.78	2.78	2.78	Reference at standing position	89.19	2.7	5.41	2.7
Reference at class G1	97.22	2.78	0	0	Reference at class G1	64.86	5.41	0	29.73
Reference at class G2	88.89	2.78	0	8.33	Reference at class G2	86.49	2.7	8.11	2.7
Reference at class G6	80.56	8.33	0	11.11	Reference at class G6	78.38	5.41	2.7	13.51
Reference at class G8	91.67	5.56	0	2.78	Reference at class G8	81.08	2.7	8.11	8.11
Reference at class G9	91.67	2.78	0	5.56	Reference at class G9	81.08	8.11	5.41	5.41

⁵⁰⁴

505 By taking a random reference for participant #5, it appeared that the change in reference 506 signal led to a change in the classification result. Moreover, for this participant, it seemed that, the 507 use of the standing posture as the reference signal gives the best result. However, for participant #3, 508 the best results is achieved using a random reference signal taken in class G1. Taking the standing 509 position as reference gesture is not the best but is all the least able to accurately classify between 510 different gestures. The change in reference gesture can lead to a decrease of performance as seen with 511 participant 3 or in an increase of performance as seen with participant #5.

512 Based on these results, it appears that it is possible to find better reference gesture for a given 513 participant. But one can imply that when the standing position is used as the reference one the 514 recognition rate is very good without the need to actively search for the best one.

515 5. Discussion

516 The aim of this study was to analyse whether or not the proposed DTW feature approach

517 based on a single reference gesture (standing pose) can be useful for online foot gesture cobot control.

518 There are with four (4) main conclusions regarding the performance of the proposed approach as

519 feature input for a classical SVM classifier:

- 5201) The proposed DTW approach can well discriminate the ten (10) command gesture521between them as well as non command gesture with the lowest accuracy rate of 88%522obtained in the training/ testing phase. Moreover, even if in real time implementation,523the overall accuracy dropped to 66% due to either confusion between command gesture524(G9 and G10) or (G5 and G6) and confusion between the non command gesture.
- 5252) The proposed DTW approach used alone can outperform common temporal feature526based approach and can be easily implemented through different participants with high527accuracy.
- 5283) When looking at the classification results of the proposed DTW approach, aside for529participants #3 and #5, the level of false positive is very low. Thus, one can imply that it's530possible to discriminate between command and non command gesture without the need531of a locking gesture even if in real time evaluation, confusion between command gesture532G4 and non command gesture G11 exist. The only requirement is a secure process in533order to avoid unwanted activation of G4.
- 534 4) The classification rate of the proposed approach is highly dependent on the nature of the 535 reference gesture being used as shown in section 4.3. One assurance given at the end of 536 this work is to say that, by using the standing posture as a reference gesture for online 537 cobot control based foot recognition system, the accuracy is highly to be very high and 538 at some point, be the highest. Even though all the other possibility of using another 539 reference gesture for the approach hasn't been tried, as far as this article author 540 knowledge, the best result considering all the five participants is achieved by using the 541 standing pose of each other as the reference gesture.

542 6. Limit of the study

543 Limitations in this study can been seen on three main aspects. Firstly, the proposed classification 544 scheme uses only five participants since the approach is dependent on participants. Therefore, the 545 necessity to compute training for any new users appears and the number of participants is likely 546 enough to demonstrate this situation. Secondly, the study has been conducted in a supervised 547 environment where noise arises from environmental consideration like vibrations has been taken out, 548 thus requires enhance disturbance robustness for all industrial applications. Thirdly, the proposed 549 approach is not tested in a real industrial case study, where high accuracy and responsiveness is 550 needed to achieve a safe human robot interaction.

551 7. Conclusions and future works

552 This paper presents a foot gesture recognition scheme for cobot control based on DTW features 553 input for an SVM classifier. Foot gestures are collected from an insole device and then DTW 554 computation with the reference signal is done and later transmitted to SVM classifier for activity 555 (command) recognition. Then, an interface with a UR5 robot is implemented in order to operate robot 556 change control-based foot gesture recognition.

There are three hypotheses suggested in section 2. The goal is to demonstrate the possibility of using only one reference signal (standing position in our case) as DTW based feature extraction methods. The study shows the ability of the proposed scheme to recognize command foot gestures (10) and to actively discriminate between non-command gestures and others (hypothesis 1 is confirmed). Based on the results, the classification algorithm is mainly dependent of the nature of the reference gesture being use (hypothesis 2 is confirmed) and a static reference gesture can be used(hypothesis 3 is confirmed).

Future research aims at the real time deployment of the proposed solution in a real industrial case scenario and for the perspective of generalisation purposes so that a more refined method can been used for two or three users without the need to conduct training phase. Moreover, the automatically detection of the best reference gesture (signal) to be used for a given dataset without prior knowledge of the purpose application is still in exploration.

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G.V.T.D.; writing—original draft preparation, G.V.T.D.; writing—review and editing, G.V.T.D., M.O.;
visualization, G.V.T.D. and M.O.; supervision, M.O, R.M..; project administration, M.O.; funding acquisition,
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