

1 REMOULDING ENERGY AS A CRITERION IN ASSESSING
2 RETROGRESSIVE LANDSLIDES IN SENSITIVE CLAYS: A REVIEW
3 AND ITS APPLICABILITY TO EASTERN CANADA

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21 **ABSTRACT**

22 Sensitive clays are heavily vulnerable to disturbance making them highly susceptible to
23 landslides. The Eastern Canadian region of Quebec and Ontario have large deposits of such
24 sensitive clays which pose a serious threat to life and property. The cause of these land
25 movements and various criteria for assessing their instability are compared and assessed in this
26 review paper based on the remoulding energy and the remoulding index, along with other in-
27 situ and mechanical properties of the soil. The energy distribution within a slope at the time of
28 failure is used to link the remoulding energy to the nature of the material, making it an ideal
29 tool for landslide risk assessment. A comparison of several existing methods for the
30 determination of remoulding energy is made to understand the suitability of each method in
31 future research. The applicability of these methods to Eastern Canadian soils are also verified.
32 The post peak behaviour of sensitive clays and its influence on remoulding energy is analysed
33 and the estimation of remoulding energy through a linear post peak approach is examined. The
34 paper highlights the importance of remoulding energy and its accurate determination through
35 the best fit stress-strain curve to understand retrogressive landslides in sensitive clays.

36 **Keywords:** sensitive clays, retrogressive landslides, remoulding energy, strain softening

38 INTRODUCTION

39 Sensitive clays underly large areas of the Northern hemisphere including Canada, Sweden,
40 Norway, Finland, Russia, as well as Northwestern regions of America. Their existence is due
41 to isostatic depression and post-glacial rebound followed by the leaching of sea sediments.
42 (Torrance 1983). Clays are considered sensitive when they are susceptible to strain softening.
43 The susceptibility of clays to undergo strength loss is often quantified by the sensitivity index
44 (S_t) which is the ratio of shear strength of the undisturbed soil to that of the remoulded soil
45 (L'Heureux et al. 2014). Highly sensitive clays with very low remoulded shear strength
46 (defined as less than 0.5 kPa and 0.4 kPa in Norway and Sweden respectively) are referred to
47 as quick clays (NGF 2013; Karlsson and Hansbo 1989). In Canada, this is defined based on
48 sensitivity according to the modified fourth edition of the Canadian Foundation Engineering
49 Manual, where clays with sensitivities of 16 or above are termed quick (CGS 2013). A major
50 hazard posed by sensitive clays are the retrogressive landslides, often leading to loss of life and
51 property.

52 Landslides in sensitive clays have often been described in the past to be initiated from
53 a small shallow circular slide followed by several slides leading to a large retrogressive
54 landslide (Eden 1970; Bjerrum 1955) or due to displacements within a clay mass along a nearly
55 horizontal failure surface (Odenstad 1951; Carson 1977; Locat et al. 2011). However, years of
56 rigorous research on landslides in sensitive clays by researchers has yielded a wider perspective
57 of landslide types and mechanisms (Locat et al. 2011; Quinn et al. 2011). Some examples of
58 landslides in sensitive clays of Quebec include retrogressive slope failures that occurred at
59 Saint Jean Vianney in 1971 (Tavenas et al., 1971), St. Jude in 2010 (Locat et al., 2017) and
60 Saint-Luc-de-Vincennes in 2016 (Tremblay-Auger et al., 2021).

61 The retrogressive nature of landslides in sensitive clays has led to the analysis of these
62 clays from the point of view of post failure movements and remoulding process. Remoulding
63 in sensitive clays is the result of continuous strength degradation due to the destruction of its
64 fabric (Skempton and Northey, 1952). The strain energy required for remoulding is termed the
65 “remoulding energy”. It is also referred to as the “disintegration energy”, “degradation energy”
66 or simply “strain energy” (Leroueil et al., 1996; Tavenas et al., 1983; Thakur and Degago
67 2013). An accurate depiction of the complete stress-strain behavior in the post-peak regime is
68 essential in its estimation. There are several criteria in the analysis of retrogression in sensitive
69 clays. As opposed to these isolated criteria based on only individual parameters such as slope
70 geometry (Mitchell and Markell 1974), physical properties like liquidity index (Lebius et al.

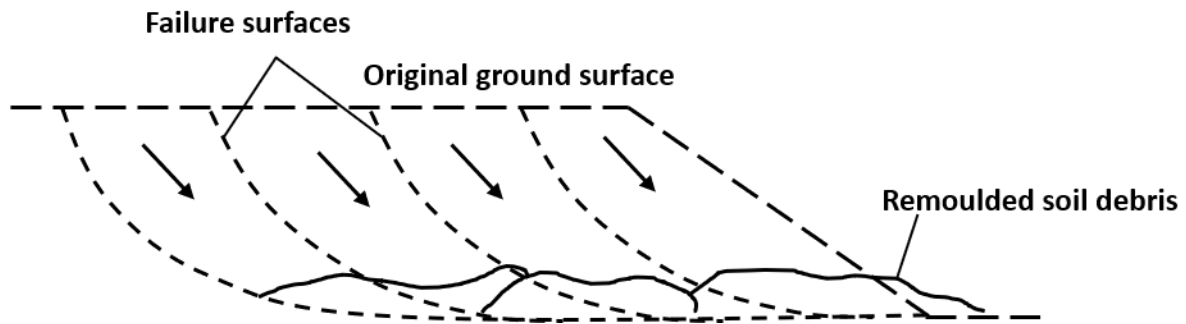
71 1983), liquid limit (Tavenas et al. 1983) or remoulded shear strength (Leroueil et al. 1983;
72 Locat and Demers 1988), mechanical properties like brittleness index for sensitive clays (Quinn
73 et al. 2011) or quickness (Thakur and Degago 2012), remoulding energy and remoulding index
74 encompass the effect of several parameters together. It takes into consideration undrained shear
75 strength, brittleness as well as slope geometry in its estimation. The importance of representing
76 strength degradation during slope failures in terms of remoulding index is also highlighted by
77 Kennedy et al. (2021) and Potvin et al. (2022) as evidences suggest that the undrained shear
78 strength at the end of retrogression may not always correspond to the completely remoulded
79 shear strength. The objective of this review paper is to present remoulding energy and
80 remoulding index as important criteria in the analysis of retrogressive landslides along with
81 other physical and mechanical properties of the clay. Firstly, the mechanism of landslides in
82 sensitive clays of Eastern Canada are thoroughly examined to get insights to establish a
83 criterion for landslide assessment. Subsequently, the study highlighted the concept of
84 remoulding energy and explored various methods to quantify it. Following that, a parametric
85 study is conducted to investigate the relationships between remoulding energy and geotechnical
86 properties such as liquidity index, plasticity index and preconsolidation pressure. Finally, this
87 review paper concluded with a comprehensive analysis and discussion on future quantification
88 of remoulding energy for sensitive clays of Eastern Canada.

89 MECHANISM OF LANDSLIDES IN SENSITIVE CLAYS

90 Most of the landslides of Eastern Canada seem to take place during the wet times of the year
91 (mostly spring) indicating the important role played by water (Eden 1970). It has been often
92 reported that infiltration due to rain or snow or the presence of a nearby water course can be
93 one of the major reasons that trigger retrogressive landslides in sensitive clays. Sensitive clay
94 layers are often found to occur in nature as sandwiched between an upper dry crust and a lower
95 till layer or fissured bed rock (Lafleur and Lefebvre 1980). Sensitive clay slopes of today have
96 emerged over years of fluvial erosion where the rivers cut through these clay deposits to form
97 valleys. As erosion by rivers or streams through the marine deposits and the subsequent valley
98 formation progress over time, a critical position is reached wherein the pore water pressure
99 tries to flow upwards but is restricted due to the relatively lower permeability of the clay layer.
100 This leads to a high pore water pressure at a relatively low overburden stress and a subsequent
101 reduction in effective stress. This can give rise to a low shear strength in the slope that can lead
102 to large flowslides and subsequent retrogression (Lafleur and Lefebvre 1980; Lefebvre 1996).
103 Examples of similar cases include the Saint Jean Vianney landslide of 1971 where partial

104 flooding due to surface runoff was experienced about 3-4 days before the occurrence of the
105 landslide (Tavenas et al. 1971). Investigations of the 1994 St Monique landslide attributed the
106 presence of high porewater pressure and upward seepage conditions to the presence of the
107 Nicolet River, only 650 m west to the landslide site (Locat et al. 2014). Piezocone
108 measurements on the more recent St. Jude landslide that occurred in 2010 indicated upward
109 seepage near the toe of the slope with high artesian pressures (Locat et al. 2017). Although
110 10% of the landslides take place due to human activities like excavations at the toe of the slope,
111 blasting, overloading the crest of the slope by fill work and pile driving, the remaining 90% are
112 all caused by natural mechanisms as the majority of these slopes have their origin near the
113 banks of water courses which constantly cut into the marine deposits at the toe of the slope
114 (Demers et al. 2014).

115 According to the Varnes classification of landslide types and their update by Hungr et
116 al., (2014), the majority of retrogressive landslides in Quebec can be classified as flow slides
117 or lateral spreads. The failure mechanism of flowslides is quite clear and well narrated in the
118 literature (Bjerrum 1955; Tavenas et al. 1983). As illustrated in Figure I, in a retrogressive
119 flowslide, an initial rotational failure surface causes the soil to flow and slide out of the crater,
120 leaving an unstable backscarp. An unstable backscarp in this context maybe referred to a
121 condition where the soil undergoes significant loss of shear strength and moves out of the crater
122 leaving behind a high slope that may not be relied upon as a counterbalance to support the
123 remaining slope (Bjerrum 1955; Tavenas et al. 1983). This will cause the occurrence of another
124 failure surface where more soil slides and flows out of the initial crater. This cycle is repeated
125 with multiple failure surfaces until a stable backscarp is formed. An interesting physical model
126 using a geotechnical centrifuge has been shown by Kennedy et al. (2021) which models this
127 exact behavior. In the field, the remoulded clay completely flows out of the crater and can
128 travel long distances depending on its potential energy as well as the geological conditions of
129 the site (Hungr et al., 2014; Locat et al., 2011).

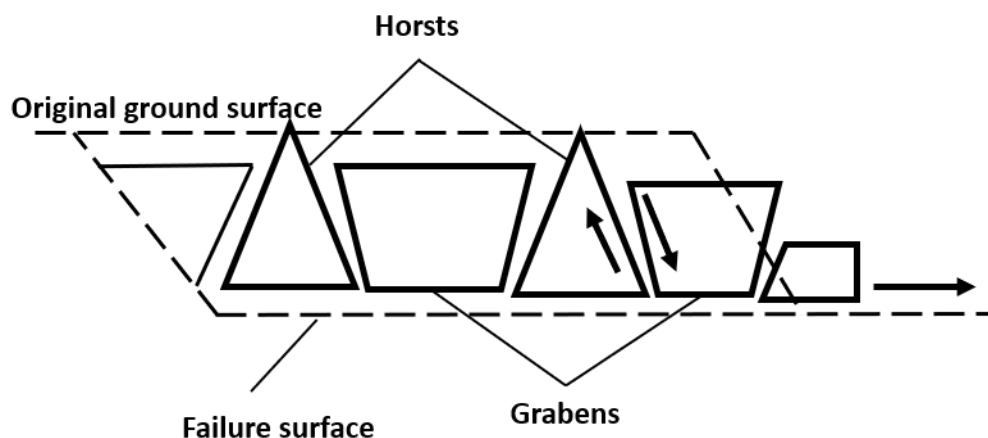


130

131 **Fig. I** Mechanism of flowslides with rotational failure surfaces (adapted from Locat et al., 2011)

132

133 Lateral spreads on the other hand are characterized by a ribbed appearance of pointed
 134 soil structures (horsts) and flat-topped strips of land with the vegetation more or less intact
 135 (grabens) as shown in Figure II. In a spread, failure occurs as a result of the propagation of a
 136 sub-horizontal failure surface, more specifically called a shear band/shear zone which develops
 137 near the toe of the slope dislocating the soil mass above the shear band into horsts and grabens
 138 that subsides in the underlying remoulded soil debris (Hung et al., 2014; Locat et al., 2011).
 139 It is observed that there are cases where a combination of the two mechanisms of flow slides
 140 and spreads also occur. Initially, the flow slide may occur leaving behind an unstable
 141 backscarp, creating conditions for a spread to occur. The resulting landslide will have
 142 characteristics of both a flow slide and a spread (Demers et al., 2014; Locat et al., 2017;
 143 Tremblay-Auger et al., 2021). Sometimes a retrogressive slip follows an episodic nature where
 144 multiple slip surfaces are formed over years rather than a single event like the Mud Creek
 145 landslide in Ottawa (Potvin et al. 2022).



146

147 **Fig. II** Mechanism of spreads in which the failure surface is almost horizontal forming horsts and grabens
 148 (adapted from Locat et al., 2011)

149 Flow slides are more retrogressive in nature than spreads as the remoulded soil debris
150 flows from the point of occurrence of the landslide (Demers et al. 2014). Often times this results
151 in the soil debris resembling a bottle neck shape (Locat et al. 2011). The distinctive horsts and
152 graben formation in a spread failure make them stand out from flowslides. The mechanism of
153 the formation of horsts and graben dates back to the finding of Odenstad (1951) from the
154 Sköttorp landslide in western Sweden that underwent a translatory motion. Later, several
155 studies on the mechanism of spread failures led to the idea of a lateral spreading of a failure
156 surface progressing upwards leading to a horst and graben formation with the remoulded soil
157 being squeezed out due to tension cracks between the horsts and grabens (Mollard and Hughes
158 1973; Odenstad 1957; Carson 1977). In recent times, a progressive failure analysis related to
159 large landslides in sensitive clay has been proposed, wherein the complete failure surface is
160 formed prior to a slope's subsidence and the propagation of a shear band takes place along this
161 failure surface (Bernander 2000; Locat et al., 2011; Quinn et al. 2011). As the shear band
162 propagates, the shear stress along the failure surface at each point increases to the peak shear
163 strength and then drops to the residual strength. The propagation of shear band ultimately leads
164 to the global failure of the slope when horizontal forces reach active or passive failure
165 conditions (Locat et al. 2011). A small disturbance, in the form of erosion or human activity,
166 can trigger the subsidence and the movement of the slope creating the effect of an apparent
167 retrogression (Quinn et al. 2007).

168 The realization of these land movements is often difficult due to the complex flow
169 behavior, accurate interpretation of post failure movements and strength loss at large
170 deformations. However, computational techniques and numerical modelling efforts to analyse
171 these behaviors have been evolving over the past decade especially for spread failures.
172 Advanced modelling techniques such as BIFURC, MPM (Material Point Method), LDFEM
173 (Large deformation finite element modelling) and SPH (Smoothed Particle Hydrodynamics)
174 (Locat et al. 2013; Tran and Solowski 2019; Shan et al. 2021; Lian et al. 2023) have been
175 successful in generating accurate landslide mechanisms and post-failure movements in Eastern
176 Canada and Norway. The recent work by Lian et al. (2023) using a fully coupled
177 hydromechanical approach was also able to capture the development of excess pore water
178 pressure during retrogressive flowslides, attributed to the change in permeability under large
179 deformations. The various modelling techniques highlight the influences of sensitivity,
180 remoulded shear strength, slope geometry, brittleness and large deformation strains in
181 characterizing these movements.

182 EXISTING CRITERIA FOR RETROGRESSION IN SENSITIVE CLAYS

183 Early studies on strength loss and failure bring to light certain mechanical properties such as
184 the brittleness index (I_B), remoulding energy (E_R) and remoulding index (I_r) which characterize
185 strength degradation and remoulding behavior of soils. The inherent properties of a soil
186 including shear strength, stability number (N_c) and quickness (Q) also play important roles in
187 assessing retrogression. These definitions are briefly summarized in the following paragraphs.

188 Bishop (1971) introduced I_B to characterize strength loss under drained conditions from
189 the peak to the residual shear strength while using the conventional methods of slope stability
190 analysis for progressive failures:

$$191 \quad I_B = \frac{\tau_p - \tau_r}{\tau_p} \quad (1)$$

192 where, τ_p = peak shear strength, τ_r = residual shear strength. According to Quinn et al. (2011)'s
193 concept of progressive failure, the rupture surface/weak layer is already formed over years of
194 strength degradation and essentially is a drained phenomenon. Through a fracture mechanics
195 analysis, they claim that the strain or displacement along the shear band is an equally important
196 factor in comparison to the "residual" strength in the assessment of brittleness. As Bishop
197 (1971)'s equation for I_B fails to represent this, Quinn et al. (2011) put forth a new brittleness
198 parameter for sensitive clays (B_{St}) in terms of nominal deformation localized in the shear band,
199 which at any given time is a fraction of the large deformation. This incorporates strain softening
200 behavior of sensitive clays as well (Equation 2). B_{St} represents the ease in which strength loss
201 is achieved from the peak to the remoulded shear strength and is essentially different from the
202 original brittleness parameter developed by Bishop (1971). For sensitive clays, B_{St} is nearly
203 equal to one.

$$B_{St} = \frac{1}{125\bar{\delta}} \quad (2)$$

204 where $\bar{\delta}$ is the nominal deformation of the shear band.

205 One may think sensitivity to be a relevant parameter in the assessment of retrogression,
206 however, there appears to be no direct relationship between sensitivity and retrogression
207 distance (Mitchell and Markell 1974). Carson (1977) proposed that rather than the exact ratio
208 of strength loss from peak to residual, the ease with which this strength loss is achieved is more
209 relevant in assessing retrogression.

210 N_c is a dimensionless parameter used to evaluate the slope stability of cohesive soils
 211 and is defined as $\gamma H/c_u$ where γ is the unit weight of the soil, H is the height of the slope and c_u
 212 is the undrained shear strength of the intact soil. Mitchell and Markell (1974) found that when
 213 N_c was more than 6, plastic flow was likely to occur causing flow slides in sensitive clays.
 214 They also observed that the retrogression distance increased parabolically with increasing N_c .
 215 However, Demers et al (2014) later suggested that Mitchell and Markell (1974)'s relation
 216 showed a scatter in the case of landslides in Quebec and were invalid. Kennedy et al. (2021)
 217 explains this due to the non-inclusion of additional constraints in the form of slope angle, shear
 218 strength at the end of retrogression, geomorphology of the sites and treating flow slides and
 219 spreads separately, which would give rise to more refined correlations for retrogression.

220 Preliminary investigations in the field of retrogressive slides in sensitive clays by
 221 Tavenas et al. (1983) show that the remoulding index and the remoulding energy can provide
 222 important information on the behaviour of remoulded soils. Remoulding energy signifies the
 223 strain energy stored in a clay material to completely disintegrate its structure into a semi fluid
 224 state and the extent of remoulding is indicated by the remoulding index. According to Tavenas
 225 et al. (1983), for retrogression to happen in a flowslide two conditions must be met – the initial
 226 slide should leave an unstable backscarp and the clay must be remoulded enough to flow out
 227 of the crater. They introduced a normalized remoulding energy (E_N) by normalizing
 228 remoulding energy by the limit state energy which is the energy involved in reaching the peak
 229 strength condition in a soil specimen. Subsequently, Tavenas et al. (1983) defined remoulding
 230 index as follows:

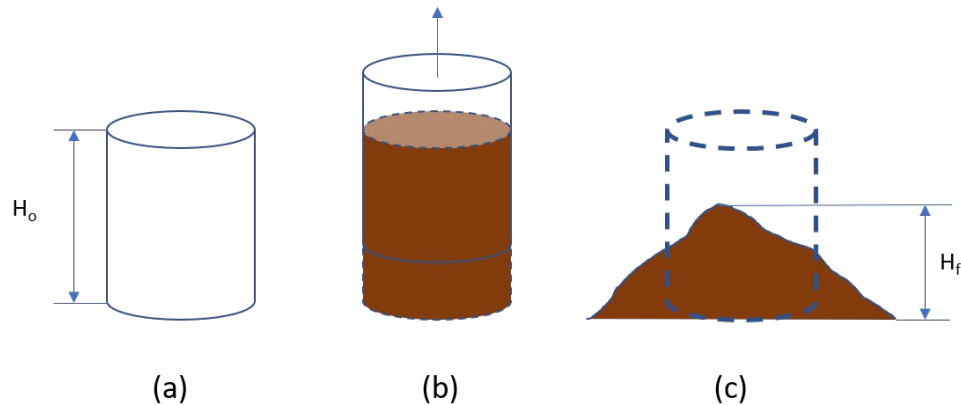
$$231 \quad I_r = \frac{c_u - c_{ux}}{c_u - c_{ur}} * 100 \quad (3)$$

232 where, c_u = undrained shear strength of undisturbed specimen, c_{ux} = shear strength of partly
 233 remoulded clay and c_{ur} = undrained shear strength of remoulded soil. They further proposed
 234 that $I_r > 70\%$ and $E_N < 40$ were required for heavy retrogression to take place.

235 Lebuis et al. (1983), from their observations of Champlain Sea deposits, proposed that
 236 clays with liquidity index (I_L) > 1.2 could remould and undergo retrogressive landslides of
 237 more than 100 m in distance. Certain correlations between remoulded shear strength (c_{ur}) and
 238 liquidity index (I_L) were also proposed by Leroueil et al. (1983) and Locat and Demers (1988)
 239 for Eastern Canadian clays. These include $c_{ur} = (I_L - 0.21)^{-2}$ by Leroueil et al. (1983) and
 240 $c_{ur} = (335.87/I_L)^{2.44}$ by Locat and Demers (1988) where c_{ur} is in kPa. These equations
 241 correspond to c_{ur} as low as 1 kPa at $I_L = 1.2$. However, Tavenas et al. (1983) provided

242 contradictory evidences to Lebuis et al. (1983) criterion ($I_L > 1.2$) by proposing that heavy
 243 retrogression in certain slopes in Eastern Canada were not observed even when I_L was more
 244 than 1.2. They also proposed that when liquid limit (ω_L) was less than 40%, heavy retrogression
 245 could be observed for Eastern Canadian sensitive clays. Tavenas et al. (1983) explained this as
 246 the loss of interparticle bonding among clay particles at $\omega_L < 40\%$, making the clay very brittle
 247 and easily remouldable. Researches also show that low Atterberg limit values are typical of
 248 sensitive clays owing to their reduced salt content due to leaching (Bjerrum 1955; Lefebvre
 249 1981; Giles 2020).

250 Thakur et al. (2013b) proposed a quickness test to evaluate the potential of a sensitive
 251 clay to undergo flow sliding by assessing the slump of a sensitive clay sample formed upon
 252 lifting a cylinder filled with remoulded clay as shown in Figure III. According to Thakur et al.
 253 (2013b), quickness is defined as the ratio of the difference in height of the cylinder and the
 254 slumped remoulded clay to the height of the cylinder, i.e. $Q = (H_o - H_f)/H_o$. In the case of
 255 Norwegian quick clays, quickness (Q) has been related to c_{ur} as $Q = 15c_{ur}^{-0.7}$. Thakur et al.
 256 (2013b) further observed that when $c_{ur} < 1$ kPa and $Q > 15\%$, flow slides and retrogressions
 257 were likely to occur.



258

259 **Fig. III** Illustration of quickness test: (a) empty cylinder into which clay is poured (b) cylinder is slowly lifted
 260 upwards (c) remoulded clay slumps (adapted from Thakur et al., 2013b)

261 Post-failure movements in sensitive clays or the extent of retrogression maybe assessed
 262 in terms of two parameters – retrogression distance and run out distance, measured respectively
 263 from crest to crest and toe to toe of the initial and retrogressed slide. Empirical relations to
 264 measure these parameters have come into picture in terms of stability number (Mitchell and
 265 Markell 1974), volume of soil debris (Locat et al. 2008) and remoulding energy (Thakur and
 266 Degago 2013). Certain statistical methods have also been used in Norway which were applied
 267 to landslides in Quebec by Turmel et al. (2018) but were found not to predict worst case

268 scenarios. The use of these indirect methods do not always yield accurate results as these
269 encompass only one or two parameters whereas retrogression could be aided/obstructed by
270 several geological factors such as watercourses, presence of valley, depth of slope and etc
271 (Geertsema and L'Heureux 2014). Assessment of remoulding through cone penetration test
272 results of Mud Creek landslide in Ottawa by Potvin et al. (2022) indicated that the soil debris
273 that retrogressed suffered only 50% remoulding. Similar findings were observed by Kennedy
274 et al. (2021) through a physical model of retrogression where a strength degradation of 56 to
275 80% was observed at the end of retrogression. Thus, the degree of remoulding seems to strongly
276 influence the extent of retrogression and further energy-based studies are warranted.

277

278 REMOULDING ENERGY AS A PARAMETER FOR RETROGRESSIVE 279 LANDSLIDES

280 Energy balance in post-failure slope movements

281 A slope failure occurs typically in four stages: 1) a pre-failure stage where the soil is intact and
282 possesses sufficient undrained shear strength, 2) a failure stage when the initial slide or shear
283 band propagates depending on the failure mechanism, 3) a post-failure stage when the soil
284 remoulds and undergoes retrogression, and possibly 4) a reactivation stage where the slope
285 slides over a pre-existing failure surface (Leroueil et al. 1996). The post-failure stage where
286 most of the retrogression happens is an important stage in hazard and risk assessment and its
287 behaviour depends on the energy distribution at the failure stage.

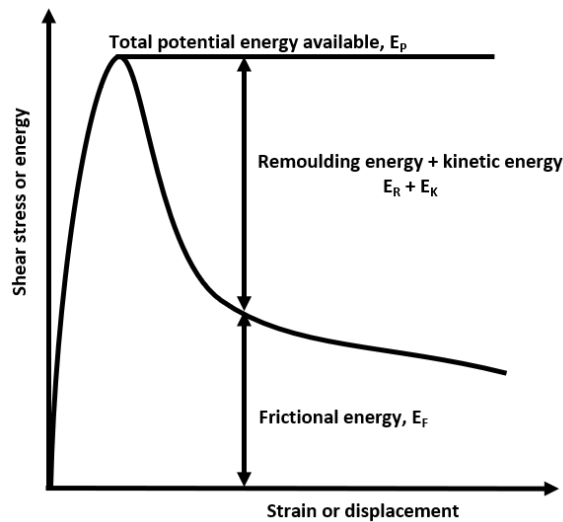
288 Tavenas et al. (1983) suggested that the only source of energy available for remoulding
289 a clay is the potential energy of the sliding mass. The distribution of this potential energy into
290 remoulding energy coupled with the nature of the material determines the extent of
291 retrogression in sensitive clays. During the occurrence of a landslide, just before the failure,
292 the external and the resisting forces of the slope are in equilibrium and the slope has a certain
293 potential energy. According to Tavenas et al. (1983), as the failure progresses, the soil mass
294 moves and the potential energy (E_P) is distributed into a remoulding energy (E_R), a kinetic
295 energy (E_K) and a frictional energy (E_F) according to Figure IV. The remoulding energy
296 determines the amount of remoulding and disintegration that the soil mass undergoes whereas
297 the kinetic energy accelerates the soil debris and the frictional energy controls the movement

298 of the soil mass along the failure surface (Leroueil et al., 1996; Vaunat and Leroueil 2002).
 299 The total potential energy is the summation of the above energy components as below:

300

$$301 \quad E_P = E_R + E_K + E_F \quad (4)$$

302

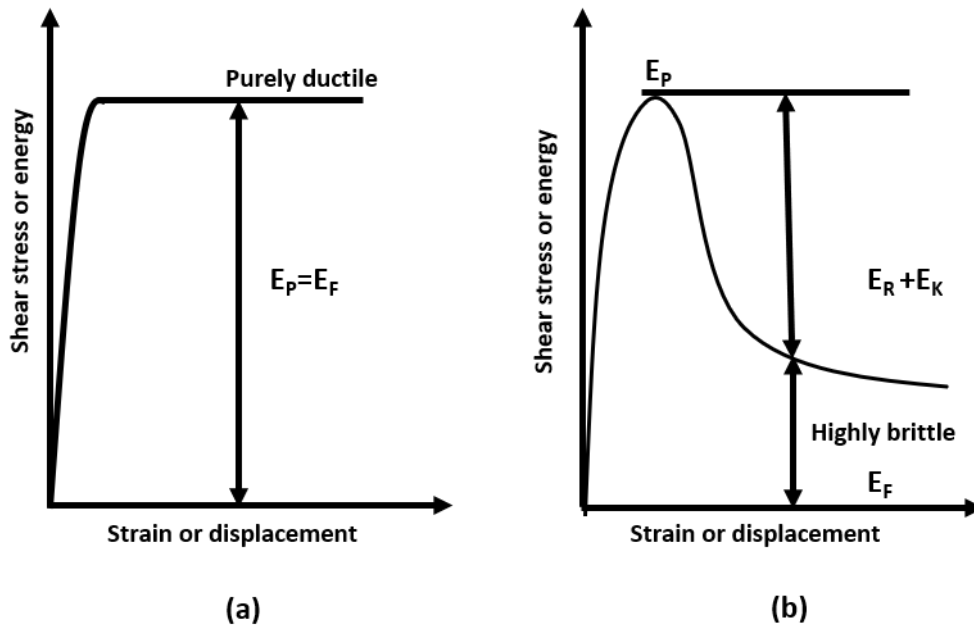


303

304 **Fig. IV** Distribution of post-failure potential energy (adapted from Leroueil et al., 1996)

305

306 The distribution of potential energy into the three different forms mentioned above depends on
 307 the material type. For a purely ductile material as illustrated in Figure V-a, the potential energy
 308 is entirely spent as frictional energy and soil movement is very small. However, for a very
 309 brittle material (Figure V-b) the kinetic and the remoulding energies are very high indicating
 310 heavy remoulding and retrogression (Leroueil et al. 1996). Since sensitive clays are brittle
 311 materials, the latter energy distribution represents their behaviour. The frictional energy of
 312 quick clays is often too small or negligible due to their high brittleness.



314

315

Fig. V Energy distribution for (a) purely ductile material and (b) highly brittle material

316

317 Thakur and Degago (2013) proposed the distribution of available potential energy for
 318 sensitive clays as follows:

$$319 \quad E_P = E_R + E_{KF} \quad (5)$$

320 where, E_{KF} is energy involved in a slide movement i.e., kinetic + frictional energies. Since the
 321 total potential energy available at the time of failure is constant, a slope with a lower
 322 remoulding energy and higher kinetic energy can be easily remoulded and the remoulded debris
 323 can travel larger distances. Quinn et al. (2011) also suggested that large retrogressive landslides
 324 occur at a higher remoulding index and a lower remoulding energy.

325 Existing methods for determining remoulding energy

326 Tavenas et al. (1983) were one of the forerunners that suggested the use of remoulding energy
 327 for characterizing the flow slide potential and the speed at which a clay remoulds using four
 328 techniques based on seven samples of Eastern Canadian sensitive clays (Figure VI) as
 329 summarized below:

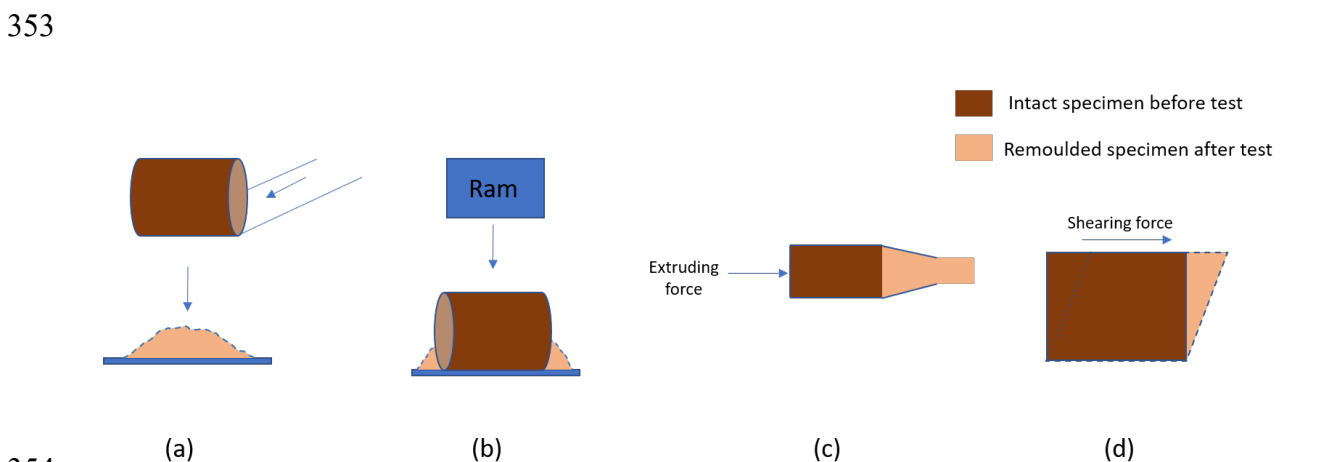
- 330 a) Free fall of a cylindrical specimen placed on an inclined board from various heights on
 331 a rigid surface.

- 332 b) Impact from an aluminum ram, from various heights, on a cylindrical specimen placed
 333 on a rigid block.
 334 c) Extrusion of soil through a cylindrical mould with a conical opening of various
 335 diameters.
 336 d) Shearing in a simple shear box.

337 Tavenas et al. (1983) worked out the remoulding energy in each of the above scenarios as the
 338 energy required to remould the sample at the end of each test. Certain assessments were also
 339 made by evaluating the size and the shape of the specimen after each test. Furthermore, they
 340 used the Swedish fall cone test to measure the undrained shear strength of the remoulded
 341 sample after each test. The process of remoulding starts once the soil exceeds its peak shear
 342 strength. Hence, the energy obtained through experimental investigation was divided by the
 343 strain energy at the limit state or the peak stress which was assumed as $0.013\sigma'_p$ for Champlain
 344 Sea clays according to Tavenas et al. (1979). Thus, the normalized energy per unit volume (E_N)
 345 maybe written as:

346
$$E_N = \frac{\text{Energy per unit volume}}{0.013 \sigma'_p} \quad (6)$$

347
 348 For each of the test scenarios shown in Figure VI, Tavenas et al. (1983) plotted E_N versus I_r . It
 349 was observed that for the same remoulding energy, different samples exhibited different
 350 remoulding indices indicating that the degree of remoulding undergone by each sample, even
 351 at the same remoulding energy, was different depending upon their physical properties which
 352 most likely represents their depositional characteristics and minerology.

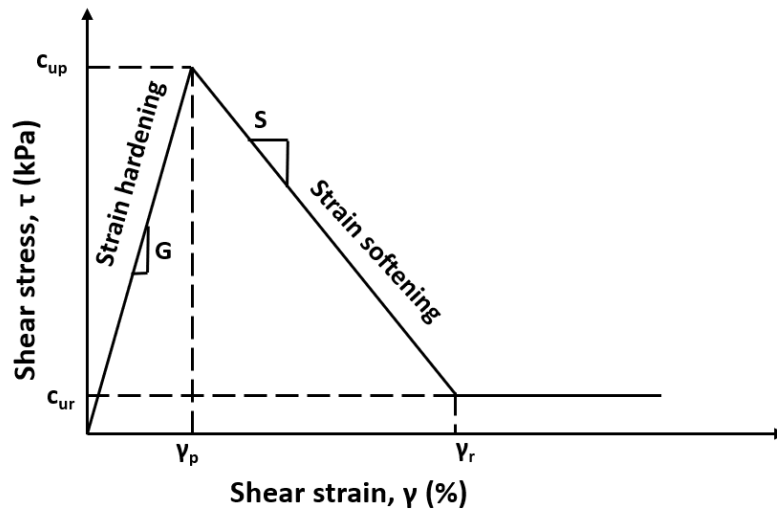


355 **Fig. VI** Experimental investigations of remoulding by Tavenas et al., (1983) (a) Free fall test, (b) Impact test, (c)
 356 Extrusion test, and (d) Shearing test (adapted from Thakur et al. 2013a)

357

358 The fundamental experimental data by Tavenas et al. (1983) were later incorporated to
359 develop empirical relationships to determine remoulding energy. Leroueil et al. (1996) showed
360 that the remoulding energy was directly proportional to the in-situ undrained shear strength (c_u)
361 and plasticity index (I_p). They proposed the following empirical equation for remoulding
362 energy, $E_R = 12.5c_u I_p$ at a remoulding index of 75%. Another empirical equation was proposed
363 by Locat, (2008) where $I_R = 14.9(E_R/c_u I_p)^{0.69}$. For complete remoulding ($I_R = 100\%$), $E_R =$
364 $16c_u I_p$ is obtained from this correlation.

365 An analytical approach was developed by Thakur and Degago (2013) for determining
366 the remoulding energy as the area under a simplified stress-strain curve with linear post-peak
367 strength behaviour.



368

369 **Fig. VII** Ideal shear stress - shear strain curve used to calculate remoulding energy (adapted from Thakur and
370 Degago 2013)

371

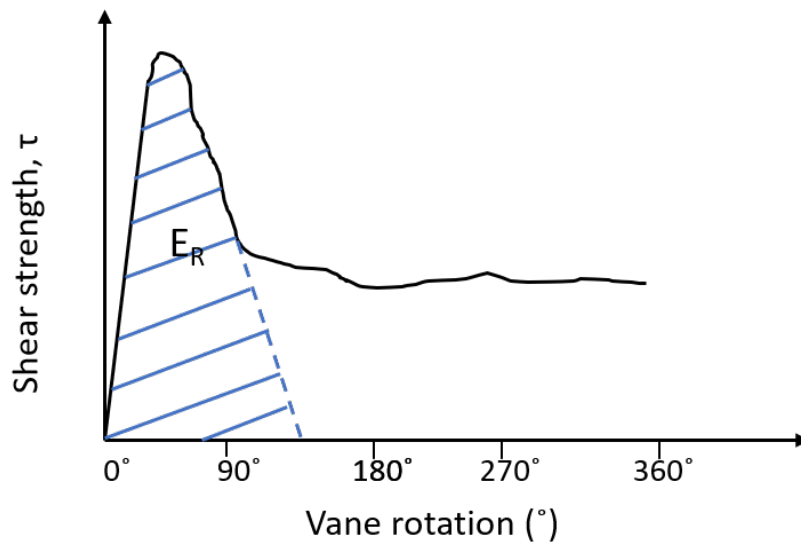
372 The following equation was subsequently proposed by Thakur and Degago (2013) for
373 calculating the remoulding energy (E_R) based on the simplified stress-strain curve of Figure
374 VII:

$$375 \quad E_R = c_{ur} \gamma_r + \frac{1}{2} (S_t c_{ur})^2 \left(\frac{1}{G} + \frac{1}{S} \right) \quad (7)$$

376

377 where, γ_r = residual/remoulded strain, G = secant shear modulus, S = softening modulus (i.e.,
378 slope of the strain-softening segment of the stress-strain curve).

379 Later, Thakur and Gylland (2015) used the electric field vane shear test (EFVST) to
 380 measure remoulding energy for Norwegian clays at a strain rate of 0.2° per minute, where shear
 381 strength measurements were carried out from the total corrected torque (T_{tot}) until the vane
 382 completed a rotation of 360°. The remoulded shear strength was measured after 25 revolutions
 383 of the vane. Based on the results of EFVST, Thakur and Gylland (2015) observed that the shear
 384 resistance after a vane rotation (θ) of 90° remained more-or-less unchanged and they reported
 385 it as due to the drainage of excess pore water pressure which was considered to be a limitation
 386 of the experiment. Thus, remoulding energy was calculated by linear extrapolation of the curve
 387 beyond a vane rotation of 90° (Figure VIII). Despite its limitations, the remoulded energy
 388 obtained from an EFVST test is found to be consistent with those reported by Leroueil et al.
 389 (1996) and Thakur and Degago (2013).



390
 391 **Fig. VIII** Shear stress - rotation curve according to Thakur and Gylland, (2015)

392
 393 DETERMINATION OF REMOULDING ENERGY FOR SENSITIVE CLAYS OF
 394 EASTERN CANADA

395 A preliminary study was conducted to assess the application of existing methods to determine
 396 the remoulding energy of Eastern Canadian sensitive clays, particularly the linear
 397 approximation proposed by Thakur and Degago (2013). This study has been carried out based
 398 on the information obtained from 12 Eastern Canadian sensitive clay sites as given in Table I.
 399 The data represent soil characteristics at a particular depth. Undrained shear strength values
 400 were obtained through standard field vane tests (FVT).

Table I Properties of Eastern Canadian sensitive clays

Site	Sample depth (m)	I _p (%)	I _L	c _u (FVT) (kPa)	c _{ur} (FVT) (kPa)	S _t	Source
Saint – Leon	4.8	45	1.1	30	1.1	27	Tavenas et al. (1983)
Louiseville	6	45	1.1	39	1.3	30	Tavenas et al. (1983)
Saint - Hilaire	5.6	35	2.3	35	0.8	44	Tavenas et al. (1983)
Saint – Thuribe	6	20	1.6	55	0.4	137	Tavenas et al. (1983)
Mascouche	9	30	1.2	135	1.3	104	Tavenas et al. (1983)
Saint – Alban	6.6	19	2.4	21	0.2	105	Tavenas et al. (1983)
Saint – Jean Vianney	30	13	1.1	320	1.2	260	Tavenas et al. (1983)
Saint Monique	13.45	35	1.25	40	0.7	57	Locat et al. (2014)
Saint Jude	22.1	36	2	47.3	0.3	158	Locat et al. (2017)
James Bay	12	10	2	18	0.09	200	Lefebvre et al. (1988)
Casselman	22.39	10	1.1	85	1.5	57	Durand (2016)
Saint Barnabé	23.31	10	2	77	1.8	43	Locat (2007)

Table II Properties of Norwegian sensitive clays from Thakur and Degago (2013)

Site	I_p (%)	I_L	c_u (FVT) (kPa)	S_t
Leistad	6	1.5	16.5	110
Hekseberg	4	2.4	25	100
Vibstad	17	0.2	32	8
Borgen	20	1.2	70	100
Lyngen	12	1.5	17.5	50
Byneset	4.8	3.8	14.4	120
Kattmarka	8	2.9	15.12	63
Fredrikstad	20	1	15	30
Rissa	6	2.2	24	100
Baastad	8	1.8	18.55	35
Selnes	7	2.3	35	100
Skjelstadmarka	10	1.6	39.84	48
Furre	6	1.3	17	85
Drammen	11	1.1	10	4
Lodalen	17.1	0.8	51	3
Bekkelaget	9	2.4	26	130
Ullensaker	6.7	1.9	14.7	42
Verdalen	5	2.2	60	300

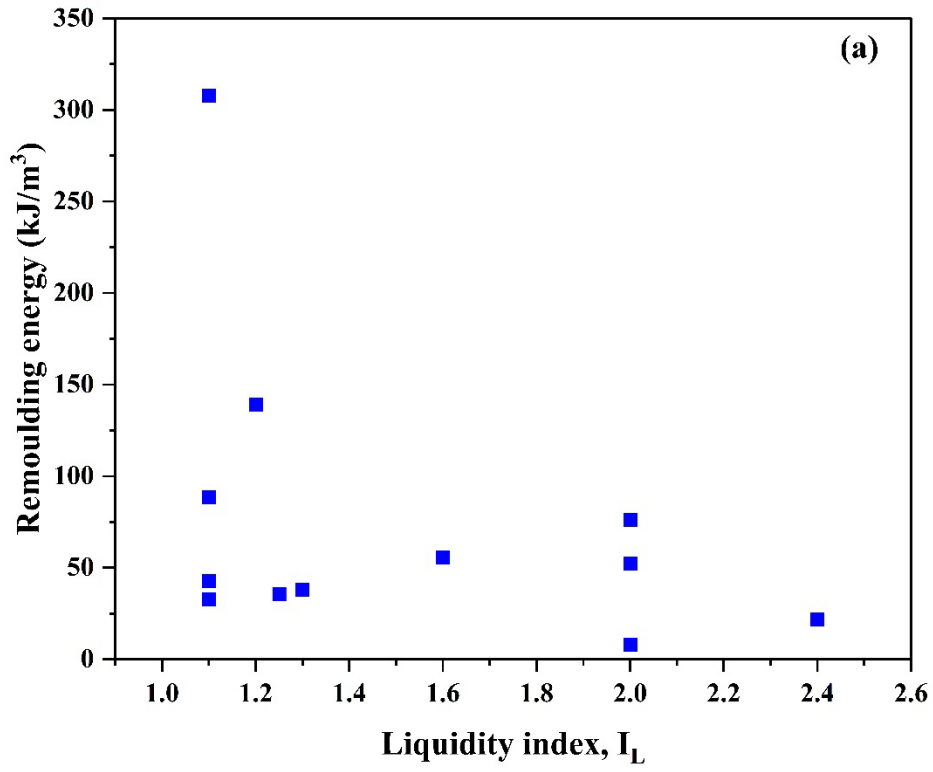
405

406 The remoulding energy is calculated using Equation 7 which fits a linear segment to the post-
407 peak stress-strain behaviour of a soil. The equation requires several parameters which are often
408 not readily available from field or laboratory investigations alone and warrants the need of
409 certain assumptions. A critical parameter in this equation is the strain at remoulded strength
410 (γ_r). The accurate determination of γ_r in the laboratory is difficult because of the limited shear
411 strain range of most conventional laboratory strength tests. Hence some of the parameters in
412 Equation (7) were determined through correlations and assumptions based on laboratory and
413 field investigations conducted on Eastern Canadian sensitive clays from various literature
414 (Locat et al. 2014; Locat et al. 2017; Lefebvre et al. 1988; Durand 2016; Locat 2007).

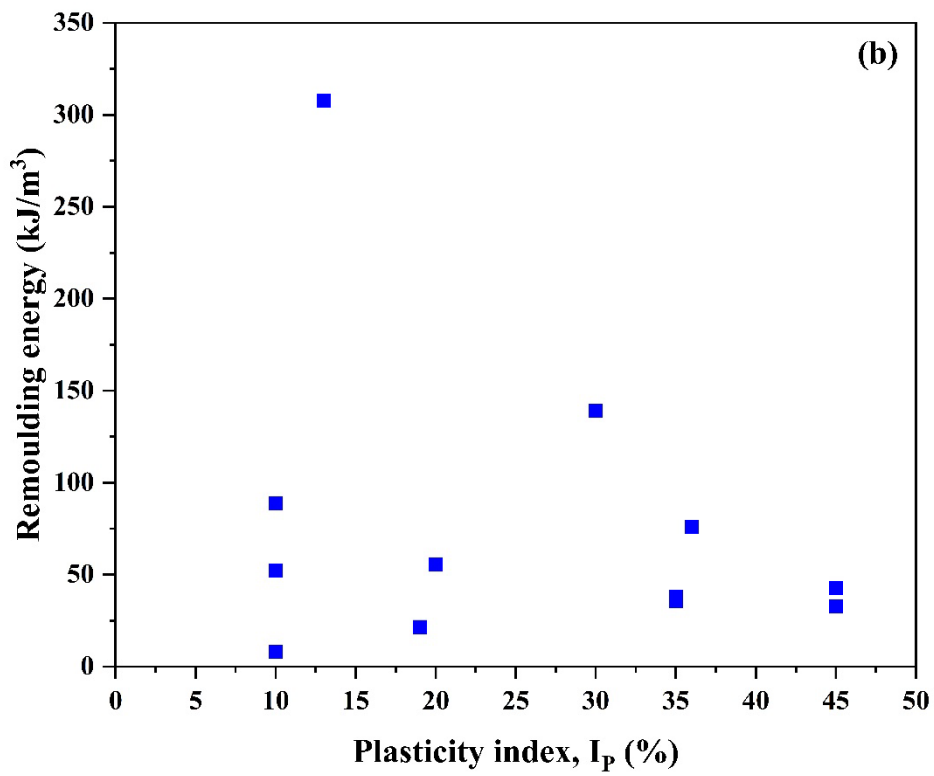
415 Direct simple shear (DSS) tests conducted on high-quality Eastern Canadian clay
416 samples are sheared up to peak strains of 1 - 5% (Locat et al. 2014; Locat et al. 2017; Lefebvre

417 et al. 1988; Durand 2016; Locat 2007). Based on these laboratory investigations, strain at peak
418 strength (γ_p) and secant shear modulus (G) are assumed to be 4% and $25c_u$, respectively. In
419 order to obtain the strain at remoulded strength (γ_r), the DSS curves were extrapolated by an
420 exponential curve fitting approach up to the remoulded shear strength and an average value of
421 200% was obtained for Eastern Canadian sensitive clays. Numerical modelling of the post-
422 peak behavior of Eastern Canadian sensitive clays also yielded $\gamma_r = 100 - 200\%$ (Locat et al.
423 2017; Tran et al. 2019).

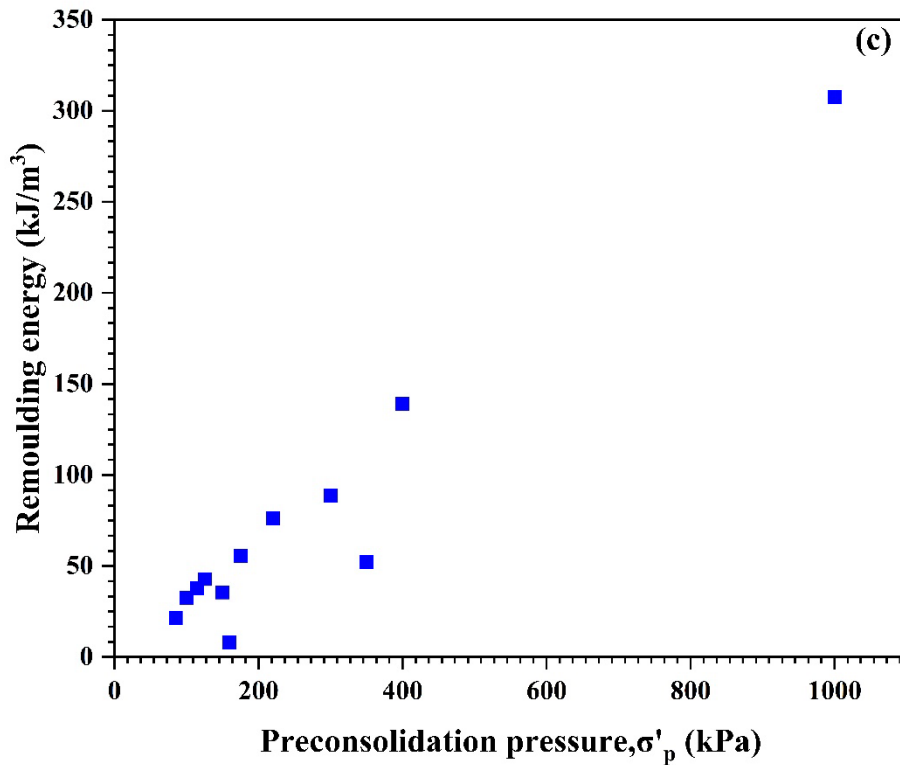
424 The remoulding energy per unit volume estimated from Equation 7 for Eastern
425 Canadian clays is in the range of 20-310 kJ/m³. The results in Figure IX indicate a certain
426 amount of scatter which primarily resulted from the assumptions made in the estimation of
427 various parameters in Equation 7 as well as the differences in sampling methods – 20 cm in
428 diameter tube sampler in St Leon, Louisville, Saint Hilaire, Saint Thuribe, Mascouche and
429 Saint Alban; 70 mm in diameter thin-walled tube sampler in Saint Monique, Saint Jude,
430 Casselman and Saint Barnabé and block samples in Saint Jean Vianney and James Bay. Similar
431 studies conducted by Thakur and Degago (2013) on Norwegian sensitive clays, yielded
432 remoulding energies per unit volume of 20 - 160 kJ/m³. Here, the strain at peak shear stress
433 (γ_p), shear modulus (G) and strain at remoulded shear strength (γ_r) were respectively considered
434 as 0.5%, 150 times peak shear stress, and 300% according to previous Norwegian database.



435



436

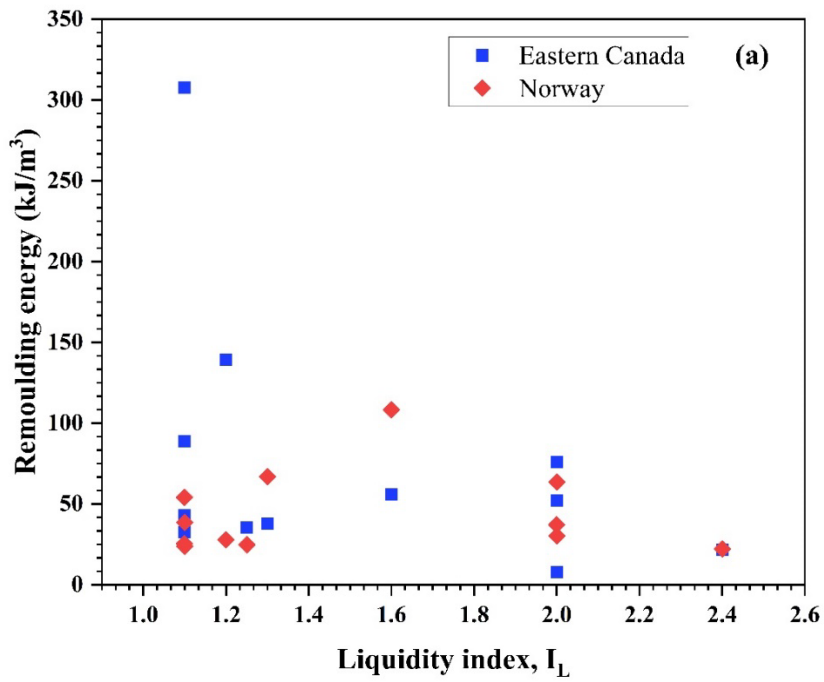


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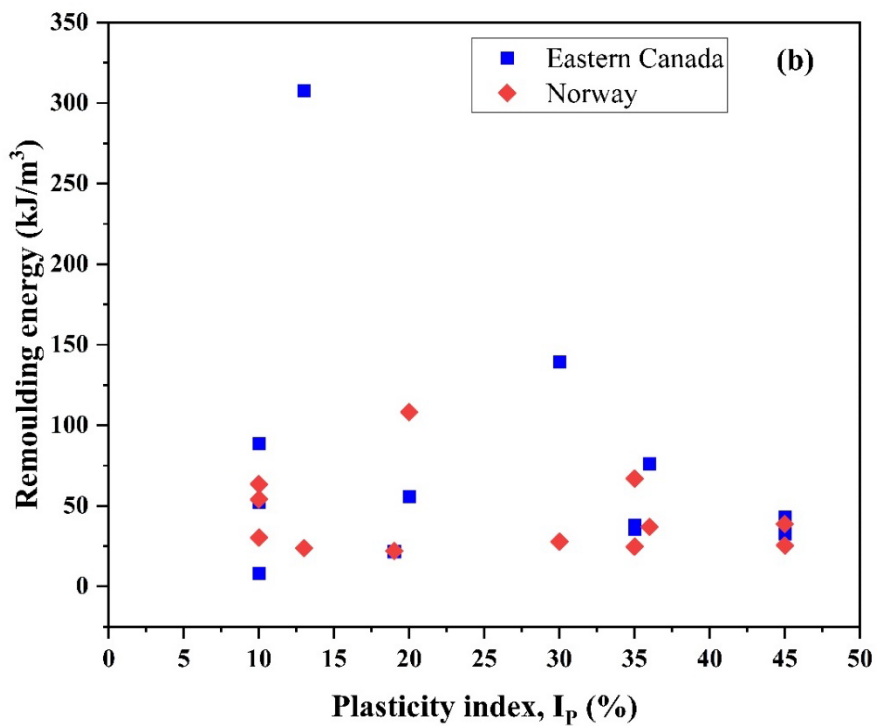
438 **Fig. IX** Variations of remoulding energy for Eastern Canadian clays with (a) liquidity index, (b) plasticity index
 439 and (c) preconsolidation pressure

440

441 The value of remoulding energy primarily depends on the undrained shear strength and
 442 the ease of strength degradation or the softening undergone by the soil. Figure IX shows that
 443 the remoulding energy has a positive correlation with the preconsolidation pressure (σ'_p) and
 444 no correlation with I_L and I_p . This means that the factor that primarily affects remoulding
 445 energy is the stress history of the soil and physical parameters have very low to no dependence
 446 on the remoulding energy. Figure X compares the remoulding energies of Eastern Canadian
 447 (this study) and Norwegian (Thakur and Degago 2013) clays and further shows the poor
 448 correlation of physical properties with remoulding energy even for Norwegian clays. On
 449 average, remoulding energies of Eastern Canadian and Norwegian clays seem to be more or
 450 less in the same range. The Norwegian clay data used for comparison are taken from Thakur
 451 and Degago (2013) and are shown in Table II.



452



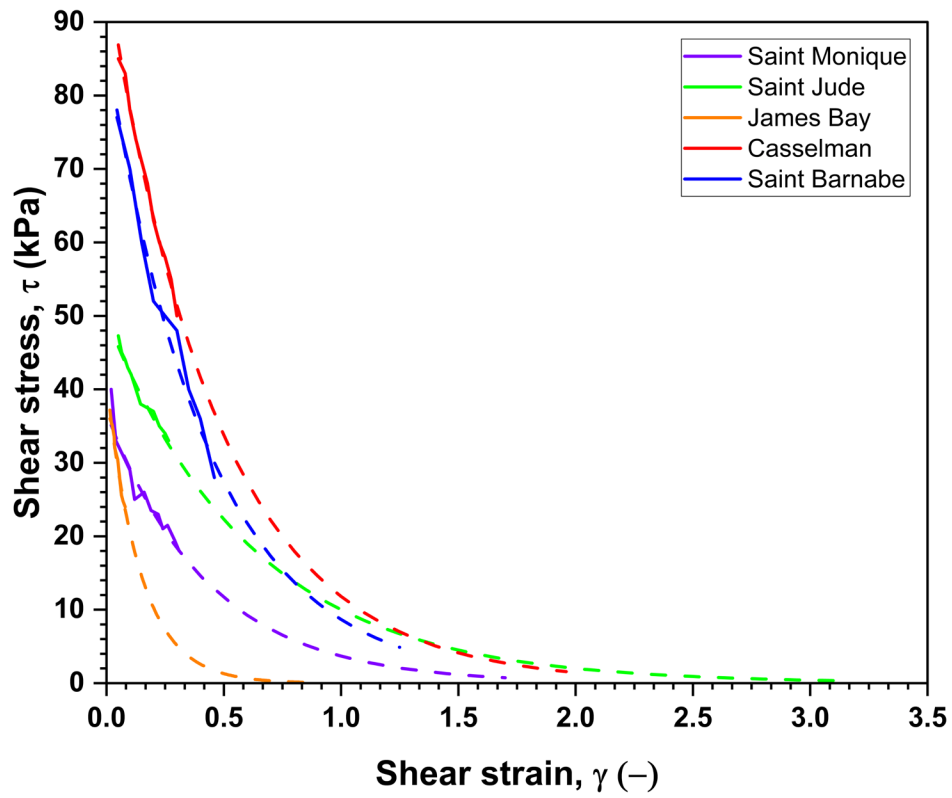
453

454 **Fig. X** Comparison of remoulding energy of Eastern Canadian and Norwegian clays and their dependence on (a)
 455 liquidity index and (b) plasticity index

456

457 As mentioned earlier, Thakur and Degago (2013) considered a linear post-peak
458 behavior in their estimation of remoulding energy. It is however apparent that the actual post
459 peak behavior is non-linear even though this is quite difficult to portray. Here, an attempt was
460 made to estimate the remoulding energy by an exponential prediction of the non-linear strain
461 softening curve. Data from five of the sites in Table I (Saint Monique, Saint Jude, James Bay,
462 Casselman, Saint Barnabé) were used for this estimation. The DSS test results seem to fit well
463 with an exponential variation and hence this simple extrapolation was carried out to obtain the
464 complete non-linear post-peak behavior curve (Figure XI). In the analysis of post-peak
465 behavior of large retrogressive landslides, it is often noticed that strength degradation at end of
466 retrogression does not always correspond to 100% remoulding in the field (Potvin et al. 2022).
467 Thus, energy at each level of remoulding indicated by the remoulding index, I_r (Equation 3)
468 would be a much better representation. Remoulding energy at each degree of remoulding is
469 presented in Figure XII and these curves are obtained from the stress-strain curves of Figure
470 X1. Due to the brittle nature of sensitive clays, energy degradation close to complete
471 remoulding (taken as 80% in this study) could happen quite soon and the variations are almost
472 linear as shown in Figure XII. As suggested by Potvin et. al. (2022), strength degradations
473 beyond this may not always take place in the field. Also, c_{ur} for these clays is about 1.5 – 0.1
474 kPa (as reported by the corresponding literatures) and the accurate measurements of such low
475 strengths could also be difficult. Hence the practical effect of remoulding energy corresponding
476 to exactly 100% remoulding should be further analysed with sophisticated numerical
477 computations.

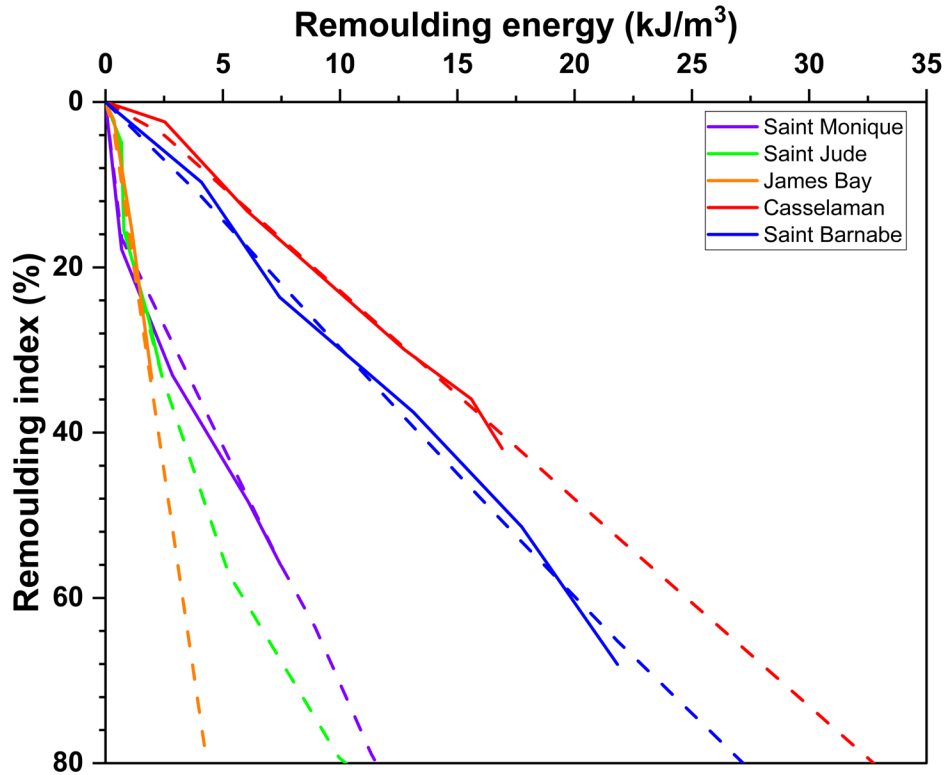
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480
481

Fig. XI Post-peak strain softening curves from DSS results of selected Eastern Canadian sites (solid lines represent the laboratory data and dashed lines show the exponential extrapolations)



482

483 **Fig. XII** Remoulding energy at various degrees of remoulding index obtained from DSS results (solid lines
 484 represent the laboratory data and dashed lines show the exponential extrapolations)

485

486 **DISCUSSIONS**

487 The occurrence of disastrous landslides in sensitive clay slopes of certain Northern countries,
 488 especially in Eastern Canadian region, has been studied extensively over decades in various
 489 literature (Tavenas et al. 1983; Locat et al. 2008; Quinn et al. 2011; L’Heureux et al. 2014).
 490 Natural processes like erosion or climate change can cause reduction in mean stress and an
 491 increase in shear stress under drained loading. These seemingly stable slopes can undergo
 492 remoulding through sudden loading in the form of loss of resisting forces (e.g., toe erosion near
 493 marine slopes, excavation), increase in driving forces (e.g., construction of embankment) or
 494 increase in pore pressure (e.g., heavy rainfall, melting of snow, vibration, rapid loading),
 495 followed by retrogressive landslides depending on soil conditions and morphology. In the
 496 analysis of retrogressive landslides, the advantage of material properties such as quickness,
 497 brittleness index, remoulding index and remoulding energy is that they directly represent the
 498 nature of a sensitive clay material, whereas other criteria based on post failure movements are

499 mostly empirical and hence require site specific data. However, since the determination of
500 liquid limit, liquidity index, plasticity index and remoulded shear strength are relatively
501 straightforward and reliable, criteria based on these parameters are widely accepted and used.
502 Retrogression is quantified in terms of retrogression distance and runout distance. Although
503 there are specific soil parameters that affect the amount of retrogression, an energy dissipation
504 approach in the form of remoulding energy seems to accurately encompass strain softening and
505 the severity of strength loss. An empirical relation in this very context has been given by Thakur
506 and Degago (2013) and confirms that a lower remoulding energy is associated with more
507 retrogression.

508 The linear approximation made by Thakur and Degago (2013) in forming Equation 7
509 provides a simplified and adequate methodology to determine remoulding energy. However, a
510 clear analysis of the observations made in this paper indicates that it is the post-peak behaviour
511 which impacts the remoulding energy, and the rate of strain softening coupled with strain at
512 residual/remoulded state is a critical parameter in this regard. In this context, the impact of
513 secant shear modulus (G) on the remoulding energy is found to be inconsequential. In Equation
514 7, keeping all other parameters the same, a change in G (even an increase of 5 times) does not
515 produce any significant changes in remoulding energy. An observation is that, as the peak
516 strength is mobilized at small strains, the area under the stress-strain curve up to the peak
517 strength constitutes a relatively insignificant part of the remoulding energy and as a result
518 variation in secant shear modulus (G) has little effect on the remoulding energy. Furthermore,
519 Figures IX and X predominantly show the poor correlation of remoulding energy with physical
520 properties such as the Atterberg limits and the importance of stress history in the determination
521 of remoulding energy. Past empirical correlations and studies for remoulding energy which
522 show its positive correlation with plasticity index (Leroueil et al. 1996; Locat et al. 2008;
523 Thakur and Degago 2013) should be used with caution as they alone may not provide accurate
524 assessments of remoulding energy. Considerations of post-peak parameters at large
525 deformations and the ease with which this state is arrived at based on a non - linear stress-strain
526 behavior is specifically important for the estimation of remoulding energy. Upon observation
527 of Figures XI and XII it is noticed that the variation of remoulding energy with remoulding
528 index is almost linear and this slope is dependent on the softening of the corresponding stress-
529 strain curves.

530 Overconsolidated clays under undrained shear exhibit strain-softening behaviour and
531 sometimes with much pronounced strain softening at low confining stresses (Locat et al. 2011;

532 Lefebvre and La Rochelle 1974; Lefebvre et al. 1981). It is well understood that Norwegian
533 sensitive clays are only slightly overconsolidated in comparison to Eastern Canadian sensitive
534 clays (Thakur and Degago 2013; L'Heureux et al. 2014) and hence may exhibit lower
535 brittleness and higher remoulding strains in comparison to Eastern Canadian clays. As a result,
536 a linear post peak behaviour may correlate well with the actual non-linear post peak behaviour.
537 However, in the case of Eastern Canadian sensitive clays, a linear approximation overestimates
538 the remoulding energy due to the pronounced strain softening it undergoes at low confining
539 stresses. This could be corrected through experimental investigations on site specific samples
540 and considering the non-linear strain-softening behaviour of sensitive clays. Moreover,
541 material properties like brittleness index could provide better physical dimensions to develop
542 an equation for remoulding energy considering their relevance in the process of remoulding.

543 Researchers are still struggling to understand the extend of retrogression and the
544 reactivation of landslides in sensitive clays in terms of time. These landslides are very
545 unpredictable as to whether they continue to be active or not. The Saint Jean Vianney landslide
546 in Saguenay in 1971 was said to have started from the crater of a larger landslide which
547 occurred 500 years ago (Tavenas et al. 1971). The Beattie mine in Quebec, where a landslide
548 occurred in 1943, witnessed a shallow slide in 1937 before the occurrence of the landslide and
549 even continued to have large slides until 1946 (Eden 1964). The Mink Creek landslide which
550 occurred in Terrace, British Columbia in 1994 was also not the first movement in this area with
551 two other landslides in 1962 and several prehistoric flowslides in this area (Geertsema et al.
552 2006). Thus, the susceptibility of an area to disastrous landslides does not end with a single
553 event or even several events over the course of few years. This creates further uncertainty in
554 post-landslide operations including restoration and rehabilitation. These uncertainties may be
555 reduced through detailed soil investigations which can add more precision to the estimation of
556 remoulding energy and the constitutive and numerical models for landslide prediction.

557 Based on the aforesaid observations, the authors believe that there is limited
558 experimental data after Tavenas' study and there is a need to continue research on the post-
559 peak behaviour of Eastern Canadian sensitive clays with site specific data to determine
560 remoulding energy and its correlations with other post-peak parameters.

561

562 **CONCLUSIONS AND SUMMARY**

563 This review paper provided a comprehensive overview of different types and failure
564 mechanisms of sensitive clay landslides in Eastern Canadian region and evaluated various
565 criteria for their assessment. Among existing criteria, remoulding energy and remoulding
566 index were found to assess post-failure landslide movements with more precision. However,
567 the expansion of these concepts towards detailed assessment of retrogressive landslides in
568 sensitive clays was found to have received less attention in spite of their potential. Some of
569 the key findings of the present study are as follows:

- 570 • Critical evaluation of various studies indicated that post - peak stress - strain behavior
571 of strain-softening soils has significant influence on the accurate quantification of
572 remoulding energy and possibly on post-failure landslide mechanisms.
- 573 • In the case of Eastern Canadian clays, the determination of remoulding energy based
574 on a non-linear post-peak stress-strain behavior may reduce inconsistencies shown by
575 existing approaches.
- 576 • Preliminary comparison of results highlighted that the remoulding energy of Eastern
577 Canadian and Norwegian quick clays appears to be controlled by stress history instead
578 of physical parameters. Existing studies which indicate otherwise should be used with
579 caution.
- 580 • Post-failure movements in retrogressive landslides are significantly dependent on
581 energy dissipation during slope failures which in turn depends on the inherent material
582 behavior (brittle or ductile).
- 583 • There is a huge need to accurately determine the non-linear post-peak stress-strain
584 behavior of sensitive clays, reproducing in-situ conditions through experimental
585 studies, considering its significance in assessing post-failure landslide movements, and
586 the authors plan to explore this gap in future research.

587 DISCLOSURE STATEMENT

588 The authors declare that there is no conflict of interests.

589

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600 research/policies/accepted-manuscript-terms](https://www.springernature.com/gp/open-research/policies/accepted-manuscript-terms).

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