1	Scientific forum article
2	Harvesting as a potential selective pressure on behavioral traits
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20	Running head: Behavioral harvest-induced selection
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27	Summary
28	1. Human activities are a major evolutionary force affecting wild populations. Selective
29	pressure from harvest has mainly been documented for life-history and morphological traits.
30	The probability for an individual to be harvested, however, may also depend on its behavior.
31	2. We report empirical studies that examined whether harvesting can exert selective pressures
32	on behavioral traits.
33	3. We show that harvest-induced selection on behavioral traits is not specific to a particular
34	harvest method and can occur throughout the animal kingdom.
35	4. Synthesis and applications. Managers need to recognize that artificial selection is possible.
36	More empirical studies integrating physiological, behavioral, and life-history traits should be
37	carried out to test specific predictions of the potential for harvest-induced selection on
38	heritable traits using models developed in fisheries. To limit selective pressure on behavior
39	imposed by harvesting, managers could reduce harvest quotas or vary harvest regulations
40	over time and/or space to reduce the strength of selection on a particular phenotype.
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42	Keywords: angling, evolutionary consequences, exploitation, fisheries, gillnet, harvest-
43	induced selection, hunting, passive and active gear, vulnerability.
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#### 45 Introduction

Humans are considered as one of the major selective forces shaping traits of species (Palumbi 46 47 2001) and may cause faster phenotypic changes than many natural drivers (Hendry, Farrugia & Kinnison 2008; Darimont et al. 2009). Phenotypic changes are particularly drastic when 48 humans act as predators and harvest wild populations (Darimont et al. 2009). Harvesting can 49 induce selective pressures on wild animal populations by increasing mortality and by non-50 51 random removal of specific phenotypes. Harvesting has been shown to induce selective pressure in several species (Allendorf et al. 2008) that may ultimately result in evolutionary 52 53 responses (Jørgensen et al. 2007; Pigeon et al. 2016).

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Selective pressure caused by human harvest, hereafter referred to as harvest-induced 55 selection, has mostly been documented for life-history and morphological traits and can be 56 caused by size-selective harvesting. For example, trophy hunting of male bighorn sheep (Ovis 57 canadensis) selected for smaller horn size (Coltman et al. 2003; Pigeon et al. 2016), and size-58 selective fishing affected the evolution of life histories in zebra fish (Danio rerio) (Uusi-59 Heikkilä et al. 2015). In size-selective harvesting, typically a specific phenotype is targeted 60 leading to harvest-induced selection. Harvest-induced selection on behavioral traits, however, 61 can be due to behavioral differences between individuals affecting their probability of being 62 harvested (Uusi-Heikkilä et al. 2008; Heino & Godø 2002). This pattern was observed in 63 behavioral studies showing that the probability of capturing or sampling (for scientific 64 research instead of harvesting) a specific individual in a population could be biased due to 65 consistent individual differences in behavior, i.e., animal personality (Biro & Dingemanse 66 2009; Carter et al. 2012; Biro 2013). These individual behavioral differences are often 67 heritable (Postma 2014; Dochtermann, Schwab & Sih 2015). Humans can therefore, 68 consciously or not, modulate the evolution of animal behavior by removing (harvesting) or 69

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reproducing (breeding) specific individuals within a population (Price 1984). Although
important for wildlife management and conservation, much less attention has been devoted to
harvest-induced selection on behavioral traits compared to life-history or morphological traits
(Uusi-Heikkilä *et al.* 2008; Heino, Díaz Pauli & Dieckmann 2015) and to whether this
selection may lead to evolution of behaviors that are different from those favored by natural
selection (e.g., Olsen & Moland (2011) for morphological traits).

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# 77 Harvesting as a selective pressure on behavioral traits

78 Most of the theoretical work and predictions for behavioral harvest-induced selection are derived from the fisheries literature. Arlinghaus et al. (2016) suggested that harvest should 79 select for shyer and more vigilant individuals. In fisheries, predictions made on harvest-80 induced selection often depend on the gear type used, and Alós, Palmer & Arlinghaus (2012) 81 predicted that passive gear should select for individuals with lower activity levels. In sport 82 hunting, a hunter must see an individual of the species of interest before she/he can select a 83 target animal based on a morphological trait or a sex/age class. Therefore, we hypothesize 84 that behavioral traits that increase vulnerability or visibility, such as selection of open areas, 85 more active individuals during hunting hours, or boldness, should have a strong effect on the 86 probability that an individual will present itself as a possible target. 87

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Here we report studies where harvest-induced selection of behavioral traits was clearly
investigated. We searched the scientific literature database Scopus® for peer-reviewed papers
using different combinations of the following seven keywords: harvesting, hunting, fisheries,
behavior, vulnerability, exploitation, and selective pressure. The literature contains numerous
studies on the immediate effects of harvesting on behavior (i.e., plastic response or
"learning") [e.g., Raat (1985), Ordiz *et al.* (2012)] or studies showing behavioral differences

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between high and low vulnerability fish strains [e.g. Nannini et al. (2011), Sutter et al. 95 (2012)], or studies showing behavioral differences between fish caught with different 96 methods or lures [e.g. Wilson et al. (2015)], which suggests that harvesting might induce a 97 selective pressure on behaviors. Here, however, we only retained studies that directly 98 examined whether harvesting acted as a selective pressure on behavioral traits. The limited 99 amount of literature examining harvest-induced selection on behavior likely reflects the 100 101 difficulties in collecting quantitative information on behavioral traits expressed by harvested and non-harvested individuals necessary to investigate behavioral harvest-induced selection. 102 103 This is particularly true for fish, because it is rarely possible to make observations on fish that are not captured (Härkönen et al. (2016), but see Olsen et al. (2012)), and longitudinal 104 behavioral time-series data from wild populations hardly exist (Jørgensen & Holt 2013). We 105 categorized the 13 retained studies in two groups: experimental studies in the laboratory or 106 natural conditions, and observational studies in the wild. 107

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## 109 Experimental studies

We found seven experimental studies showing that harvest can act as a selective pressure on 110 behavioral traits (Table 1: but see Vainikka, Tammela & Hyvärinen (2016)). From the seven 111 studies showing harvest-induced selection of behavioral traits, six were conducted in fishes 112 and one in a crustacean. Angling removed more vulnerable individuals in largemouth bass 113 (Micropterus salmoides) (Philipp et al. 2009) and common carp (Cyprinus carpio) (Klefoth, 114 Pieterek & Arlinghaus 2013), while traps removed bolder guppies (Poecilia reticulata) and 115 common yabby (Cherax destructor) (Biro & Sampson 2015; Diaz Pauli et al. 2015). 116 Trawling removed shyer guppies (Diaz Pauli *et al.* 2015) and minnows (*Phoxinus phoxinus*) 117 with lower swim speed (Killen, Nati & Suski 2015). These studies suggest that harvesting can 118 act as a selective pressure on a behavioral trait and that passive gear should select against 119

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boldness and more explorative individuals, while active gear should select against shyness,
and angling selects against more aggressive, bold, and vulnerable individuals (Heino & Godø
2002; Arlinghaus *et al.* 2016). Harvest-induced selection patterns obtained in laboratory
experiments appear to be consistent with experiments in natural settings (Biro & Post 2008),
suggesting that harvesting can act as a selective pressure in the wild.

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# 126 **Observational studies**

We found six studies showing harvest-induced selection on different behavioral traits in the 127 128 wild, ranging from the timing of migration to boldness and defensiveness (Table 1). These studies involved fishes, snakes, birds, and mammals in Japan, Norway, United Kingdom, 129 Canada, and the USA. Similarly to experimental studies, observational studies showed that 130 harvest-induced selection was caused by different harvest methods (shotgun, rifle hunting, 131 passive gear, angling), and that behavioral traits under selection may vary in relation to the 132 harvest method used (Table 1). In sockeye salmon (Oncorhynchus nerka) harvesting selected 133 against individuals that migrated later in the season in a population where exploitation rates 134 vary systematically over the course of the fishing season (Quinn et al. 2007). In this 135 population, migration timing became earlier over the years (Quinn et al. 2007). Such 136 temporal behavioral changes could be caused by environmental factors, but could also be, at 137 least partly, a response to harvest-induced selection if migration timing is heritable (Quinn et 138 al. 2007). 139

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### 141 Consequences of behavioral harvest-induced selection

142 Behavioral traits under harvest-induced selection can only evolve if they are heritable

143 (Postma 2014; Dochtermann, Schwab & Sih 2015). In addition to the changes in migration

144 timing of sockeye salmon discussed above (Quinn et al. 2007), two studies suggested that

harvest might have been important in the evolution of a genetic locus related to habitat use of 145 Atlantic cod (Gadus morhua) in Iceland (Árnason, Hernandez & Kristinsson 2009; 146 Jakobsdottir et al. 2011). However, we found no observational studies that could 147 unequivocally show evolution in behavior caused by harvesting. Absence of evidence for 148 harvest-induced evolution of behavioral traits in the wild, however, does not imply that such 149 evolution is unlikely or uncommon. Instead, it may reflect the difficulties to obtain the 150 151 necessary longitudinal data on behaviors in harvested populations (Clutton-Brock & Sheldon 2010; Jørgensen & Holt 2013). Even when adequate data are available, it remains challenging 152 153 to show that harvest is the driver of evolutionary change and to disentangle phenotypic plasticity from genetic change (Merilä & Hendry 2014). Although it has not been 154 documented in the wild, evolutionary changes in behavioral traits due to harvest have been 155 shown in experimental studies (Philipp et al. 2009). Laboratory experiments are useful to 156 evaluate the potential for harvest-induced selection and evolutionary response in behavioral 157 traits, but extrapolation of results to natural systems are difficult, as some relationships 158 observed in the laboratory might not persist in the wild (Wilson et al. 2011). 159

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# 161 Conclusions

Humans have harvested wild species for millennia and human evolution is strongly linked 162 with harvesting. However, technological developments have increased our efficiency to 163 harvest, with many consequences (Milner, Nilsen & Andreassen 2007; Fenberg & Roy 2008; 164 Allendorf et al. 2008). Morphological, life-history, and behavioral traits form the phenotype 165 of an individual and thus affect its vulnerability to harvest (Uusi-Heikkilä et al. 2008). There 166 is increasing evidence that behavioral traits are correlated with physiological and life-history 167 traits (Biro & Stamps 2008; Réale et al. 2010). Therefore, even if harvesting specifically 168 targets a behavioral trait, changes in life-history, morphological, and/or physiological traits 169

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can be observed. For example, changes in behaviors were observed due to size-selective 170 harvesting in zebra fish (Uusi-Heikkilä et al. 2015), and size-selective harvesting of Atlantic 171 172 silverside (Menidia menidia) resulted in lower larval growth rate, food consumption rate and conversion efficiency, and vertebrae number (Walsh et al. 2006; Duffy et al. 2013). If 173 individuals with certain life-history, morphological and behavioral phenotypes are heavily 174 harvested, selection may quickly lead to the evolution of a population with a lower harvest 175 176 yield (Conover & Munch 2002), because this population will now mostly be composed of individuals with lower growth rate (Conover & Munch 2002; Biro & Sampson 2015) that are 177 178 also more difficult to harvest (Philipp et al. 2009). In many cases, selective pressures imposed by harvesting oppose natural selection (Conover 2007; Olsen & Moland 2011). 179 While some traits can genetically recover after harvest-induced selection ceases (Conover, 180 Munch & Arnott 2009), some traits may not (Salinas et al. 2012; Pigeon et al. 2016), which 181 can impair population recovery after harvest has ceased (Laugen et al. 2014). 182

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#### 184 **Recommendations**

Even though behaviors are often easier to observe and quantify in terrestrial ecosystems, most 185 of the literature and predictions on behavioral harvest-induced selection come from fisheries. 186 Despite differences in the harvest methods used in fisheries and hunting, behavioral data from 187 terrestrial harvested populations can be complementary to fisheries data and could offer an 188 opportunity to test predictions developed for fisheries in terrestrial ecosystems. For example, 189 predictions made for passive gear in fisheries could be applied to "still hunting" or "bait 190 hunting", but might not be appropriate for "stalking". Therefore, we suggest a synergistic 191 approach and recommend to increase discussions and collaborations between researchers 192 studying harvest-induced selection in fisheries and terrestrial ecosystems. 193

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Integrating genetic and evolutionary effects of harvesting into management and conservation 195 is central for achieving sustainable harvesting (Conover & Munch 2002; Allendorf et al. 196 197 2008). Acknowledging that harvest is selective by nature is the first step toward that goal. Even if harvest is random regarding phenotypes, it increases mortality and therefore selects 198 for faster growing and earlier reproducing individuals ("r" life-history strategy) rather than 199 slow growing and late reproducing individuals ("K" life-history strategy) (Pianka 1970). 200 201 Ideally, in harvested populations, monitoring programs should be introduced to detect and monitor potential harvest-induced selection and its consequences. Such programs would 202 203 require longitudinal data on multiple phenotypic traits, including behavioral traits, of harvested and non-harvested individuals in the population. This would allow evaluating the 204 direction and strength of harvest-induced selection in comparison to natural selection. When 205 206 required, different mitigation measures could be implemented in management plans to reduce the impacts of harvest-induced selection. For example, reducing harvest quotas should reduce 207 208 the strength of selection or managers could establish harvest regimes that mimic natural selection (Milner, Nilsen & Andreassen 2007). 209

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Such monitoring programs are challenging tasks requiring a considerable amount of time and
money. In the meantime, we suggest using a precautionary approach when harvesting natural
populations. Harvest quotas should not be based on maximum yield but rather aim at
preserving natural variation shaped by natural selection (Fenberg & Roy 2008). We suggest,
based on our results, to vary harvest regulations (e.g. based on sex, age, or phenotypes
harvested and harvest methods used) spatio-temporally to reduce the strength of selection on
a particular phenotype.

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# 219 Authors' Contributions

224	Acknowledgments
223	
222	publication.
221	manuscript. All authors contributed critically to the drafts and gave final approval for
220	All authors conceived the idea; ML made de literature search and the first draft of the

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- 232 Project.

**Species** Harvest method Trait **Direction of the selective effect** Reference Harvest selects against individual that are: Experimental study in the laboratory or in natural conditions Poecilia reticulata Trap Bold-Shy Bolder Diaz Pauli et al. 2015 Bold-Shy Trawl Shyer Diaz Pauli et al. 2015 Phoxinus phoxinus Swim speed Slower Killen, Nati & Suski 2015 Trawl Salmo trutta Fly-fishing Exploration More explorative Härkönen et al. 2014 Cyprinus carpio Vulnerability Klefoth, Pieterek & Arlinghaus 2013 Angling More vulnerable *Micropterus salmoides* Angling Vulnerability Philipp et al. 2009 More vulnerable Oncorhynchus mykiss Gillnet Bold/Shy-Fast/Slow Faster-bolder Biro & Post 2008 Cherax destructor Bold-Shy Biro & Sampson 2015 Bolder Trap *Observational study* Oncorhynchus nerka Angling Migration timing Migrated later in season Quinn et al. 2007 Gadus morhua Use more shallow-water Passive gear Habitat use Olsen et al. 2012 Have a strong diel vertical migration Passive gear Vertical migration Olsen et al. 2012 Passive gear Horizontal movement Have a predictable movement pattern Olsen et al. 2012 Gloydius blomhoffii Flight distance Have lower flight distance Sasaki et al. 2009 Not mentioned More defensive Not mentioned Defensiveness Sasaki et al. 2009

**Table 1.** Examples of experimental and observational studies showing that harvest can act as a selective pressure on behavior.

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Phasianus colchicus	Shotgun hunting	Bold–Shy	Bolder	Madden & Whiteside 2014
Cervus elaphus	Rifle hunting	Habitat use	Use habitat with less concealing cover	Lone <i>et al.</i> 2015
	Rifle hunting	Habitat use	Use open areas	Ciuti et al. 2012
	Rifle hunting	Habitat use	Closer to roads and use flatter terrain	Ciuti et al. 2012
	Rifle hunting	Movement rate	Have higher movement rate	Ciuti et al. 2012
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